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Sialoendoscopy: state of the art, challenges and further perspectives. Round Table, 101st SIO National Congress, Catania 2014

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Analysis of risk factors for pharyngocutaneous fistula after total laryngectomy with particular focus on nutritional status

Otology
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Review

Sialoendoscopy: state of the art, challenges and further perspectives. Round Table, 101st SIO National Congress, Catania 2014

Scialoendoscopia: stato dell’arte, limiti e prospettive future. Tavola Rotonda, 101° Congresso Nazionale SIO, Catania 2014

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SUMMARY

This draft of the Official Round Table held during the 101st SIO National Congress is an updated review on sialoendoscopy, a technique used for diagnosis and treatment of obstructive pathologies of salivary glands in a minimally invasive fashion. This review treats many aspects of salivary gland endoscopy, starting from anatomy to deal with the more advanced surgical techniques and analyses the main decisional algorithms proposed in the literature. In addition, particular attention was directed to the current limitations of this technique and to the potential developments that sialoendoscopy could have in the near future.

KEY WORDS: Sialoendoscopy • Salivary glands • Endoscopic surgery • Sialoadenitis • Sialolithiasis • Salivary duct

INTRODUCTION

Sialoendoscopy is a recently developed technique for diagnosis and treatment of obstructive pathologies of the major salivary gland. Described for the first time in the early 1990s by Katz, this minimally invasive technique has become widespread in the last 15 years, especially thanks to two European schools (Geneva and Erlangen) and to the school of Ashkelon, Israel. The clinical research by the pioneers of this technique, associated with technological advances, have deeply modified the management of salivary ductal pathologies, changing the treatment of obstructive sialoadenitis.

This review treats many aspects of salivary gland endoscopy, starting from anatomy, to focus on the more advanced surgical techniques and analyses the main decisional algorithms proposed in literature. The objective of this article is to describe the main features of salivary gland endoscopy and provide an overview of its current and future fields of application.

Anatomy of the salivary ducts

The parotid excretory duct (Stensen’s duct, SD), first de-
scribed by Niels Stensen, forms from the convergence of second and third-order tributary ducts that arise from the deep and superficial lobe, joining near the anterior border of the gland and leaving the gland in its anterosuperior third. SD runs forward over the lateral surface of the mas-
ter muscle and turns around its anterior border, approxi-
mately a finger’s breadth below the zygomatic arch, and
then passes through the buccal fat pad, the buccopharyn-
geal fascia and the buccinator muscle. It runs forward
obliquely for a short distance between the buccinator and
oral mucosa before opening on it with a small papilla at
the level of the maxillary second molar.

The surface markings of the duct are obtained by joining
a line from the anterior point of the tragus with the mid-
point of a line drawn between the lowest point of the alar
cartilage and the angle of the mouth. When dividing this
line into three equal parts, the middle section corresponds
to the position of the parotid duct. The duct lies approxi-
mately 1 cm below the transverse facial vessels.

The length of SD varies from 4 to 7 cm with an average
diameter of 1.4 mm at the hilum, 1.2 mm passing through
the buccinator muscle and 0.5 mm at the papilla orifice. Some authors have reported the existence of small muscle
fibres originating from the buccinator muscle which fit on
the outer layer of the distal portion of the SD, thus playing
a role in the regulation of salivary secretion and acting as
a passive sphincter system.

The submandibular duct (Wharton’s duct, WD) was first
described by Thomas Wharton. It forms from the joining
of numerous branches arising from the deep surface of the
gland, running backwards along the inferior border of the
mylohyoid muscle. Once it reaches the posterior border of
the muscle, it turns upward forming the WD genu. Then,
the WD runs forward laterally to the hyoglossus and geni-
glossus and medially to the attachment of the mylohyoid
muscle to the mandible along the medial side of the sub-
lingual gland. It runs superiorly to the hypoglossal nerve
and at the anterior border of the hypoglossal nerve. It is crossed
laterally by the lingual nerve.

WD then opens into the oral cavity through a narrow or-
ifice, with a diameter of 0.1-0.5 mm, on the top of the
sublingual caruncle behind the lower incisor. WD is ap-
proximately 4-6 cm long, with an average diameter of
1.5 mm. Sometimes the major sublingual duct is joined
to WD.

WD genu is defined as the angle between the main duct
and the main intraglandular duct, but it represents the
change in angulation when the duct turns around the pos-
terior free margin of the mylohyoid muscle. The genu
angle varies significantly from 24° to 178°, but this vari-
bility does not appear to be associated with sialolithiasis
or sialadenitis.

In both SD and WD, the epithelium lining is smooth and
pale pink, showing the blood vessels in transparency. Fur-
thermore, the sphincter function of the ducts is reflected
by the presence of circular ridges on the mucosa lining,
especially in the papillary region. Along the main duct,
numerous accessory ducts may be opened and may have
numerous patterns of bifurcation of the first order ducts
at the hilar level, which at the intraparenchymal level be-
come second and third order ducts.

Diagnostic and operative sialoendoscopy: equipment and
basic technique

The first endoscopic approach to the salivary glands re-
quires specific instruments (sialoendoscopes), which have
notably evolved since the first model described by Katz.

The miniaturised optical fibres can be introduced inside
sheaths of varying shape and diameter or be included in
so-called “all-in-one” endoscopes. These semi-flexible
tools are made from nitinol and guarantee great resis-
tance, maneuvrability and fine optical resolution. There
are two types of “all-in-one” endoscopes: diagnostic and
operative. While the diagnostic sialoendoscope has only
an irrigation channel, operative sialoendoscopes have also
a working channel for the insertion of dedicate tools (bask-
ets, forceps or balloons). The size of the working chan-
nel determines the overall diameter of the “all-in-one”
sialoendoscope.

Sialoendoscopes are connected to optical devices (cold
lighting source, video camera and monitor) and to irri-
gation systems. Irrigation is mandatory in order to ex-
pand the duct, thus avoiding its collapse under periductal
pressure. It is usually performed by connecting a 20 ml
syringe filled with saline to the irrigation channel of the
endoscope.

Many miniaturised tools can be introduced into the work-
ing channels: wire baskets are used for removing stones
and foreign bodies, mini-grasping forceps can be used to
remove debris or smaller stones without a basket and
high-pressure balloons are useful for duct stenosis dial-
ination. Laser fibres and microdrills are helpful in stone frag-
mentation.

The sialoendoscopic procedure could be divided into two
phases: salivary duct access (through the papilla or the
duct wall) and endoluminar phase. The procedure can be
carried out under local or under general anaesthesia.
Local anaesthesia is usually performed with topical lid-
ocaine before salivary duct dilation. Local infiltration with
anaesthetic and vasoconstrictor can be performed in some
cases, to show a difficult papilla or when papillotomy is
required. General anaesthesia should be recommended
for more complex and lengthy procedures or in non-com-
pliant patients.

Several techniques were proposed to access the main sali-
vary duct. The classic technique is achieved by progres-
ively expanding the papilla with salivary probes having
progressive diameters in order to expand the duct to reach
the endoscope diameter. A conic dilator completes dial-
tion with the probes.
Currently, several techniques have been proposed to simplify the introduction of the endoscope through the papilla. These include the introduction of a guidewire into the papilla and its dilation using sheaths in metal or in plastic materials. Then, without removing the guidewire, the dilator is directly replaced by the endoscope whose operating channel is taken up by the guidewire. The retropapillary technique, first proposed by Nahlieli, is utilised when the papilla of submandibular gland cannot be localised. An incision is made using preventive infiltration at the level of the oral pelvis parallel to the axis of the duct, searching for it carefully on the medial face of the sublingual gland. Once the duct is detected, a 1 mm cut is performed to allow endoscope insertion.

On the other hand, when the papilla is localised but there is a stenosis of the duct, atraumatic endoscope insertion may be difficult. A mini-papillotomy, a lengthwise cut of the distal part of the papilla, allows solving this problem. The mini-papillotomy should not be longer than 3-4 mm in order to avoid postsurgical papillary stenosis and makes the procedure more technically challenging due to leakage of the irrigation. This technique is reserved for cases where an atraumatic approach is not possible, such as papillary hypertrophy, papillary stenosis or extremely small ductal orifices.

After endoscope insertion, the entire ductal system is explored from the main duct to the peripheral branches. Certain tracts of the gland are more difficult to access and to explore and, consequently, to pass through with the endoscope. These are commonly referred to as the “comma area” of WD, where the duct turns inferiorly at the posterior border of the mylohyoid muscle; in the case of SD, most difficulties are encountered in the area posterior to the duct’s curvature (around the masseter muscle) and when the duct passes through the buccinator muscle. The presence of an assistant is useful to provide correct visualisation of the surgical field by continuous irrigation and to support the surgeon in managing the operative instruments.

The technique for sialolith removal depends on size, shape, mobility and location of the stone. Stones floating in the duct having regular contours and a major axis parallel to the main duct are usually extracted with wire baskets. The basket is placed behind the stone, opened entrapping it and then gently retracted. Final exploration of all the branches of the salivary duct is mandatory to detect the presence of other residual stones. Sialoliths impacted in the ductal lumen require lithotripsy. A combined approach is reserved to those stones that for size and position cannot be fragmented.

Management of stenosis often requires endoluminal dilation, which can easily be achieved with high-pressure balloons, microdrills, forceps, or simply with a larger endoscope. Defining the exact location and extension of a stenosis is challenging. Placement of an intra-ductal stent is sometimes necessary. After appropriate exploration of the gland ducts, endoluminal irrigation with steroid solution under endoscopic control may be useful to remove mucous plugs and alleviate ductal inflammation.

**Learning curve in sialoendoscopy**

There are few reports in the literature regarding the learning curve for sialoendoscopy. This procedure, like all endoscopic techniques, requires specific skills. According to Luers, a shorter learning curve can be assumed since otolaryngologists commonly have experience with endoscopic procedures in general and an experienced supervisor can support the process by direct feedback and practical help. However, sialoendoscopy differs from other endoscopic procedures in many ways (smaller endoscopes, newer instruments, endoscopy in a fluid-filled branched system). The actual endpoint of the individual learning curve with performance results, operating times and rate of complications similar to those reported in the literature, can be reached in approximately 50 cases.

As with any new form of technology, there are several barriers in beginning a successful sialoendoscopy program. Kroll, in a statistical survey regarding the prevalence of sialoendoscopy in ENT clinics, documented how, in 2009, it was performed in only a minority (24%) of ENT Departments in Germany. Its diffusion was hampered by technical problems, a lack of cost benefits, a lack of adequate instrumentation and a small number of patients.

The first difficulty encountered when beginning this new technique is the elevated initial costs of the sialoendoscopes and related equipment. Technically, the first problem is related to difficulties in canalising and dilating the duct to allow appropriate endoscopic use, bypassing and dilating strictures. Vairel et al. found it impossible to catheterise in 6 cases of the first 101 (5.9%) in their experience. When initial identification and dilation of the punctum seems challenging, it may be useful to perform it under magnification with loupes or, as reported by other authors, with a microscope.

Sialoendoscopic treatment of salivary stones may improve with increased surgical experience. Modest et al. reported their experience in two consecutive groups of patients presenting sialolithiasis. In the first group of 43 patients, endoscopic removal occurred in 20% of cases and gland resection was required in 34.3% of patients, while in the second group of 39 patients, endoscopic removal increased to 35.9% of patients and gland resection was reduced to 0%.

Another parameter in the advancement of the learning curve can be defined by the need to perform the sialoendoscopic procedure under general anaesthesia less and less frequently. Operating on the first cases under general anaesthesia may be helpful to avoid patient discomfort due to longer procedure times. According to Vairel et al., with an increase in experience, a higher number of inter-
Conventional sialoendoscopies can be performed under local anaesthesia, limiting the use of general anaesthesia to more complex cases.  

Indications of sialoendoscopy and review of decision-making algorithms  
The goal of sialoendoscopy is to resolve the obstructive condition preserving at the same time a physiologically intact gland. Over the years, several treatment algorithms for sialolithiasis and obstructive pathologies have been proposed to provide a more accurate selection of cases. These algorithms have generally shown consensus concerning the size of stones, site of obstruction and technologies available.

The current clinico-diagnostic algorithm for any glandular swelling includes ultrasound and the use of diagnostic and therapeutic sialoendoscopy (Fig. 1). The actual indications of sialoendoscopy are sialolithiasis, stenosis, foreign bodies, polyps, recurrent sialoadenitis and sialoadenosis.

In detail, analysing the sialolithiasis: small, mobile stones of 3-4 mm or less can be easily removed via simple basket extraction, while larger, impacted stones with diameters > 7 mm are generally treated with combined endoscopic and transoral/transfacial approaches. For stones between 4 and 7 mm, the best treatment depends on available technology. If stones are too large for simple basket retrieval, they need to be fragmented before endoscopic extraction (Fig. 2).

Concerning parotid sialolithiasis, some authors described different approaches based on the size and location of the stones (Fig. 3):

1. Anterior third of SD (distal duct): interventional sialoendoscopy must be the first therapeutic option in case of stones < 7 mm, eventually combined with transoral removal.
2. Middle third, (middle, proximal duct): other options for stones > 3 mm include stone fragmentation by (extracorporeal shock wave lithotripsy, ESWL) or intracorporeal lithotripsy followed by interventional sialoendoscopy combined with transcutaneous or lifting approach.
3. In the posterior third of SD (intraparenchymal), sialoendoscopy combined with fragmentation techniques, combined surgery, or ESWL, are the only alternatives to parotidectomy.

In submandibular gland sialoliths, the current algorithm is based on the location of the stones (Fig. 4):
1. Distal duct/papilla. If there are mobile ductal stones < 5 mm, sialoendoscopy with basket retrieval may be the first attempt, and papillotomy may be necessary; if the stones are impacted, transoral duct slitting is generally performed before interventional sialoendoscopy.

2. Proximal duct/hilum: in case of small, mobile stones < 5 mm attempting to remove the stone with a wire basket or grasping forceps is indicated; if stones are > 7 mm and palpable, a transoral duct incision or combined endoscopic-guided removal can be performed if fragmentation via ESWL or laser lithotripsy are not available.

3. Intraparenchymal: mobile stones < 7 mm can be removed via interventional sialoendoscopy if they are impacted; stones > 7 mm up to 10 mm can be fragmented with laser or ESWL allowing endoscopic removal.

In case of partial success or failure of sialoendoscopy, endoscopically-assisted transoral removal can be performed; however, sialoadenectomy still remains the definitive therapeutic solution even in case of failure as well as intraparenchymal stones not fragmented by ESWL.

The characteristics of the stenosis may be assessed by ultrasound or MRI, but in recent years sialoendoscopy has contributed to the introduction of the LSD (lithiasis, stenosis and dilatations) classification system (2007). LSD classifies the stenosis according to site, extension and number.

Sialoendoscopy has the advantage of direct assessment, allowing differentiation between an inflammatory reaction from a fibrous stenosis. The majority of the former may be successfully treated conservatively (irrigation and intraductal steroid instillation), whereas the latter can usually only be managed by endoscopically-controlled instrumental dilatation. Besides papillotomy and distal duct incision, resection of the affected segment and duct repair are generally successful. Stent implantation is important to prevent restenosis and many authors advocate it even if there is still no worldwide consensus on this issue regarding the time and positioning of stenting. In rare cases, ligation of the duct with subsequent parotid atrophy is an option and avoids parotidectomy, but with success rates of only around 50%. As an additional option, repeated intraglandular application of botulinum toxin may also be attempted as an alternative to gland removal. The diagnostic algorithm for stenosis or strictures of the submandibular glands and parotid glands is illustrated in detail in Figures 5 and 6.
Fig. 3. Management of parotid salivary stones (from Koch et al. 2009, mod.).

Fig. 4. Management of submandibular gland stones (from Koch et al. 2009, mod.).
Fig. 5. Algorithm for management of parotid gland stricture/stenosis (from Koch et al., 2009 [24], mod.).

Fig. 6. Algorithm for management of submandibular stricture/stenosis (from Koch et al., 2009 [24], mod.).
Fig. 7. Algorithm for treatment of salivary glands obstruction without ESWL (from Fritsch 2009, mod.).
The correct integration with therapeutic options such as laser lithotripsy or ESWL obviously depends on the technologies available. For these reasons, there are differences in stone treatment algorithms used by physicians who have access to ESWL and those who do not, mainly in terms of stone dimensions (Fig. 7).

**Lithiasic pathology**

**Intracorporeal lithotripsy**

Since the mid-1980s, much thought has been given to apply lithotripsy to salivary glands similarly to that used for urinary tract stones. It is well-known, in fact, that salivary gland function can recover after stone removal alone. With the introduction of sialoendoscopy, intracorporeal lithotripsy has been proposed as a promising alternative to ESWL. The limitation of the endoscopic approach without laser lithotripsy is usually considered the size of the stone, which allows removal of stones no more than 4 mm in diameter with a wire basket or grasping forceps, whereas larger stones or stones impacted in the duct require fragmentation. Endoscopic laser lithotripsy has the potential to treat many stones larger than 4 mm with minimal complications and allows preservation of a functional salivary gland. This technique was introduced in the early 1990s when laser, electrohydraulic probes and pneumatic lithotripsy were tested. Due to possible adverse effects, such as facile duct perforation and surrounding tissue damage, it was soon clear that both intracorporeal electrohydraulic and pneumatic lithotripsy were not feasible procedures for salivary stone treatment. Therefore, many authors focused on the use of laser lithotripsy and further case series were published on the clinical use of different lasers. Currently, there is still no consensus as to which laser is the most efficient in performing lithotripsy on salivary stones, although most studies have reported Ho:YAG laser as the first choice. Ho:YAG laser creates pulsed energy with a wavelength of 2080 nm which is near the peak for absorption of water; lithotripsy is achieved by a photomechanic effect with a collision of the particles of the stone and then a photothermal effect on the surface level. This associates the fragmentation effect with vaporisation of the stone’s surface. It creates a shockwave when the laser is activated and the tip of the fibre is placed perpendicular to the stone’s surface. Lithotripsy is then activated by a cavitation/fragmentation technique until the stone is completely fragmented, and then washed out or retrieved with a basket or grasping forceps without damaging the epithelium of the duct. Extreme care is necessary to avoid pieces that are sharp enough to damage the walls of the duct or remain encased during retrieval and to avoid activating the laser when the tip is in contact with the duct wall. However, there are no reports about side effects due to laser lithotripsy in clinical practice.

Regardless of the type of laser used, intracorporeal lithotripsy overcomes the need for combined approaches or open interventions. The advantage of most lasers is that the fibres have small diameters, sometimes as small as 200 μm, allowing application of high-watt intensities for fragmentation of stones even in the proximal duct system or behind stenotic areas. Currently, the real limitations are represented by stones that are only partially visible due to the possible laser action to the ductal wall that may inadvertently cause perforation and by stones that are too large in size (> 15 mm) due to the excessive length of the procedure. Similarly, the hardness of stones can play an important role in fragmentation and, consequently, in the duration of the procedure.

**Extra-corporeal lithotripsy for salivary stones: indications and limitations**

The experience acquired with ESWL techniques has been widely described in the literature. The shockwaves produced by an extra-corporeal source (electromagnetic or piezoelectric) have the aim of fragmenting stones so that they can be flushed out by physiological saliva flowing out the duct. ESWL is usually performed under US control, which allows stone identification and targeted administration of the shockwave with real-time visualisation of the fragmentation process and avoiding any iatrogenic lesions of surrounding tissue. The most frequently used ESWL energy source is electromagnetic as it is minimally invasive and can be used on an outpatient basis without anaesthesia. It was introduced in the 1980s for the treatment of renal calculi and gallstones. Electromagnetic and piezoelectric sources exploit the compressive and expansive waves generated by the difference in impedance at the stone-water interface and cause stone cavitation. After encouraging results with multiple animal and in vitro experiments, the first successful ESWL for human sialolithiasis was carried out by Iro et al. in 1989 using a device designed for renal lithotripsy. Since then, dedicated instruments have been designed and the use of ESWL has become increasingly widespread. Ultrasonography is used to focus the shockwaves on the stone. Stones that can be identified ultrasonographically and have a diameter of at least 2.4 mm (diameter of the focus) are potentially amenable to treatment. Contraindications for ESWL are complete distal duct stenosis, pregnancy, and the presence of a cardiac pacemaker. Relative contraindications include acute salaladenitis or other acute inflammatory processes of the head and neck and treatment should be postponed in these cases. ESWL is considered safe, and only minor and self-limiting undesired effects have generally been reported, including pain of the treated area, glandular swelling, ductal bleeding and cutaneous petechiae.

As for the effectiveness of electromagnetic ESWL of salivary stones, it is quite difficult to compare published
results directly due to differences in criteria used to define outcomes: definition of complete or partial success (< 2 mm and > 2 mm), or symptom status after lithotripsy. On the basis of the published findings, the success rate is higher in parotid stones than in submandibular stones: complete stone clearance has been reported in 39-69% of parotid stones, but only 26-42% of submandibular stones treated electromagnetically, and respectively, 33-81% and 29-40% of those treated piezoelectrically. Moreover, multivariate analysis of one of the previous studies showed that favourable outcomes were significantly associated with a younger age (< 46 years), parotid location (intra-ductal), stone diameter (< 7 mm) and a lower number of therapeutic sessions 35.54. However, despite the availability of specific indications for ESWL, US shows that a significant number of patients still have residual fragments in the affected gland, although most are asymptomatic and do not require additional procedures. It is well known that ultrasound is not accurate in stones with a diameter less than 1.5 mm. Consequently, the undetectable microliths (consequence of ESWL) can act as a nidus for recurrence; this is why, nowadays, ESWL is proposed in combination with interventional sialoendoscopy to verify and obtain cleansing of the salivary duct system 55.

In conclusion, the main indication of ESWL is for parotid calculi, but it can still be chosen for selected submandibular stones such as impalpable pure intraparenchymal ones as an alternative to sialadenectomy. The main limitations are the need for multiple sessions and the fact that residual stone fragments inside the duct system may require a multimodal approach (together with interventional sialoendoscopy and sialoendoscopy-assisted surgical removal) in some patients.

Combined approach to submandibular and parotid gland for calculi

Clinical experience with ESWL has shown that this technique is successful for most parotid stones. However, submandibular stones, in particular large stones (> 7 mm) and those in the hiloparenchymal region, are not responsive to this type of treatment 35. Sialoendoscopy is an adequate procedure for all mobile stones and for small (< 5 mm) stones of the submandibular and parotid duct system 9.56.57. About 10% of patients with parotid stones treated with ESWL and sialoendoscopy remain symptomatic and require further treatment 58. In recent years, an endoscope-assisted surgical approach has been proposed for the management of proximal duct and intraparenchymal stones of the submandibular gland and for large, palpable and fixed stones of the parotid gland 27.59-67. An intraoral approach for submandibular stones, well known since 1968, has emerged as the primary treatment modality in the last 15 years. The results of experience acquired in dedicated centres show that successful stone retrieval may be achieved in the majority of patients if adequate preoperative assessment delineating the position and size of the stone is performed (manual palpation of the stone in the oral floor and ultrasound). In particular, ultrasonography is able to distinguish the position of the stone in the main duct and the hiloparenchymal region. The sialoendoscopic inspection of the duct system is extremely useful in guiding the surgeon during the search for deep intraparenchymal stones or to check the hilar cavity after the removal of the main stone for any residual sialoliths. The surgical procedure is preferably performed under general anaesthesia. A low rate of complications has been encountered, mainly represented by persistent or transitory lingual nerve damage, hilar stenosis and ranula 27.59-67. The few failures are limited to patients with non-palpable intraparenchymal calculi adherent to gland tissue 65. A relatively low number of symptomatic recurrence of calculi (16.3%) have been observed, in particular in patients who previously underwent ESWL; in these patients, secondary or tertiary minimally invasive procedures such as ESWL and interventional sialoendoscopy can be proposed to reduce the indication to traditional sialadenectomy 63.

A sialoendoscopy-assisted surgical approach for symptomatic parotid calculi has recently been described 60.62.65. Indications include large, palpable, fixed, duct and parenchymal stones, calculi not responding to minimally invasive approaches (ESWL or interventional sialoendoscopy) and strictures of SD that can impede stone removal by a minimally invasive approach 58. Two stone removal options are available: the modified rhytidectomy approach under general anaesthesia and facial nerve monitoring, and the cheek approach through a direct cutaneous incision over the palpable and superficial stone under local anaesthesia. In the former procedure, a parotid sialodrain is usually inserted along the duct after its incision to favour the release of the stone, and the parotid duct is sutured with 6/0 suture while the stent is sutured to the oral mucosa. A retrograde sialoendoscopic check with saline lavage is performed in both procedures to exclude any additional calculi. No facial nerve damage has been described after these surgical approaches, although a low number of sialoceles, stenosis and salivary fistula have been reported. Based on the experience of five major centres, a successful result is achieved in most of patients suggesting that an endoscope-assisted surgical approach to parotid calculi is a viable alternative to traditional parotidectomy.

Non-lithiasic pathologies

Autoimmune disorders of the major salivary glands

Autoimmune disorders of the major salivary glands can be divided in two categories. A first group includes the IgG4-correlated sclerosing disease (MS IgG4), such as Mikulicz Syndrome, Kuttner tumour and chronic sialoendoscopic sialadenitis, and another group including Sjögren’s syndrome.
The aetiology of MS IgG4 is unknown, but it has an autoimmune pathogenesis with connective tissue invasion by T CD4+ lymphocytes, T CD8+ lymphocytes and IgG4-producing plasma cells. The salivary glands involved progressively reduce saliva production thus tending to cause stasis within the ducts due to stenosis and/or extraordinary dilatation induced by surrounding fibrosis. Sialolithiasis formation is frequent. Until recently, sialoendoscopies have not been described in these patients, and systemic steroids represent elective therapy.

In Sjögren’s syndrome, 80% of the immune response is represented by CD4+ T lymphocytes; there is also a significant presence of interleukin and antibody production. The disease attacks the ducts of all exocrine glands including the salivary glands. Bilateral gland swelling is often present causing duct obstruction due to lymphocytic inflammatory infiltrates. Swelling is typically recurrent with complete remission intervals. Pain is moderate and increases during chewing. Sometimes gland swelling is absent, and various hypotheses have thus been proposed to explain the xerostomy (which is always present): these include gland damage and neuron degeneration consequent to vasculitis and neuron transmission inhibition by antimuscarinic antibodies. Autoantibodies may be present in the blood, although they are not specific for Sjögren’s syndrome. At sialoendoscopy, the involved glands express, at the main and secondary duct levels, wall hyperaemia with a marked vascular reticule showing perivascular inflammation and congestion. Later, with progression of the substitute sclerosis of parenchymal tissue, the ducts seem pale and poorly vascularised. Mucous plugs are often present within the duct lumen and eventually obstruct the ducts partially or completely with saliva stasis and inflammation where the obstruction begins, causing temporary gland swelling and pain.

**Juvenile recurrent parotitis**

Juvenile recurrent parotitis (JRP) is the second most common disease of the parotid gland after mumps in children. It typically occurs between 3 and 6 years of age, more frequently in males and in most cases shows self-restraint at puberty. JRP is a non-specific sialoadenitis characterised by a non-obstructive, non-suppurative inflammatory process with acute episodes of unilateral (less frequently bilateral) parotid swelling and pain, lasting between few days and a couple of weeks, interspersed by varying disease-free periods. The aetiology of JRP remains unclear. The sialographic demonstration of duct ectasis combined with an accurate clinical and symptomatological evaluation still represents the diagnostic hallmark of JRP. Sialoendoscopy is demonstrated by multiple radio-opaque dye among dilated interlobular ducts, typically detected in both parotids even when swelling is limited to one side, and the severity of which is not correlated with the clinical course of disease. Sialoendoscopic diagnosis is as significant as that made sialography. In the last few years, there are many reports on the striking role of sialoendoscopy in diagnosis and treatment of JRP. Recently, some authors have demonstrated that sialoendoscopic diagnosis is as significant as that made with conventional imaging. Furthermore, sialoendoscopic examination allows detecting characteristics of JRP that might be difficult to observe with US or other imaging techniques, such as the lack of natural vascularisation of the ductal wall. Finally, a recent work of Ardekian et al. showed three cases of chronic parotitis secondary to MH showed a statistically significant correlation between sialoendoscopic findings and clinical outcome, also validating the sialoendoscopy as an effective treatment for this condition. Sialoendoscopy, in fact, may break the cycle of ductal inflammation by washing out intraductal debris and dilating the stenosis.

**Recurrence parotitis and masticatory disorders**

Masseter muscle hypertrophy (MH), also referred to as benign or idiopathic masseter hypertrophy, may play a role in the aetiology of recurrent obstructive parotitis. The aetiology of MH is still unknown, but several authors claim that emotional stress results in chronic overuse of the jaws due to clenching, bruxism, constant chewing, or temporomandibular joint disorder. A relationship between parotitis and masseter hypertrophy has been recently described. In particular, Reddy et al. showed three cases of chronic parotitis secondary to an acute bend in SD caused by an enlargement of the masseteric space.

The diagnosis of this concomitant condition is made on clinical signs, imaging and sialoendoscopic findings. Patients typically show recurrent unilateral swelling of the face during meals, mastication with unilateral or bilateral tenderness, enlargement of the masseter muscles and dental wear facets consistent with bruxism. CT or cone beam 3D CT can be useful to detect bone abnormalities secondary to MH and to check for temporo-mandibular joints. Conventional MR and MR-sialography can be done to depict the relationship between the involved parotid gland, muscle hypertrophy and ductal dilation secondary to ab estrinseco compression of masseter muscles. Finally, electromyographic evaluation of masticatory function may have a therapeutic effect due to the irrigation of ductal system (free-radicals flushing), and the action of antiseptic iodine into the ducts may be helpful in healing.

With the improvement of less-invasive imaging techniques, such as CT, US and MRI, diagnostic approaches different from sialography are available. The first-line treatments of acute swelling episodes usually consist of the association of analgesics, anti-inflammatory drugs and antibiotics. Sialagogues and gland massages remain useful additional therapy. Corticosteroids are indicated in severe forms.
muscles can be done to evaluate muscular activities. Diagnostic sialoendoscopy may be helpful to detect typical kinkings or acute angles of SD due to external muscle compression.

The treatment of this condition is usually multimodal and should consider the management of both conditions, namely MH and parotitis. Non-surgical therapy includes reassurance, muscle relaxants, injection of botulinum toxin type A, dental restorations and occlusal adjustments and nightly bite guard use. Surgical management includes an intraoral approach with reduction of deep mas- seter muscle and monocortical and bicortical ostectomy of the angle of the mandible.

Management of recurrent parotitis is based on intervention- tional sialoendoscopy of the parotid glands with dilation and irrigation of the duct system with saline and steroid solution. Concomitant injections of botulinum toxin type A in the masseter muscle and parotid gland have been proposed to obtain functional silencing of the parotid gland and relaxation of masseter muscles. Diagnosis of recurrent obstructive parotitis secondary to masseteric hypertrophy should be done every time diagnostic sialoendoscopy does not reveal intraluminal causes of obstruction but only duct kinkings, and clinical evaluation of the cheek reveals tenderness and enlargement of masseter muscles. In this case, an orthodontic diagnostic and therapeutic work-up should be planned to facilitate clinical recovery.

Radiiodine sialadenitis
Thyroid gland cancer management with radioactive iodine (131I) has led to the development of salivary gland injuries specifically related to the harmful effects of the radioisotope. According to the literature, up to 69% of post-radiiodine salivary dysfunction and more than 25% of radiiodine sialadenitis is present at 12 months after treatment.

Salivary gland tissues express the ability to concentrate iodine due to a sodium/iodide symporter placed in parenchymal and, prevalent mostly, in ductal cells. It has been assessed that the salivary iodine concentration ranges from 20 to 100 times the level detected in plasma and up to 24% of administered 131I is secreted into the saliva. Therefore, the salivary glands become a potential collateral target of radioactive iodine therapy, and obstructive sialadenitis is usually the first gland effects due to irradiation. Moreover, the vascular endothelium of salivary glands results in increased permeability because of 131I damage, leading to leakage of plasma proteins and electrolytes.

Since serous glands, and especially the excretory ductal system, are more frequently involved than mucous glands, radiiodine sialadenitis may be mainly defined as a ductal disease of the parotid gland.

As a physiopathological consequence of 131I exposure, the pivotal processes may be resumed as follows:

- Ductal obstruction secondary to periductal inflammation and an inflammatory infiltrate;
- Ascending gland infections related to the reduced ability to drain saliva;
- Radioisotope diffusion into salivary gland parenchyma and biochemical salivary changes by through increased capillary permeability.

The overall described mechanisms determine salivary flow decrease, stagnation and mucus precipitation with mucous plugs formation. Furthermore, they trigger an inflammatory vicious circle that upgrades 131I retention. Recurrent inflammatory and/or infectious events may result in chronic gland sclerosis. Pain, swelling, distorted taste perception and subsequent xerostomia are common symptoms. Clinical presentation, essentially obstructive in nature with bilateral predominance, may occur early (within the first 48 hours after irradiation) or late at 3-6 months from the beginning of 131I treatment.

Historically, treatment of 131I sialadenitis included sialogogic agents followed by gland massages, heat, steroids, adequate daily fluid intake, mouthwashes, duct probing and antibiotics. According to Kim et al., the benefits perceived from conservative therapies have been estimated in no more than 71% of patients treated with 131I. Up to now, recalcitrant sialadenitis may only be submitted to adenectomy as the sole option available after failure of medical therapy.

In 2006, Nahlieli et al. published encouraging results concerning a novel employment of salivary gland videoendoscopy in 15 patients with radiiodine sialadenitis. Since then, three other international experiences have reported on the added advantages of sialoendoscopy as a minimally invasive procedure for both diagnostic and therapeutic purposes.

Results
Sialoendoscopy: analysis of outcomes
Many international experiences have reported on the effectiveness and safety of sialoendoscopy in both adult and paediatric patients. Herein, sialoendoscopic outcomes will be discussed with particular focus on the main causes of benign salivary duct obstructions.

Salivary stones
Sialolithiasis is undoubtedly the most frequent area of application, used in 60-70% of all sialoendoscopic treatments. In particular, video-endoscopic findings stress not only the interventional aspects, but also the diagnostic role of sialoendoscopy. Assessment of undiagnosed recurrent obstructive symptoms with sialoendoscopy reinforce that it is an additional tool that can fill the diagnostic gap between clinical suspicion and instrumental investigation. A monocentric retrospective study reviewed the results on 1154 patients submitted to sialoendoscopy after US. Provisional US diagnosis of salivary stones was ex-
cluded by video-endoscopy in 21% of parotid glands and in 7% of submandibular glands. Nahlieli et al. focused on the diagnostic gain produced by sialoendoscopy in 236 cases: stones were revealed only after sialoendoscopy in 63% of parotid glands and in 32% of submandibular glands. These findings have led some authors to consider the epidemiology of traditional salivary stones because of the relatively high percentage of US misdiagnosis involving parotid glands.

The working channel of salivary endoscopes allows both diagnostic and therapeutic operations, and there are many publications that have documented high success rates. A systematic review and meta-analysis searched all articles published since October 2010. Sialoendoscopy alone provided a success rate (symptom-free percentage) of 86% in 1213 patients (19 publications) which increased to 93% in 374 patients when sialoendoscopy was performed with a combined surgical approach (11 publications). Combined external surgical approaches comprised small or large transoral incisions and preauricular skin flaps. Salivary gland adenectomy was required in 0-11% of cases. Despite the meticulous work of the meta-analysis, the Authors considered that the main weakness of their study was due to the large heterogeneity of the articles included. More specifically, the results not only involved treatment of salivary stones, but also other causes of obstruction (e.g. ductal strictures or polyps) mixing both parotid and submandibular glands. Therefore, the pooled percentage of success may be considered susceptible to variation compared with “true outcomes”.

A multicentre international observational study lasting 14 years on 4691 patients with sialolithiasis did not meet the selection criteria of the above meta-analysis. In fact, first-line treatments included not only sialoendoscopy, but also extracorporeal shockwave lithotripsy or stones removal under fluoroscopic/radiographic guidance. The overall success rate was 80.5% (complete calculi removal) and 16.7% (partial calculi removal) with an incidence of sialadenectomy of 2.9%. Appropriate patient selection allows high success rates and reduces adverse events: the size, site, shape and orientation of salivary stones strictly correlates with the probability of successful endoscopic stone removal.

**Salivary duct anomalies**

Strictures, polyps, kinks and foreign bodies are considered the second most frequent cause of benign salivary duct obstruction. Several miniaturised devices coupled with a sialoendoscope may be adopted to address these obstructive disorders such as balloons, grasping forceps and stents. As previously reported, no systematic review has been published on outcomes in salivary duct anomalies other than sialolithiasis. Ardekian et al. retrospectively analysed sialoendoscopic outcomes in 335 glands and found 87 cases of strictures. Sialoendoscopy was successful in 81.7% of the affected parotid glands with similar results to Nahlieli O. who documented a success rate of 80-81% for strictures and 100% for kinks.

**Radioiodine sialadenitis**

Three studies (33 patients) were included in the meta-analysis by Strychowsky et al., The percentage of patients with complete resolution of symptoms ranged from 50-100%, with no major complications reported. One noteworthy finding is the high rate of inability (50% of cases) to cannulate gland ducts reported by Kim et al. Salivary gland excision was only described by Prendes’s experience in 9% of patients.

**Juvenile recurrent parotitis**

Updated to August 2013, a recent work overviewed the existing literature concerning sialoendoscopic outcomes in patients suffering from JRP. Despite the limits of the included studies (level of evidence 4, relatively small population, absence of long-term follow-up), the high success rate achieved (symptom free: 78%, partial regression: 22%) support the positive role of sialoendoscopy in prevention of recurrent attacks. International experiences have also confirmed the diagnostic benefits of sialoendoscopy, since direct endoscopic exploration allows for differential diagnosis among dissimilar causes of obstruction.

**Autoimmune sialadenitis**

Currently, a limited amount of information is available on salivary gland videoendoscopy in patients with autoimmune sialadenitis, and additional evidence is needed.

**Contraindications and management of complications**

Sialoendoscopy has few contraindications, and in almost all cases it is possible to perform the endoscopic procedure. From a review of the literature, an exclusive endoscopic procedure is contraindicated in acute sialoadenitis, complete distal duct stenosis, symptomatic intraparenchymal stone and limited mouth opening, even if the latter is a contraindication mainly in a combined endoscopically/external or intraoral approach.

Complications in an exclusive endoscopic approach are rare, and most are minor: even temporary glandular swelling, routinely present in almost all procedures (88%) is mainly considered a correlated effect rather than a true complication. The most frequent complications in an endoscopic approach are post-operative duct strictures, laceration of the duct (including device blocks or rupture) and infection. Iatrogenic post-operative ductal stricture are not so common: they are less than 2% and in most cases is related to stone removal > 5 mm. Laceration of the duct is present in about 5% of cases, but long term salivary fistula is rarely related with laceration. Papilla infection is quite common, seen in around 23% of patients, while...
glandular infection is relatively infrequent (2.5%)\textsuperscript{14, 93}. The breaking and blocking of endoscopic tools inside the duct is another possible complication, which is only rarely reported. It does not appear to be a major concern, as in all reported cases except one endoscopic removal of the instrument was possible. Complications are related to the duration of the procedure: longer procedures are associated with an increase rate in side effects\textsuperscript{14, 89, 90, 96}. As for a combined or external approach, the most frequent complications are pain of the floor of the mouth (8%)\textsuperscript{27}, temporary lingual nerve paresthesia (4%)\textsuperscript{96}, ranula formation (3%)\textsuperscript{65, 96} and definitive lingual nerve palsy (< 1%)\textsuperscript{65}. Considering the combined approach, the rate of complications such as fistula or duct stenosis/laceration\textsuperscript{14, 27} is not significantly higher than in the endoscopic group. The only different complication is temporary or definitive palsy of the lingual nerve\textsuperscript{27, 59, 96}, for which only medical treatment is required. Other related complications such as swelling of the floor of the mouth and ranulas are seen in less than 1% of cases, and no treatment is required\textsuperscript{65}. Complications after sialoendoscopy usually resolve spontaneously: post-operative gland swelling shows complete recovery usually after a few days (1-4)\textsuperscript{23}, except in rare cases of duct or hilar fistula in which compressive medication or botulinum treatment is needed. Post-operative duct stricture requires medical treatment, after which a second endoscopic approach may be necessary with duct dilatation and/or sialo-stent positioning\textsuperscript{14, 22, 27}.

In conclusion, sialoendoscopy (both endoscopic and combined approach) has a low rate of complications and side effects, which in most cases are easy to manage. At centres where the salivary endoscopy is performed, sialadenectomies for obstructive pathologies are needed in less than 10% of all cases.

Conclusions

Is sialadenectomy still indicated in obstructive salivary pathologies?

Sialadenectomy, while remaining the gold standard for salivary gland neoplasms, has greatly reduced its role in cases of obstructive diseases due to the introduction of interventional sialoendoscopy. Nevertheless, sialadenectomy continues to have a role in all cases where, due to the size, location and number of stones or due to irreversible pathological conditions of the gland (massive fibrosis, multiple stenoses, chronic sialadenitis), an endoscopic technique may not lead to satisfactory results or does not prevent the appearance of relapses. A limitation of sialoendoscopy alone, taking into account the size of stones, is the difficulty in removing stones with a diameter > 4 mm\textsuperscript{14}. This constraint has been overcome through the use of lithotripsy achieved by different types of intracorporeal lasers or by extracorporeal shock waves\textsuperscript{97}. These techniques are time consuming and not readily available in all centres. Its best success rates up range from 75% for the parotid to 40% for the submandibular gland\textsuperscript{35, 46} and seem similar for both external and intraductal lithotripsy\textsuperscript{98, 99}. On the other hand, holmium:YAG, and to a lesser degree thulium:YAG\textsuperscript{23} laser fibres, may inadvertently cause damage to duct walls\textsuperscript{21} while dye laser at 350 nm which are absorbed by the tissues are still expensive\textsuperscript{62}.

Marchal, in his cases series of interventional sialoendoscopy with laser fragmentation, reported 20% of failures due to large stones (6 mm or larger) and stenotic ducts, particularly in the parotid gland. In these cases, sialoendoscopy can be used to treat such failures\textsuperscript{23}.

The introduction by Nahlieli\textsuperscript{65} of a combined technique for removal of stones, which consists in locating the stone endoscopically and extracting it with a minimally invasive technique, has further reduced the number of cases in which sialoadenectomy is necessary.

However, Marchal, in a selected case series of combined procedures, had to remove the submandibular gland shortly afterwards in 28% (8 of 29) of patients for postoperative closure of the mucosa of the floor of the mouth leading to continuous swelling of the submandibular gland after an initial period of remission. In the same series, failures of the combined technique for parotid obstructions were due to polycystic ductal disease and mega SD\textsuperscript{62}.

Giant salivary stones (≥ 15 mm) can be treated using a combined technique, although in some cases (from 14% to 43%) they require sialoadenectomy\textsuperscript{99}.

Zenk et al. conducted a case series of 1033 patients with sialolithiasis, the largest up to date, using transoral removal or endoscopy alone, respectively, in 92 and 5% of submandibular lithiasis with long-term success rates of ≥ 90%. Parotid stones were removed by salivary gland endoscopy (22%), combined endoscopy with an incisional technique (26%), or ESWL (52%), with long-term success rates of 98%, 89% and 79%, respectively. Submandibular or parotid glands had to be removed in 5% of cases\textsuperscript{89}. Similar gland excision rates (from 0 to 9%) are reported in recent literature\textsuperscript{100}.

Taking into account the location of the stones, another limitation of sialoendoscopy alone can be the difficulty in removing stones located in second and third ductal divisions, where combined approaches are not always efficient (in these cases the use of thulium laser lithotripsy may offer better results) or after an acute bend in the main duct\textsuperscript{44}.

Lastly, a contraindication for sialoendoscopy, and thus an indication for sialoadenectomy, is complete distal obliteration of the duct that is impenetrable by the endoscope which can occur in patients with a long-standing history of recurrent inflammations that leads to the impaction of the sialolith to the wall of the efferent duct\textsuperscript{14, 100}.

In conclusion, sialoadenectomy should be considered in cases of failures of transoral removal of hilar stones > 7 mm or failures after an extra-corporeal shock-wave lithotripsy for an intraparenchymal stone in the submandibular gland\textsuperscript{14}. 

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Sialoendoscopy is a relatively new procedure, but in the last few years it has rapidly spread worldwide. Although it was first described as an alternative procedure for salivary stone removal, it is now considered as the treatment of choice for obstructive pathologies of the salivary ductal system. Its popularity is continuously growing because it represents a relatively simple procedure and since the last decade most otolaryngologists have become experienced in different areas of endoscopic surgery. Moreover, the basic equipment, although fragile, does not represent an excessively expensive tool in the era of minimally invasive surgery.

Sialoendoscopic procedures, in addition to combined minimally invasive external or transoral approaches, have now drastically reduced the indication for salivary gland removal.

Even in the field of research, sialoendoscopy seems to offer a new perspective in the medical treatment of some emerging neurologic and autoimmune diseases usually presenting quantitative and/or qualitative alterations of saliva such as sialorrhoea and xerostomia. The increasing number of studies on sialoendoscopy emerging from analysis of the literature in this review confirm the rising interest of otolaryngologists in this new field of research and treatment modality.

References

Sialoendoscopy: state of the art

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Clinicopathologic characteristics of familial versus sporadic papillary thyroid carcinoma

Caratteristiche clinicopatologiche del carcinoma tiroideo papillare familiare a confronto con il carcinoma tiroideo papillare sporadico

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SUMMARY

It is unclear whether familial non-medullary thyroid carcinoma (FNMTCT) is more aggressive than sporadic carcinoma, and its prevalence is still under debate. In this study, we investigated the clinicopathologic features of familial papillary thyroid carcinoma (PTC) compared with its sporadic counterpart. We used data from our hospital between 2008 and 2014 to compare the features of 24 familial PTC with 80 sporadic PTC. The prevalence of familial PTC was 1.5%; 25% of familial PTC exhibited a parent-offspring relationship, and 75% exhibited a sibling relationship. There were significant differences in terms of Hashimoto’s thyroiditis, nodular goiter, multicentricity, bilaterality, histologic variant, T stage and N stage between the familial and sporadic PTC groups (all \( p < 0.05 \)). When we compared sporadic PTC with parent-offspring or sibling familial PTC separately, parent-offspring familial PTC was more Hashimoto’s thyroiditis and central LNM, while sibling familial PTC was more prevalent in multifocality and bilaterality than sporadic PTC. The recurrence rate was not significantly higher than that of sporadic PTC in familial PTC. The second generation in parent-offspring familial PTC patients exhibited an earlier age at diagnosis, greater multifocality and a higher metastasis rate than the first generation. Based on our results, we conclude that familial PTC is a clinically distinct entity with an aggressive nature. Because of the frequent presence of benign nodules, multifocality, bilaterality and high rate of recurrence, total or near-total thyroidectomy with neck dissection in these patients might be recommended. To date, the optimal clinical treatment is yet to be established, but improved awareness and screening will permit earlier detection, more timely intervention and improved outcomes for patients and their families.

KEY WORDS: Thyroid carcinoma • Papillary carcinoma • Familial • Clinicopathologic characteristics

RIASSUNTO

Non è chiaro se il carcinoma tiroideo non midollare familiare sia più aggressivo del carcinoma sporadico, ed è ancora dibattuta la prevalenza. In questo studio, abbiamo indagato le caratteristiche clinicopatologiche del carcinoma papillare tiroideo (PTC) a confronto con la sua controparte sporadica. Abbiamo utilizzato i dati ottenuti dal nostro ospedale tra il 2008 ed il 2014 per comparare le caratteristiche di 24 PTC familiarì con 80 PTC sporadici. La prevalenza del PTC familiare è stata 1,5%; il 25% dei PTC familiari vedeva un interessamento combinato genitore-figlio e il 75% vedeva interessati dei fratelli. Ci sono state differenze significative tra i gruppi di PTC familiari e sporadici in termini di tiroidite di Hashimoto, gozzo nodulare, multicentricità, bilateralità, variante istologica, stadio T e studio N (tutti \( p < 0.05 \)). Quando abbiamo confrontato il PTC sporadico con il PTC familiare rispettivamente con interessamento genitore-figlio e di fratelli, il PTC familiare con interessamento genitore-figlio presentava una maggiore associazione con la tiroidite di Hashimoto e LNM centrale, mentre il PTC familiare con interessamento di fratelli presentava una maggiore prevalenza di multi focalità e bilateralità rispetto al PTC sporadico. Il tasso di recidiva nel PTC familiare non è stato significativamente più alto rispetto al PTC sporadico. La seconda generazione dei pazienti con PTC familiare con interessamento genitore-figlio presentava un’età inferiore alla diagnosi, maggiore multi focalità ed un tasso di metastasi più alto rispetto alla prima generazione. Sulla base dei nostri risultati, possiamo concludere che il PTC familiare è un’entità clinicamente distinta con una natura aggressiva. A causa della frequente presenza di noduli benigni, multi focalità, bilateralità, e alto tasso di recidiva, nella totalità o quasi totalità dei casi in questi pazienti potrebbe essere consigliata la tiroidectomia con svuotamento laterocervicale. Ad oggi, il trattamento clinico ottimale è ancora da stabilire, ma una migliore consapevolezza e lo screening permetteranno una diagnosi precoce, un intervento più tempestivo, ed un miglioramento dei risultati per i pazienti e le loro famiglie.

PAROLE CHIAVE: Carcinoma della tiroide • Carcinoma papillare • Familiarità • Caratteristiche clinico-patologiche

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Introduction

Thyroid cancer is the most common form of neoplasia of the endocrine system, accounting for about 1-3% of all cancers, and in the USA the yearly incidence has increased from 3.6 per 100,000 in 1973 to 8.7 per 100,000 in 2002 1. The incidence of thyroid carcinoma is rapidly
increasing, with one of the fastest rates of increase among common human cancers. Currently, non-medullary thyroid carcinoma (NMTC) is the seventh most common tumour in women. Thus, it is important to identify patients at high risk for thyroid cancer.

Differentiated thyroid carcinoma, which includes papillary cancer, comprises the majority of all thyroid cancers. Differentiated thyroid carcinoma is usually sporadic except for some rare inherited diseases such as familial adenomatous polyposis, Gardner syndrome and Cowden’s disease. However, in 1955, Robinson and Orr first reported NMTC in monozygotic twins, while increased risk of thyroid cancer in individuals with a first-degree relative with thyroid cancer has been reported by population studies. Because some susceptibility genes have not been clearly identified, the entity of familial non-medullary thyroid carcinoma (FNMTC) is established as a diagnosis in patients with one or more affected persons among their first-degree relatives. The estimated frequency of FNMTC ranges from 2.5 to 11.3% among all thyroid cancer patients, although the precise prevalence is unknown. Based on previous studies from various countries, the aggressiveness of FNMTC remains a topic of debate, and it remains controversial whether the biological characteristics including prognosis in patients with FNMTC differ from those with sporadic carcinoma. Thus, we undertook a retrospective study to investigate the difference in clinicopathological features and prognoses between FNMTC and sporadic NMTC.

Materials and methods

Patients
Between January 2008 and July 2014, 2,402 patients underwent surgical treatment for thyroid cancer at the Department of Head and Neck Surgery in Liaoning Cancer Hospital & Institute. Exclusion criteria included prior exposure to radiation, non-curative surgery, anaplastic thyroid carcinoma, medullary carcinoma, malignant lymphoma, metastatic carcinoma from other organs and other inherited familial cancer syndromes; thus 815 patients were excluded from the study. Among the remaining 1,587 patients, 24 from 9 families were classified as having FNMTC as they had one or more first-degree relatives with thyroid cancer. First-degree relatives included parents, offspring and siblings. Because 24 FNMTC patients were all papillary thyroid carcinoma (PTC): 12 belonged to families having two affected members and the remaining 12 belonged to those having three or more affected members, we randomly selected 80 patients with sporadic PTC in the same study period as the control group. Clinicopathologic features were then analysed statistically in the two groups. Clinicopathologic parameters included age, gender, tumour diameter, multifocality, bilaterality, extrathyroidal invasion, method of surgery, preoperative thyroid stimulating hormone (TSH), combined chronic thyroiditis, presence of benign nodules, histologic subtype, lymph node metastasis (LNM), TNM stage and recurrence status. Patients were staged according to the seventh edition of the UICC/AJCC TNM staging system. All subjects gave their informed consent for the study, and the protocol was approved by our institutional review board.

Preoperative diagnostic protocol
Diagnosis and preoperative evaluation of each patient in our hospital were performed according to a strategy that was not changed during the study period. In our department, all patients underwent a careful history and thorough physical examination. Ultrasonography, fine needle aspiration biopsy (FNAB) and ultrasonography-guided FNAB were used. In this study, all patients underwent ultrasonography examination and qualitative evaluation of the nodules was performed according to these criteria. Diagnosis of papillary cancer was confirmed by FNAB guided either by palpation or ultrasonography. Furthermore, when a small nodule was present in the contralateral lobe, we sometimes used additional FNAB for the nodule to decide the extent of thyroidectomy. Metastases to the lung and mediastinal lymph nodes were evaluated by preoperative imaging studies, such as CT.

Follow-up
Patient progress was followed by clinical examination, ultrasonography and laboratory tests (i.e., TSH, free thyroxine, and thyroglobulin) to examine for signs of local recurrence. Moreover, we also performed FNAB on suspected masses or lymph nodes, and cytopathologic diagnosis was obtained. All patients were closely followed after surgery until August 2014. The median follow-up duration of patients was 59.7 months (range, 0.3-79.9 months).

Statistical analyses
Continuous data are presented as mean ± standard deviation (SD). A chi-square test was used for comparison of categorical variables. Continuous variables were compared using Student’s t-test. All analyses were performed using SPSS 16.0 statistical packages (SPSS, Inc., Chicago, IL, USA). A value of p < 0.05 was considered statistically significant.

Results
Surgical designs and clinical outcomes of PTC patients
The extent of surgery was decided based on preoperative findings and intraoperative pathological results. In the familial PTC group, 14 (58.3%) patients underwent total or near-total thyroidectomy and 10 (41.7%) patients underwent lobectomy or isthmusectomy or partial lobectomy. In the sporadic PTC group, 34 (42.5%) patients under-
went total or near-total thyroidectomy, 8 (10.0%) patients underwent subtotal thyroidectomy and 38 (47.5%) patients underwent lobectomy or isthmusectomy or partial lobectomy. We carried out neck lymph node dissection (LND) in 73 patients (70.2%). Twenty (83.3%) in the familial PTC and 52 (65.0%) in the sporadic PTC underwent a central LND. In 44 of these patients, an additional therapeutic unilateral LND was performed, mostly due to enlarged and suspicious nodes detected with preoperative ultrasound. Fifty-three of these 73 patients (72.6%) with LND had histological evidence of lymph node involvement. During lymphadenectomy, one to 23 lymph nodes were removed. The number of involved lymph nodes varied between 0 and 18.

To date, 26 patients developed recurrence: 3 had thyroid recurrence, and 4 had lymph node recurrence in the familial PTC; 9 had thyroid recurrence, and 10 had lymph node recurrence in sporadic PTC. These patients underwent re-operation, and they remain alive with no symptoms of further recurrence after second surgery. One patient with familial PTC had lung metastasis, and died of the disease 24 months after initial surgery.

Profiles of familial PTC patients and treatment

Of the 1,587 enrolled patients, 24 (1.5%) from 9 different families were diagnosed as having FNMTC. Table I summarises the backgrounds and clinicopathological features of these patients. Histology of carcinoma of 24 patients was all PTC, and the rate of histological variants was 50.0%, 37.5%, 4.2%, and 8.3% in classic, follicular, mixed, and tall cell, respectively. There were 9 males and 15 females (1:1.7) with an average age of 44 years (18-61 years). The average tumour size was 2.5 cm (0.3-5.0 cm). Twenty patients were stage I, and 4 patients were stage IV.

<table>
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<tr>
<th>Patient No.</th>
<th>Family No.</th>
<th>Gender</th>
<th>Age (years)</th>
<th>Tumour size (cm)</th>
<th>Combined thyroid disease</th>
<th>Histological variants</th>
<th>Bilaterality</th>
<th>Multifocality</th>
<th>Extrathyroidal invasion</th>
<th>LNM classification</th>
<th>pTNM classification</th>
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<td>Positive</td>
<td>Positive</td>
<td>Positive</td>
<td>T3N1bM0</td>
</tr>
<tr>
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<td>Follicular</td>
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<td>Negative</td>
<td>Negative</td>
<td>Negative</td>
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<td>Classic</td>
<td>Present</td>
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<td>Negative</td>
<td>Negative</td>
<td>T2aN1aM0</td>
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<td>Negative</td>
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<tr>
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<td>Negative</td>
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<td>Follicular</td>
<td>Absent</td>
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<td>Negative</td>
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<td>Negative</td>
<td>T3N0M0</td>
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</table>

LNM: lymph node metastasis; Sibling relationship: family 2, 3, 6, 7, 8, 9; Parent-offspring relationship: 1, 4, 5.
The incidence of bilaterality and multifocality was 54.2% and 62.5%, respectively. Extrathyroidal invasion and LNM were found in 4 and 18 patients, respectively. There were 6 patients with a parent-child relationship and 18 with a sibling relationship. Thyroid disease other than microcarcinomas occurred in 11 patients: Hashimoto’s thyroiditis in 5, nodular goiter in 4, cystadenoma in 1 and nodular goiter with adenoma in 1 patient.

**Comparison of clinicopathological differences between sibling and parent-offspring familial PTC**

We evaluated whether there were any differences in the clinicopathological characteristics of patients with sibling and parent-offspring familial PTC (Table II). Preoperative TSH was higher in sibling group than in parent-offspring group (2.78 ± 2.04 vs 1.28 ± 1.18, p = 0.34). Hashimoto’s thyroiditis (100% vs 27.8%, p < 0.01) and extrathyroidal invasion (50% vs 5.6%, p = 0.04) were more frequent in the parent-offspring group than in the sibling group. Women more commonly exhibited sibling PTC (72.2% vs 33.3%), and the rate of patients who were < 55 years was higher in the sibling PTC group (88.9% vs 66.7%); however, these differences were not significant (all p > 0.05). No significant differences between the two groups were seen considering other parameters.

**Comparison of clinicopathological characteristics of familial and sporadic PTC**

We next compared clinicopathological features between patients with familial and sporadic PTC (Table III). Hashimoto’s thyroiditis (45.8% vs 16.2%, p < 0.01), multifocality (62.5% vs 25.0%, p < 0.01) and bilaterality (54.2% vs 15.0%, p < 0.01) were more frequent in the familial PTC group than the sporadic PTC group. The incidence of central LNM was higher in the familial PTC group (70.8% vs 53.8%, p = 0.16). There was a significant difference in terms of histological subtype between the two groups (p < 0.01). There were no significant differences between the two groups considering other parameters, except for T stage, N stage and nodular goiter. Comparing the clinicopathologic parameters between sporadic PTC and parent-offspring or sibling PTC separately, we found that sibling PTC was associated with more multifocality (66.7% vs 25.0%, p < 0.01) and bilaterality (55.6% vs 15.0%, p < 0.01) than sporadic PTC. There were significant differences between the two groups in terms of T stage (p < 0.01) and N stage (p = 0.04). We also found that parent-offspring PTC was more prevalent in T3-4 stage patients (66.7% vs 13.7%, p < 0.01) and presented a higher rate of Hashimoto’s thyroiditis (p < 0.01) and central LNM (p = 0.04).

**Discussion**

The reported prevalence of FNMTN is ~5% of cases, varying from 2.5% to 11.3%. Due to the high prevalence of thyroid cancer, clustering of sporadic thyroid cancer in

### Table II. Clinicopathological features of sibling and parent-offspring familial PTC.

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<thead>
<tr>
<th></th>
<th>Sibling (n = 18)</th>
<th>Parent-offspring (n = 6)</th>
<th>P value</th>
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</tr>
<tr>
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<td>13 (72.2%)</td>
<td>2 (33.3%)</td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td></td>
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<tr>
<td>&lt; 55</td>
<td>16 (88.9%)</td>
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</tr>
<tr>
<td>≥ 55</td>
<td>2 (11.1%)</td>
<td>2 (33.3%)</td>
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<td>Tumour size (cm)</td>
<td>3.08 ± 1.38</td>
<td>3.17 ± 1.60</td>
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</tr>
<tr>
<td>Preoperative TSH</td>
<td>2.78 ± 2.04</td>
<td>1.28 ± 1.18</td>
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<tr>
<td>Hashimoto’s thyroiditis</td>
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<td></td>
<td>&lt; 0.01</td>
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<td>5 (27.8%)</td>
<td>6 (100.0%)</td>
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</tr>
<tr>
<td>Absent</td>
<td>13 (72.2%)</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>Nodular goiter</td>
<td></td>
<td></td>
<td>1.00</td>
</tr>
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<td>1 (16.7%)</td>
<td></td>
</tr>
<tr>
<td>Absent</td>
<td>14 (77.8%)</td>
<td>5 (83.3%)</td>
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</tr>
<tr>
<td>Multifocality</td>
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</tr>
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</tr>
<tr>
<td>Bilaterality</td>
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<tr>
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<td></td>
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<tr>
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<td>17 (94.4%)</td>
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</tr>
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<td>1 (16.7%)</td>
<td></td>
</tr>
<tr>
<td>T2</td>
<td>5 (27.8%)</td>
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<td>4 (66.7%)</td>
<td></td>
</tr>
<tr>
<td>T4</td>
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<tr>
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<tr>
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<td>4 (66.7%)</td>
<td></td>
</tr>
<tr>
<td>III + IV</td>
<td>2 (11.1%)</td>
<td>2 (33.3%)</td>
<td></td>
</tr>
<tr>
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</tr>
<tr>
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<tr>
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</tr>
<tr>
<td>Negative</td>
<td>13 (72.2%)</td>
<td>6 (100.0%)</td>
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</tr>
</tbody>
</table>

LNM: lymph node metastasis; TSH: thyroid stimulating hormone.
one family may not be rare. A vast majority of patients with FNMT present PTC, although benign thyroid lesions, such as multinodular goiter, are commonly found in members of these families. Our results revealed 9 families including 24 individuals affected with PTC, and thus the incidence was 1.5% in our series of 1,587 consecutive patients. Currently, the frequency of familial PTC is difficult to establish and only a few isolated case reports exist in the literature. Ozaki et al. reported 23 cases among 11 families, but did not give the frequency in comparison with sporadic PTC. Stoffer et al. in their study reported a 6.2% familial PTC rate among 226 consecutive patients. Thus, further study including a large number of patients with familial PTC is necessary to elucidate this issue.

Whether FNMTC is more aggressive than sporadic disease remains controversial. This aggressiveness in many studies is characterised by multicentricity, bilaterality, LNM, larger tumour, extrathyroid invasion, increased incidence of benign thyroid nodules and recurrent disease. Uchino et al. did not find a significant increase in local invasion or LNM, but reported a significantly higher rate of recurrence and multicentricity in FNMTC. Moreover, some authors have indicated that FNMTC often presents with more advanced UICC stages. On the other hand, many investigations also have claimed that there are no differences between the two entities. These studies have proposed that the therapeutic strategy for FNMTC might depend on the same conventional prognostic factors as those for sporadic NMTC, and not on whether the cancer was familial or sporadic. With regards to aggressiveness, we found that the familial PTC group had a significantly higher rate of multicentricity, bilaterality and T3-4 stage. Although there were no significant differences between the two groups, the rates of central and lateral LNM, and recurrence in the familial group were higher than in the sporadic group. In addition, our result also showed that the rate of classic variant type in the sporadic group is significantly higher than the familial group (90.0% vs 50.0%), but the follicular type rate was less frequent than the familial group (10.0% vs 37.5%). On the basis of these results, we conclude that familial PTC might have more aggressive behaviour than sporadic PTC.

When we subdivided familial PTC into parent-offspring and sibling groups and compared each with sporadic PTC, only the sibling group exhibited a significantly higher rate of multicentricity, bilaterality and T3-4 stage compared with sporadic PTC. When we subdivided familial PTC into parent-offspring and sibling groups and compared each with sporadic PTC, only the sibling group exhibited a significantly higher rate of multicentricity, bilaterality and T3-4 stage compared with sporadic PTC. In parent-offspring group, the tumour size was larger, coexisting Hashimoto’s thyroiditis was more prevalent, and the rates of male, T3-T4 stage and central LNM were higher than sporadic PTC. Until now, there are few studies comparing parent-offspring or sibling FN-

<table>
<thead>
<tr>
<th>Clinicopathological features of familial and sporadic PTC.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Familial PTC</strong> (n = 24)</td>
</tr>
<tr>
<td><strong>Gender</strong></td>
</tr>
<tr>
<td>Male</td>
</tr>
<tr>
<td>Female</td>
</tr>
<tr>
<td><strong>Age (years)</strong></td>
</tr>
<tr>
<td>&lt; 55</td>
</tr>
<tr>
<td>≥ 55</td>
</tr>
<tr>
<td><strong>Tumour size (cm)</strong></td>
</tr>
<tr>
<td>2.52 ± 1.49</td>
</tr>
<tr>
<td><strong>Preoperative TSH</strong></td>
</tr>
<tr>
<td>2.41 ± 1.95</td>
</tr>
<tr>
<td><strong>Hashimoto’s thyroiditis</strong></td>
</tr>
<tr>
<td>Present</td>
</tr>
<tr>
<td>Absent</td>
</tr>
<tr>
<td><strong>Nodular goiter</strong></td>
</tr>
<tr>
<td>Present</td>
</tr>
<tr>
<td>Absent</td>
</tr>
<tr>
<td><strong>Multicentricity</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td><strong>Bilaterality</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td><strong>Extrathyroidal invasion</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td><strong>Histological variants</strong></td>
</tr>
<tr>
<td>Classic</td>
</tr>
<tr>
<td>Follicular</td>
</tr>
<tr>
<td>Mixed</td>
</tr>
<tr>
<td>Tall cell</td>
</tr>
<tr>
<td><strong>T stage</strong></td>
</tr>
<tr>
<td>T1</td>
</tr>
<tr>
<td>T2</td>
</tr>
<tr>
<td>T3</td>
</tr>
<tr>
<td>T4</td>
</tr>
<tr>
<td><strong>N stage</strong></td>
</tr>
<tr>
<td>N0</td>
</tr>
<tr>
<td>N1a</td>
</tr>
<tr>
<td>N1b</td>
</tr>
<tr>
<td><strong>pTNM classification</strong></td>
</tr>
<tr>
<td>I</td>
</tr>
<tr>
<td>II</td>
</tr>
<tr>
<td>III</td>
</tr>
<tr>
<td>IV</td>
</tr>
<tr>
<td><strong>Central LNM</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td><strong>Lateral LNM</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
<tr>
<td><strong>Recurrence</strong></td>
</tr>
<tr>
<td>Positive</td>
</tr>
<tr>
<td>Negative</td>
</tr>
</tbody>
</table>

LNM: lymph node metastasis; TSH: thyroid stimulating hormone.
Table IV. Clinicopathological features of sibling and parent-offspring familial PTC and sporadic PTC.

<table>
<thead>
<tr>
<th>Feature</th>
<th>Sporadic PTC (n = 80)</th>
<th>Sibling (n = 18)</th>
<th>Parent-offspring (n = 6)</th>
<th>P value</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>15 (18.8%)</td>
<td>5 (27.8%)</td>
<td>4 (66.7%)</td>
<td>0.52</td>
<td>0.02</td>
</tr>
<tr>
<td>Female</td>
<td>65 (81.2%)</td>
<td>13 (72.2%)</td>
<td>2 (33.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td></td>
<td></td>
<td></td>
<td>1.00</td>
<td>0.22</td>
</tr>
<tr>
<td>&lt; 55</td>
<td>69 (86.3%)</td>
<td>16 (88.9%)</td>
<td>4 (66.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>≥ 55</td>
<td>11 (13.7%)</td>
<td>2 (11.1%)</td>
<td>2 (33.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tumour size (cm)</td>
<td></td>
<td></td>
<td></td>
<td>2.31 ± 1.48</td>
<td>3.08 ± 1.38</td>
</tr>
<tr>
<td>Hashimoto’s thyroiditis</td>
<td></td>
<td></td>
<td></td>
<td>0.31</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>Present</td>
<td>13 (16.2%)</td>
<td>5 (27.8%)</td>
<td>6 (100.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Absent</td>
<td>67 (83.8%)</td>
<td>13 (72.2%)</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nodular goiter</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Present</td>
<td>39 (48.8%)</td>
<td>4 (22.2%)</td>
<td>1 (16.7%)</td>
<td>0.06</td>
<td>0.21</td>
</tr>
<tr>
<td>Absent</td>
<td>41 (51.2%)</td>
<td>14 (77.8%)</td>
<td>5 (83.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Multifocality</td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.01</td>
<td>0.34</td>
</tr>
<tr>
<td>Positive</td>
<td>20 (25.0%)</td>
<td>12 (66.7%)</td>
<td>3 (50.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>60 (75.0%)</td>
<td>6 (33.3%)</td>
<td>3 (50.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bilaterality</td>
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<td></td>
<td></td>
<td>&lt; 0.01</td>
<td>1.00</td>
</tr>
<tr>
<td>Positive</td>
<td>12 (15.0%)</td>
<td>10 (55.6%)</td>
<td>1 (16.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>68 (85.0%)</td>
<td>8 (44.4%)</td>
<td>5 (83.3%)</td>
<td></td>
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<tr>
<td>Extrathyroidal invasion</td>
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<td>0.19</td>
<td>0.12</td>
</tr>
<tr>
<td>Positive</td>
<td>16 (20.0%)</td>
<td>1 (5.6%)</td>
<td>3 (50.0%)</td>
<td></td>
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</tr>
<tr>
<td>Negative</td>
<td>64 (80.0%)</td>
<td>17 (94.4%)</td>
<td>3 (50.0%)</td>
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<tr>
<td>Histological variants</td>
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<td>&lt; 0.01</td>
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<tr>
<td>Classic</td>
<td>72 (90.0%)</td>
<td>9 (50.0%)</td>
<td>3 (50.0%)</td>
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<td></td>
</tr>
<tr>
<td>Follicular</td>
<td>8 (10.0%)</td>
<td>7 (38.9%)</td>
<td>2 (33.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mixed</td>
<td>0</td>
<td>1 (5.6%)</td>
<td>1 (16.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tall cell</td>
<td>0</td>
<td>1 (5.6%)</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>T stage</td>
<td></td>
<td></td>
<td></td>
<td>&lt; 0.01</td>
<td>&lt; 0.01</td>
</tr>
<tr>
<td>T1</td>
<td>46 (57.5%)</td>
<td>9 (50.0%)</td>
<td>1 (16.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>T2</td>
<td>23 (28.8%)</td>
<td>5 (27.8%)</td>
<td>1 (16.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>T3</td>
<td>8 (10.0%)</td>
<td>4 (22.2%)</td>
<td>4 (66.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>T4</td>
<td>3 (3.7%)</td>
<td>0</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N stage</td>
<td></td>
<td></td>
<td></td>
<td>0.04</td>
<td>0.48</td>
</tr>
<tr>
<td>N0</td>
<td>14 (17.5%)</td>
<td>5 (27.8%)</td>
<td>1 (16.7%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N1a</td>
<td>44 (55.0%)</td>
<td>4 (22.2%)</td>
<td>2 (33.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N1b</td>
<td>22 (27.5%)</td>
<td>9 (50.0%)</td>
<td>3 (50.0%)</td>
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</tr>
<tr>
<td>pTNM classification</td>
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<td></td>
<td></td>
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<tr>
<td>I + II</td>
<td>66 (82.6%)</td>
<td>16 (88.9%)</td>
<td>4 (66.7%)</td>
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<td></td>
</tr>
<tr>
<td>III ± IV</td>
<td>14 (17.4%)</td>
<td>2 (11.1%)</td>
<td>2 (33.3%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central LNM</td>
<td></td>
<td></td>
<td></td>
<td>0.61</td>
<td>0.04</td>
</tr>
<tr>
<td>Positive</td>
<td>43 (53.8%)</td>
<td>11 (61.1%)</td>
<td>6 (100.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>37 (46.2%)</td>
<td>7 (38.9%)</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lateral LNM</td>
<td></td>
<td></td>
<td></td>
<td>0.12</td>
<td>0.66</td>
</tr>
<tr>
<td>Positive</td>
<td>28 (35.0%)</td>
<td>10 (55.6%)</td>
<td>3 (50.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>52 (65.0%)</td>
<td>8 (44.4%)</td>
<td>3 (50.0%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Recurrence</td>
<td></td>
<td></td>
<td></td>
<td>0.31</td>
<td>0.59</td>
</tr>
<tr>
<td>Positive</td>
<td>13 (16.3%)</td>
<td>5 (27.8%)</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Negative</td>
<td>67 (83.7%)</td>
<td>13 (72.2%)</td>
<td>6 (100.0%)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

LNM: lymph node metastasis.
MTC with sporadic NMTC. In the study by Park et al., it was reported that parent-offspring FNMT was more multifocal, while sibling FNMT was more prevalent in female patients and presented with smaller tumours than sporadic disease.

Gao et al. reported that compared with sporadic NMT and sibling FNMT, presented a higher rate of central LN, while parent-offspring FNMT showed frequent tumour bilaterality and a higher rate of recurrence. When we compared the sibling group with the parent-offspring group, there were significant differences in extrathyroidal invasion and Hashimoto’s thyroiditis. These findings suggested that the clinical characteristics of siblings and parent-offspring might be different in familial PTC. In recent investigations, the second generation in parent-offspring FNMT was associated with an earlier age at diagnosis, greater multifocality and a higher metastasis rate.

In accordance with previous results, we found that the second generation was diagnosed at a younger age (26.7 years), supporting the presence of “genetic anticipation”, a phenomenon defined as the occurrence of a genetic disease at progressively earlier ages and with increased severity in successive generations in FNMT. Simultaneously, the second generation also had more extrathyroidal invasion and multifocality than the first generation, which suggested that FNMT diagnosed in the second generation might need more aggressive treatment than sporadic NMT.

In some studies, FNMT patients had several characteristics associated with poor prognosis. One study reported that patient’s survival of FNMT was significantly shorter than that of sporadic disease, and prognosis was poorer among FNMT patients with 3 or more affected members. However, Maxwell et al. in their case-control study found no significant difference in prognosis between familial and sporadic PTC groups. In our study, there were no deaths in the sporadic PTC group during follow-up, in contrast to familial PTC which showed a higher, although not significant, incidence of disease mortality (5.0%). These relatively surprising findings might be explained by the fact that all patients in this study are PTC.

There are no clinical trials to demonstrate the best management strategy for FNMT at present. Due to a higher rate of LN even with small tumours, several studies have recommended that individuals with familial disease should be treated more aggressively. Such approach includes near-total or total thyroidectomy and postoperative radioiodine ablation in surgically-cured patients to reduce the rate of recurrence and to facilitate follow-up monitoring with serum thyroglobulin levels. Because of the high incidence of LN in FNMT patients, some authors recommend performing prophylactic central LND. If there are any clinically positive lateral nodes, a modified LND should also be performed.

Patients with morphologically suspicious lesions demonstrated by ultrasonography or with cold nodules shown by scintigraphy might immediately performed FNAB; if the cytology is benign, reexamination after six months is recommended. FNMT relatives with benign, stationary thyroid nodules may be examined once a year. Simultaneously, all patients with thyroid carcinoma should also have a comprehensive history to identify potential familial forms of papillary or follicular thyroid carcinomas. Since benign thyroid disorders such as Hashimoto’s thyroiditis and multinodular goiter are often observed in patients with FNMT, families with affection of a first-degree relative with thyroid carcinoma and an accumulation of benign thyroid disease should be screened yearly with ultrasound. Because FNMT patients are frequently younger in the second generation compared to first generation, screening might start at the age of 18 years.

Genetic analyses of large FNMT patients not only support the hypothesis that there exists an inherited genetic predisposition to FNMT, but that it also represents the first steps in identification of the putative susceptibility genes by positional cloning methods. Several linkage studies have identified loci within specific families, but none appear to account for a significant number of cases. The loci that have been identified to date include: MNG1, TCO1, IPTC/PRN and NMCT1. The following loci, some of which have been implicated in sporadic carcinoma, have been excluded as the susceptibility gene in FNMT: RET/PTC, PTEN, TSHR and TRKA. As the genetic causes of FNMT remain unknown, widespread genetic testing is not available. Large studies among kindreds are still required to identify the genes that may play a role in the development of FNMT.

We acknowledge that there are several limitations in this study. First, all the FNMT patients included were PTC. Although the majority of FNMT is papillary carcinoma, this might be a bias for clearly evaluating the recurrence and metastasis. Secondly, we were not able to perform more detailed assessment for prognostic outcome, because the follow-up period in this study was relatively short and the number of patients with familial PTC was small. In addition, it is very difficult for us to perform survival analysis, because the patients who died from thyroid carcinoma were very rare.

In summary, the prevalence of familial PTC in our study was 1.5%, which is lower than that reported in other studies. Familial PTC might be considered as a separate clinical entity with a higher frequency of multilocentricity, bilaterality and T3-4 stage, as well as a higher rate of Hashimoto’s thyroiditis than its sporadic counterpart. Familial PTC with a parent-offspring relationship exhibited a higher rate of Hashimoto’s thyroiditis and central LNM than sporadic PTC, while a sibling relationship exhibited a higher rate of multilocentricity and bilaterality. The second generation in parent-offspring familial PTC...
was diagnosed at an earlier age and had a higher rate of extrathyroidal invasion and multifocality. Familial PTC might be treated aggressively, and patients need to be followed closely. Simultaneously, it should take into account careful familial histories of thyroid cancer patients and make decisions about diagnostic and treatment modalities after considering family incidence in NMTC patients with thyroid cancer or nodules. The rarity of FNMTC and the retrospective method of our study implies that our findings need to be confirmed through larger, and possibly, multicentre series.

References


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Analysis of risk factors for pharyngocutaneous fistula after total laryngectomy with particular focus on nutritional status

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¹Head and neck Department, University Hospital of Modena, Italy; ²Nutritionist, University Hospital of Modena, Italy

SUMMARY

Pharyngocutaneous fistula (PCF) is the most common complication following total laryngectomy and the most difficult to manage. It often causes increased morbidity, delays starting adjuvant therapy, prolongs hospitalisation, increases treatment costs and reduces the quality of life (QoL). The objective of this study is to analyse the predisposing factors and the most important nutritional parameters related to the development of PCF in patients undergoing total laryngectomy and to suggest medical alternatives that might improve results. We performed a retrospective study of 69 patients who underwent either primary or salvage total laryngectomy in our department between January 2008 and January 2012. Risk factors for fistula formation were analysed including tumour characteristics (histology, grading, AJCC stage), treatment (primary or salvage surgery, extent of resection, flap reconstruction, preoperative radiotherapy), comorbidity and nutritional status (preoperative haemoglobin, albumin and prealbumin levels and their changes during hospitalisation). Twenty-four patients developed a PCF (overall incidence 34.8%). Fistula formation was significantly higher in patients with diabetes, preoperative malnutrition (identified from low preoperative albumin and prealbumin levels). After specific nutritional evaluation and support, no patient developed a PCF. Risk factors for PCF formation are extensively treated in the literature but identification of high-risk patients is still controversial. Our study demonstrates that nutritional status of the patient, assessed by preoperative albumin, is also an important risk factor for PCF formation in addition to classical factors. Maintenance of a normal perioperative nutritional status can be helpful to avoid this complication.

KEY WORDS: Laryngectomy • Pharyngocutaneous fistula • Malnutrition

Introduction

Pharyngocutaneous fistula (PCF) consists of a communication between the digestive tract and the cervical skin that causes the appearance of saliva on the skin surface after swallowing. PCF are classified by Zbar and Funk¹ as either a pharyngocutaneous fistula (an anomalous path connecting the pharynx and the skin) or a pharyngostoma (a direct opening of the pharynx to the skin, often accompanied by skin loss). PCF is the most common complication after total laryngectomy and the most difficult to manage. The rate for
Malnutrition has been reported in 30% to 50% of patients with head and neck malignancies and reduces quality of life (QoL). Malnutrition predisposes patients to fistula formation. A retrospective chart review was conducted. A database was built including data with regard to tumour characteristics (histology, grading, T stage, N stage, AJCC stage), treatment (eventual flap reconstruction, presence of preoperative tracheostomy, primary or salvage surgery, preoperative radiotherapy), comorbidities (history of diabetes or vascular disease), blood values assessing nutritional status (preoperative serum haemoglobin, albumin and prealbumin and their changes during hospitalisation). In fact, preoperative serum albumin was not available for all patients. Since albumin is a blood protein with a lifespan of about 30 days, the value of the first postoperative serum albumin can be considered to be equal to the preoperative value.

Risk factors
The primary endpoint was to identify risk factors predisposing patients to fistula formation. A retrospective analysis of patients who underwent total laryngectomy at the ENT Department of University Hospital of Modena between January 2008 and January 2012 was carried out. Patients who underwent either primary or salvage total laryngectomy were included.

Surgery
Surgery was performed with curative intent consisting of total laryngectomy as a single procedure or combined with dissection of the neck, thyroidectomy and reconstruction with pectoralis major flap. Surgical technique and postoperative care were generally standardised.

Pharyngeal reconstruction was performed in three layers: mucosal, fascia and muscular. In all patients, nasogastric feeding was initiated on the first postoperative day and oral feeding was started after a swallowing study on day 7-10.

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Materials and methods
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Statistical analysis
Pearson’s χ² test was used to evaluate the correlation between the incidence of fistula formation and potential predisposing factors. A p value < 0.05 was considered statistically significant.

Results
Sixty-nine patients were included in the study. Patient and tumour characteristics are detailed in Table I. Median age was 71 years (range 37-88 years). Fistula formation was noted in 24 patients (34.8%). The time of fistula formation is summarised in Table II. Laryngectomy was performed as primary treatment in 51 patients (73.9%). Ten patients underwent pretreatment radiotherapy (14.5%). Preopera-
Risk factors for pharyngocutaneous fistula after total laryngectomy

From January 2008 to January 2012, our data show an incidence of PCF that is higher than that reported in other studies (34.8% vs 8-22%) 2-6. The retrospective analysis of our results and review of the literature were used to identify the most important risk factors of PCF and to improve perioperative management to avoid this complication. The most comprehensive study on PCF risk factors is a multivariate analysis study by Onal and colleagues 29: a significant relationship was found between development of PCF and previous radiotherapy, positive ipsilateral and contralateral lymph nodes, accompanying systemic disease, pre- and postoperative haemoglobin < 12.2 g/dl and postoperative albumin level < 3.5 g/dl.

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In a recent study, Dirven et al. 37 demonstrated a correlation between risk of PCF and interval between radiotherapy and surgery: patients undergoing salvage surgery within 12 months after radiotherapy had a higher risk of developing PCF compared to those undergoing salvage surgery more than 12 months after radiotherapy (p = 0.014).

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tive tracheostomy was performed in 15 patients (22.1%). Lateral neck dissection was performed bilaterally in 29 patients (42%) and unilaterally in 22 (31.9%). In the majority of cases, histology was squamous cell carcinoma (94.2%) with different grades of differentiation. Two patients were affected by papillary thyroid carcinoma with larynx extension, and two patients by an undifferentiated carcinoma.

Table I summarises the results of univariate analysis on the impact of the potential predisposing factors on fistula formation. From the analysis, p values ≤ 0.05 were present for the correlation of fistula formation with diabetes (p = 0.004), and low preoperative albumin and prealbumin levels (p = 0.005).

Table I. Patient characteristics (n = 69).

<table>
<thead>
<tr>
<th>Histology</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SCC</td>
<td>65 (94.2%)</td>
</tr>
<tr>
<td>Basaloid SCC</td>
<td>1 (1.4%)</td>
</tr>
<tr>
<td>Papillary thyroid tumour</td>
<td>2 (2.9%)</td>
</tr>
<tr>
<td>Undifferentiated</td>
<td>1 (1.4%)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Gender</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>59 (85.5%)</td>
</tr>
<tr>
<td>Female</td>
<td>10 (14.5%)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Grading</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>G1</td>
<td>2.9%</td>
</tr>
<tr>
<td>G2</td>
<td>39.1%</td>
</tr>
<tr>
<td>G3</td>
<td>49.3%</td>
</tr>
<tr>
<td>GX</td>
<td>8.7%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>T Stage</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>T1</td>
<td>7.2%</td>
</tr>
<tr>
<td>T2</td>
<td>8.7%</td>
</tr>
<tr>
<td>T3</td>
<td>23.2%</td>
</tr>
<tr>
<td>T4</td>
<td>60.9%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>N Stage</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>N0</td>
<td>58%</td>
</tr>
<tr>
<td>N1</td>
<td>15.9%</td>
</tr>
<tr>
<td>N2a</td>
<td>0</td>
</tr>
<tr>
<td>N2b</td>
<td>13%</td>
</tr>
<tr>
<td>N2c</td>
<td>7.3%</td>
</tr>
<tr>
<td>N3</td>
<td>5.8%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>AJCC Stage</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>4 (5.8%)</td>
</tr>
<tr>
<td>II</td>
<td>5 (7.2%)</td>
</tr>
<tr>
<td>III</td>
<td>17 (23.2%)</td>
</tr>
<tr>
<td>IVa</td>
<td>40 (58%)</td>
</tr>
<tr>
<td>IVb</td>
<td>1 (1.4%)</td>
</tr>
<tr>
<td>IVc</td>
<td>2 (2.9%)</td>
</tr>
</tbody>
</table>

SCC: squamous cell carcinoma.

Table II. Time of fistula formation.

<table>
<thead>
<tr>
<th>Postoperative day</th>
<th>N (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-11</td>
<td>14 (20.3%)</td>
</tr>
<tr>
<td>12-23</td>
<td>7 (10.1%)</td>
</tr>
<tr>
<td>24-33</td>
<td>2 (2.9%)</td>
</tr>
<tr>
<td>&gt; 33</td>
<td>1 (1.4%)</td>
</tr>
</tbody>
</table>

Discussion

From January 2008 to January 2012, our data show an incidence of PCF that is higher than that reported in other studies (34.8% vs 8-22%) 2-6. The retrospective analysis of our results and review of the literature were used to identify the most important risk factors of PCF and to improve perioperative management to avoid this complication. The most comprehensive study on PCF risk factors is a multivariate analysis study by Onal and colleagues 29: a significant relationship was found between development of PCF and previous radiotherapy, positive ipsilateral and contralateral lymph nodes, accompanying systemic disease, pre- and postoperative haemoglobin < 12.2 g/dl and postoperative albumin level < 3.5 g/dl.

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All our patients underwent total laryngectomy with manual closure of the pharynx. Many surgeons prefer to close the pharynx using a stapler. In a prospective study, Dedivitis 38 found no significant difference in the incidence of PCF between the mechanical closure group and the manual suture group. Morton et al. 39 showed that preoperative low serum albumin levels were significantly associated with development of PCF. Our results also showed how poor nutritional status in terms of albumin levels plays a
crucial role in PCF development. In fact, low preoperative albumin is an important index of malnutrition.

Only few studies have been carried out on the relationship between nutritional parameters and the incidence of major postoperative complications. Unintentional weight loss, and a lesser degree albumin, were predictive for postoperative complications. Weight loss seems to be the most important parameter for predicting major postoperative complications; patients with >10% weight loss during the 6 months before surgery are at greater risk for the occurrence of major postoperative complications.

The European Society for Parenteral and Enteral Nutrition (ESPEN) guidelines on enteral nutrition recommend the use of nutritional support for 10-14 days before major surgery in patients with severe nutritional risk (weight loss > 10–15% within 6 months before surgery, BMI < 18.5%, serum albumin < 3 g/dl).

We are presently carrying out a prospective multicentre study to evaluate if the correction of malnutrition can reduce the incidence of PCF in patients with head and neck cancer.

The nutritional status of these patients was defined preoperatively. Thus, in the presence of malnutrition nutritional support is offered before surgery. If there is good preoperative nutritional status, the onset of postoperative malnutrition is thus avoided. Tube feeding for patients starts within 24-48 h after surgery and takes from 2 to 3 days to reach nutritional targets. Energy requirement will be

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### Table III. Univariate analysis of the impact of predisposing factors on fistula formation.

<table>
<thead>
<tr>
<th>Risk factor</th>
<th>% patients with fistula</th>
<th>% patients without fistula</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>G1</td>
<td>50</td>
<td>50</td>
<td>0.18</td>
</tr>
<tr>
<td>G2</td>
<td>22.2</td>
<td>78.8</td>
<td></td>
</tr>
<tr>
<td>G3</td>
<td>48.5</td>
<td>51.5</td>
<td></td>
</tr>
<tr>
<td>Gx</td>
<td>16.7</td>
<td>83.3</td>
<td></td>
</tr>
<tr>
<td>T1</td>
<td>66.7</td>
<td>33.3</td>
<td>0.51</td>
</tr>
<tr>
<td>T2</td>
<td>34.3</td>
<td>65.7</td>
<td></td>
</tr>
<tr>
<td>T3</td>
<td>25</td>
<td>75</td>
<td></td>
</tr>
<tr>
<td>T4</td>
<td>38.1</td>
<td>61.9</td>
<td></td>
</tr>
<tr>
<td>N+</td>
<td>75</td>
<td>25</td>
<td>0.41</td>
</tr>
<tr>
<td>N-</td>
<td>30</td>
<td>70</td>
<td></td>
</tr>
<tr>
<td>R1</td>
<td>31.2</td>
<td>68.8</td>
<td>0.7</td>
</tr>
<tr>
<td>R0</td>
<td>36.5</td>
<td>63.5</td>
<td></td>
</tr>
<tr>
<td>AJCC stage I</td>
<td>50</td>
<td>50</td>
<td>0.42</td>
</tr>
<tr>
<td>AJCC stage II</td>
<td>40</td>
<td>60</td>
<td></td>
</tr>
<tr>
<td>AJCC stage III</td>
<td>18.8</td>
<td>81.2</td>
<td></td>
</tr>
<tr>
<td>AJCC stage IV</td>
<td>42.5</td>
<td>57.5</td>
<td></td>
</tr>
<tr>
<td>Previous RT</td>
<td>40</td>
<td>60</td>
<td>0.72</td>
</tr>
<tr>
<td>No previous RT</td>
<td>34.5</td>
<td>65.5</td>
<td></td>
</tr>
<tr>
<td>Primary surgery</td>
<td>41.2</td>
<td>58.8</td>
<td>0.06</td>
</tr>
<tr>
<td>Savage surgery</td>
<td>16.7</td>
<td>83.3</td>
<td></td>
</tr>
<tr>
<td>Previous tracheostomy</td>
<td>40</td>
<td>60</td>
<td>0.56</td>
</tr>
<tr>
<td>No neck dissection</td>
<td>22.2</td>
<td>77.8</td>
<td>0.26</td>
</tr>
<tr>
<td>Monolateral neck dissection</td>
<td>31.8</td>
<td>68.2</td>
<td></td>
</tr>
<tr>
<td>Co-morbidity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Diabetes</td>
<td>77.8</td>
<td>22.2</td>
<td>0.004</td>
</tr>
<tr>
<td>No diabetes</td>
<td>28.3</td>
<td>71.7</td>
<td></td>
</tr>
<tr>
<td>Vasculopathy</td>
<td>42.9</td>
<td>57.1</td>
<td>0.41</td>
</tr>
<tr>
<td>No vasculopathy</td>
<td>31.3</td>
<td>68.7</td>
<td></td>
</tr>
<tr>
<td>Preop Hb &lt; 12.2 g/dl</td>
<td>45</td>
<td>55</td>
<td>0.14</td>
</tr>
<tr>
<td>Preop Hb &gt; 12.2 g/dl</td>
<td>30.6</td>
<td>69.4</td>
<td></td>
</tr>
<tr>
<td>Preop albumin &lt; 3.5 g/dl or prealbumin &lt; 20 mg/dl</td>
<td>53.6</td>
<td>46.6</td>
<td>0.005</td>
</tr>
<tr>
<td>Preop albumin &gt; 3.5 g/dl or prealbumin &gt; 20 mg/dl</td>
<td>120</td>
<td>180</td>
<td></td>
</tr>
<tr>
<td>Albumin during hospitalisation</td>
<td></td>
<td></td>
<td>0.4</td>
</tr>
<tr>
<td>Decrease</td>
<td>80</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>Increase</td>
<td>35.3</td>
<td>64.7</td>
<td></td>
</tr>
<tr>
<td>Unchanged</td>
<td>50</td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>Hb during hospitalisation</td>
<td></td>
<td></td>
<td>0.34</td>
</tr>
<tr>
<td>Decrease</td>
<td>34.6</td>
<td>65.4</td>
<td></td>
</tr>
<tr>
<td>Increase</td>
<td>44.4</td>
<td>55.6</td>
<td></td>
</tr>
</tbody>
</table>

Hb: haemoglobin; RT: radiotherapy.
assess by Herris-Benedict equation and each patient will receive 1.2-1.4 g/kg/day of proteins. We have initiated a standardised enteral nutritional plan tailored on patients requirements in according with current recommendations for enteral feeding. This prospective study is still on-going. Fifteen patients underwent total laryngectomies in the last year in our hospital. Two patients had preoperative prealbumin < 20 mg/dl and preoperative albumin < 3.5 g/dl, and can be considered malnourished. No patient developed a PCF. The present study tried to emphasise that nutritional evaluation should always be performed preoperatively. Malnutrition has to be corrected to avoid a complication such as PCF. Our prospective analysis confirms this, although a small case series bias can be present. Moreover, good nutritional status is known to increase the rates of therapy completion, oncologic survival and post-treatment QoL during all types of therapy for head and neck cancer.

Conclusions

It is important to identify the risk factors associated with formation of PCF to improve perioperative management and avoid this complication. In addition to the classical risk factors for PCF highlighted in many studies, we must also consider the poor nutritional status of the patient as a risk factor, as assessed by preoperative albumin. Preoperative and periodic postoperative evaluations are mandatory in patients with head and neck cancer. Moreover, for the maintenance of normal haematologic values, frequent biochemical analyses and adequate nutritional support are necessary to prevent PCF following total laryngectomy. A multicentre prospective study is ongoing with the aim of evaluating if the correction of malnutrition can reduce the incidence of PCF in patients with head and neck cancer.

References


Otology

Musical training software for children with cochlear implants

Software di training musicale per bambini con impianto cocleare

W. Di Nardo, L. Schinaia, R. Anzivino, E. De Corso, A. Ciacciarelli, G. Paludetti

Institute of Otorhinolaryngology, Catholic University of the Sacred Heart, “A. Gemelli” University Hospital, Rome, Italy

SUMMARY

Although the voice in a free field has an excellent recruitment by a cochlear implant (CI), the situation is different for music because it is a much more complex process, where perceiving the pitch discrimination becomes important to appreciate it. The aim of this study is to determine the music perception abilities among children with CIs and to verify the benefit of a training period for specific musical frequency discrimination. Our main goals were to prepare a computer tool for pitch discrimination training and to assess musical improvements. Ten children, aged between 5 and 12 years, with optimal phoneme recognition in quiet and with no disabilities associated with deafness, were selected to join the training. Each patient received, before training period, two types of exams: a pitch discrimination test, consisting of discovering if two notes were different or not; and a music test consisting of two identification tasks (melodic and full version) of one music-item among 5 popular childhood songs. After assessment, a music training software was designed and utilised individually at home for a period of six months. The results following complete training showed significantly higher performance in the task of frequency discrimination. After a proper musical training identification, frequency discrimination performance was significantly higher (p < 0.001). The same considerations can be made in the identification of the songs presented in their melodic (p = 0.0151) and full songs version (p = 0.0071). Cases where children did not reach the most difficult level may be due to insufficient time devoted to training (ideal time estimated at 2-3 hours per week). In conclusion, this study shows that it is possible to assess musical enhancement and to achieve improvements in frequency discrimination, following pitch discrimination training.

KEY WORDS: Cochlear implants • Music perception • Speech perception • Children • Music Test battery

RIASSUNTO

Gli attuali impianti cocleari forniscono buoni segnali temporali e grossolane indicazioni spettrali. In generale queste proprietà sono sufficien- temente per la percezione di un discorso in condizioni di quiete e per l’acquisizione del linguaggio nei bambini piccoli. Tuttavia esse risultano essere inadeguate per la trasmissione della molteplicità di pitch della musica. Lo studio si propone come obiettivo di realizzare un’applicazione che promuova la determinazione delle abilità di percezione della musica nei bambini portatori di impianto cocleare e la verifica dei benefici di un periodo di training musicale specifico per la discriminazione frequenziale. In particolare abbiamo proposto un allenamento alla discriminazione delle note musicali, secondo un metodo da noi sviluppato attraverso un supporto computerizzato. Sono stati inclusi nello studio 10 bambini portatori di impianto cocleare di età compresa tra i 5 e i 12 anni senza disabilità associate alla sordità. Tutti i soggetti avevano un ottima comprensione dei fonemi in ambiente silenzioso. Ogni paziente nel periodo precedente al Training è stato sottoposto a due tipi di esame: un test di discri- minazione del pitch, che consiste nel riuscire ad identificare come differenti due note musicali pur in condizioni di crescente difficoltà (da 1 ottava ad 1 semitono), e un Music Test costituito da due prove di identificazione, Melodica e Strumentale completa. Il materiale del Music Test era costituito dai 5 canzoni popolari per l’infanzia, sintetizzate con il software Finale 2008™ (Makemusic Inc. Eden Prairie, MN). I brani, ciascuno della durata di 30 secondi, erano simili per il ritmo e venivano presentati in due modalità differenti: una versione melodica suonata al pianoforte senza accompagnamento orchestrale e parole cantate, ed una versione completa della canzone, che include l’accompa- gnamento dell’orchestra e le parole cantate. Il Training consisteva in un allenamento guidato da un programma che veniva fornito sul supporto informatico ad ogni paziente, della durata di 6 mesi con allenamento almeno bisettimanale. I risultati ottenuti evidenziano che tutti i bambini sono migliorati nel training di discriminazione frequenziale (p < 0.0001). Per eliminare la possibilità che questo progresso potesse essere dovuto al caso o ad altri fattori si è applicata un’analisi statistica t-Student ad una coda per dati appaiati per verificare la significatività. Le prestazioni al test di identificazione di item musicali sia nella versione melodica che in quella completa sono risultate significativamente superiori al livello casuale con un p < 0.05. I risultati ottenuti mostrano performance significativamente superiori nel compito di discriminazione frequenziale successivamente all’esecuzione del Training. Infatti prima del training 2 bambini si posizionavano al 1° livello (discriminazione frequenziale di 12 semitoni), 3 bambini al 2° livello (discriminazione frequenziale di 10 semitoni), 4 bambini al 3° livello (discriminazione frequenziale di 8 semitoni) e 1 bambino al 5° livello (discriminazione frequenziale di 4 semitoni), mentre dopo il training, 2 bambini si collocavano uno al 4° e uno al 5° livello, 5 bambini al 6° livello e i restanti 3 bambini al 7° ed ultimo livello. Dal nostro studio emerge quindi come sia possibile, in seguito ad un allenamento specifico con materiale dedicato, ottenere dei significativi miglioramenti nella discriminazione frequenziale permettendo anche ai soggetti ipamenti di apprezzare meglio il mondo della musica.

PAROLE CHIAVE: Impianto cocleare • Percezione linguistica • Ascolto della musica • Bambini • Test musicale

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Introduction

In recent years, advancements in cochlear implant (CI) technology has allowed the device to achieve its primary goal, that is to restore a near-normal speech understanding in profoundly deaf subjects, at least in favourable listening conditions\textsuperscript{1,2}. New signal processing strategies have brought benefits for CI users in terms of perception. Technological innovations in CI systems, enabled functional hearing, oral speech and language achievements in many children with pre-lingual severe-to-profound hearing impairment. Nonetheless, CIs still provide poorer auditory information than those conveyed through an intact natural cochlea.

One of the main weaknesses of the latest generation CIs appears to be the limited number of active channels, too low to allow an appropriate encoding of detailed spectral information, which is crucial to give perceptual accuracy of melody pitch patterns\textsuperscript{3} (Fig. 1a, b). Moreover, most of the current processing strategies remove fine temporal structure information from stimulus waveforms, therefore limiting the users’ ability to extract pitch cues from temporal components of the signal\textsuperscript{4}. In fact, CI encoding signal algorithms generally fail in reproducing higher order harmonics. Preservation of tonotopy is crucial in CI-mediated music understanding, mainly because it

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Fig. 1a-b. Distribution of frequency bands of the strategy encodes. Histogram shows the distribution of frequency bandwidths on each electrode, which is in proportion to the cochlear tonotopy following the placement of the electrodes. The second curve represents the frequency range transduced by the implant according to the distribution of the bands set in the histogram. Data were extrapolated from the stimulation of the mapping software of the processor for each patient. In this case, it shows the frequency distribution of patient C1.
demands an absolute fidelity of signal transduction, while there is mounting evidence that the tonotopic representation of frequencies through the CI is often distorted due to a poor correspondence between the frequency bands allocated to the electrodes, according to the conventional frequency maps, and the pitch elicited by stimulation of the same electrodes. Thus, fundamental frequencies – and consequently harmonics – cannot be efficiently extracted and properly decoded, due to the mismatch between electrode-assigned frequencies and pitch 5-7.

It is widely accepted that music and speech are the most complex sound features produced by the human species. These two processes have similar properties and central processing, albeit analysed in different human brain areas. The inter-hemispheric domains of music and speech allow psychosocial and cognitive skills development in communication 8. The “musician effect” persists under degraded pitch condition of CI simulation and may offer advantages in pitch processing 9. Studies with normal-hearing people showed that musical training can improve pitch recognition: musician children detect pitch variations in both music and language much more accurately 10-12 and rapidly 13 than non-musician peers 14. Although the voice in a free field has an excellent recruitment by the CI, the situation is different concerning musical sounds because it is a much more complex process, where perceiving the higher order harmonics becomes important to appreciate it. Many studies have shown that some CI recipients are quite able to perceive features such as tempo and rhythm 15, but the extent of this perception is variable 16,17. Other papers have focused on pitch processing skills due to their immediate relevance to music perception 18-22. In some of these studies, familiar melodies have been recognised from a closed set 19,23, whereas in others simple melodic contours have been discriminated 24. The results indicate that melody perception is generally poor in CI users, again considering a large inter-individual variability. Several studies have examined the accuracy of different devices and coding strategies on melody and speech in noise perception, concluding that there are statistically significant correlations between pitch ranking and familiar melody recognition 25. Children with CIs have greater difficulties in recognising familiar songs when these melodies are without words 26. Recent research demonstrated that there is a correlation between music perception and phonological and reading process skills; the same authors hypothesised that some music characteristics (such as rhythm and pitch) are associated with some speech perception parameters 27. However, standardised methods for assessing music perception in patients with CI are lacking 28. Yucel et al. 29 found that musical training is an effective rehabilitation tool for auditory perception improvement. In particular, one of the most relevant points of this work is the ability to subject children to this training without requiring them any additional efforts, thanks to the possibility to perform the exercises at patient’s home, by themselves or with the help of a family member if the subjects were too young. The authors stated that submitting children to musical exercises – such as pitch discrimination test between two notes – leads to improvements in spoken language perception. Dastgheib proposed a new music training program based on language development to optimise speech and language skills 30. These findings show that the CI alone does not satisfy all patients’ needs, and that speech therapy and specific training may be proper and necessary in order to maximise CI benefits. The purpose of the present investigation is to determine whether children with CIs can benefit from training on pitch and music perception in terms of pitch discrimination; moreover, if pitch perception can be trained, it could also lead to improvements in speech perception and in music enjoyment.

Materials and methods

Subjects

Ten children (6 boys and 4 girls), monaurally Nucleus™ CI users (Table I), who periodically came to our ENT

Table I. Background information of the study group. Age, age of deafness onset, and duration of CI usage variables are all expressed in the unit of “years”.

<table>
<thead>
<tr>
<th>Subject</th>
<th>Age</th>
<th>Aetiology</th>
<th>Onset age</th>
<th>Duration of CI</th>
<th>Deafness</th>
<th>Side of CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>C 1</td>
<td>12</td>
<td>Idiopathic</td>
<td>4</td>
<td>8</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 2</td>
<td>6</td>
<td>Idiopathic</td>
<td>2</td>
<td>4</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 3</td>
<td>11</td>
<td>Waardenburg Syndrome</td>
<td>2</td>
<td>9</td>
<td>Pre-verbal</td>
<td>Left</td>
</tr>
<tr>
<td>C 4</td>
<td>8</td>
<td>Homozygous for connexin 26 mutation</td>
<td>3</td>
<td>5</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 5</td>
<td>12</td>
<td>Idiopathic</td>
<td>3</td>
<td>9</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 6</td>
<td>12</td>
<td>Idiopathic</td>
<td>4</td>
<td>8</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 7</td>
<td>12</td>
<td>Idiopathic</td>
<td>6</td>
<td>6</td>
<td>Post-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 8</td>
<td>11</td>
<td>Idiopathic</td>
<td>4</td>
<td>7</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 9</td>
<td>5</td>
<td>Heterozygous for connexin 26 mutation</td>
<td>2</td>
<td>3</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
<tr>
<td>C 10</td>
<td>6</td>
<td>Idiopathic</td>
<td>2</td>
<td>4</td>
<td>Pre-verbal</td>
<td>Right</td>
</tr>
</tbody>
</table>
clinic to perform speech processor fitting, were recruited. Children were aged between 5 and 12 years and had no disability associated with deafness. Mean chronological age was 117 ± 36 months, while mean hearing age (i.e., months of CI use) was 77 ± 26 months. These subjects had bilateral hearing loss and regularly used conventional hearing aids until CI implantation. All these patients had been using a Nucleus™ CI device for at least 6 months: 5 had a perimodiolar electrode (CI24RE-CA) and 5 had a straight, non-perimodiolar electrode (CI24R-S). At the time the study took place, 8 of the 10 Nucleus™ CI recipients were using a Freedom™ speech processor and 2 were fitted with an ESPrit 3G™ speech processor. Six had been using an ACE™ strategy with a 900 pps stimulation rate and 25 µs pulse width, 3 patients had been using an ACE™ (RE) strategy with a 2400 pps stimulation rate and 12 µs pulse width and the last one using SPEAK strategy with a 250 pps and 25 µs (Table II). During the month preceding the test session, all speech processors were fitted so that all patients could receive comfortable stimulation. Impedance measurement and neural response telemetry (NRT™ for Nucleus™ recipients) were performed for all electrodes in each subject. As soon as it was possible, all subjects were enrolled in the auditory-musical training program of the Catholic University of Sacred Heart in Rome. None of the patients had been attending music classes at school, nor they had been taking part in any formal music training activity, so that they belonged to musical experience level “1” according to Looi 31. Before introducing our test battery to CI subjects, we checked its validity on 10 normal hearing patients, all of them scoring between 95 and 100% in the Music Training Program based on Musical Pitch Discrimination (MPD) test.

**Music test battery**

A music test battery was designed in order to assess CI-mediated perception of music. It included a Music Training Software based on MPD, and a Music Test. In the pitch discrimination test, stimuli consisted in pairs of notes played by a piano and distanced by at least one semitone (approximately 6% F0 difference), being the semitone the smallest interval size in traditional Western music. The notes were distributed within the three central octaves (C4, C5, C6, each matching with the following frequency bands: 262 Hz-523 Hz, 523 Hz-1046 Hz and 1046 Hz-1976 Hz), used for most of the songs, for a total of 36 notes. After listening to each pair of notes, the patient was asked to indicate which one was higher in pitch.

- **Music training software.** This software is designed to reproduce the melodic exercises of musical pitch discrimination: patients can perform exercises at home as shown in the present study. The Home-Learning Program is composed of several interfaces and levels of increasing difficulty. According to the test, subjects listen to 2 musical notes, and then say if the pair is made of the same or different sounds. The notes were played by a piano and, as in the previous test, lay between the 4th and 6th octave. Each pair was then recorded (sampling at 40 KHz), reproduced from the Home-Learning Program and delivered to the listener, sitting one meter away from a loudspeaker, sending a stimulus at 70 dB nHL. The software Home-Learning Program was installed on an IBM™ computer, which was routinely used to fit patients’ maps in routine fitting sessions. The levels were 7, with increasing difficulty, determined by reducing the distance between notes: the 1st level comprised pairs of notes 12-semitones apart (easiest task), while the 7th level included notes one semitone apart (most difficult task; Table III). The test aimed to assess children’s frequency discrimination in the frequency domain 262-1976 Hz. For each level, one pair of musical notes was administered: children had to say if the two tones were different or the same. Each level is further divided into two tests:

- **TEST A:** composed of two parts (each of 10 questions) in which the subject had to choose between 2 notes belonging to the 5th and 6th musical octave (523-1976 Hz).
Musical training for children with CI

The difficulty is determined by the distance in frequency between notes played.

<table>
<thead>
<tr>
<th>Level</th>
<th>Degree of difficulty</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level 1</td>
<td>12 semitones</td>
</tr>
<tr>
<td>Level 2</td>
<td>10 semitones</td>
</tr>
<tr>
<td>Level 3</td>
<td>8 semitones</td>
</tr>
<tr>
<td>Level 4</td>
<td>6 semitones</td>
</tr>
<tr>
<td>Level 5</td>
<td>4 semitones</td>
</tr>
<tr>
<td>Level 6</td>
<td>2 semitones</td>
</tr>
<tr>
<td>Level 7</td>
<td>1 semitone</td>
</tr>
</tbody>
</table>

• TEST B: consists of two parts (each of 10 questions) in which the subject had to choose between 2 notes belonging to the 4th and 5th musical octave (262-988 Hz). In the last 2 levels (6th and 7th level) there are 20 more questions test that investigate discrimination on mid-range (linked to the 5th octave). The software was programmed to go to the next, harder level once 8 correct answers of 10 were obtained. The threshold of 8 correct answers was planned conforming to the Theorem Bernoulli Trials. The Home-Learning Program was given to study members’ families; they were asked to set aside time (at least 2 hours weekly) to practice at home for a 6-month period. Parents had to teach children how to use the software.

• Music Test. The music test aims to assess children’s identification skills in a closed set of music items. It is composed of 5 childhood songs in digital recording, synthesised with Finale™ 2008 (MakeMusic Inc., Eden Prairie, MN). The tunes were presented at 70 dB-nHL, coming from two frontal loudspeakers one metre apart from the CI recipient. Prior to test execution, subjects were conditioned to look at a specific cartoon movie linked to each song from an IBM laptop© (IBM, Armonk, USA). Each song is presented twice, for a total of 10 items. During testing, children sat in front of a screen showing the most representative characters for each of the previously seen cartoons. The music test is divided in two sessions: in session 1, full version songs (instrumental plus vocal), as those presented in the preliminary training, were played twice randomly, for a total of 10 items. Children had to indicate the distinctive character on the screen for each song. In session 2, children were exposed to the melodic version songs, presented twice in a random order for a total of 10 musical items. An overall score was calculated on the basis of the items correctly identified. All children carried out an initial test to define the baseline music performances (musical pitch discrimination + music test). They then followed the specific musical training program, and eventually performed a final test with the same initial workup to evaluate possible improvement due to training.

Statistical analysis

We used a Kolmogorov-Smirnov test (K-S test) to compare a sample with a reference probability distribution, a paired t-Student statistic test to determine whether there were differences between two means or between a target value and a calculated mean, and used the Mann-Whitney U test, a non-parametric statistical test, when the distribution of samples did not respect of K-S test condition. A linear regression model according to Spearman’s rank and Pearson’s coefficient was used for correlations. Significance was set at p < 0.05.

Results

Training is based on a frequency discrimination task. Results were obtained comparing performances on MPD and music tests before and after the 6-month training period.

MPD results

Scores achieved after musical training showed significantly higher performance in frequency discrimination tasks than before training. At the baseline assessment, 2 children reached level 1 (frequency discrimination threshold of 12 semitones), 3 children reached level 2 (frequency discrimination threshold of 10 semitones), 4 children level 3 (frequency discrimination threshold of 8 semitones) and 1 child got to level 5 (frequency discrimination threshold of 4 semitones). Conversely, at the final assessment, 1 children came up to level 4, 1 to level 5, 5 children to level 6 (frequency discrimination threshold of 2 semitones) and the remaining 3 children got to the 7th and final level (frequency discrimination threshold of 1 semitone). Patients who reached last and hardest discrimination level (P3, P5 and P6) showed a proportional improvement in melody test scores (Figure 2): this suggests that these patients have higher frequency resolution than other CI children, but not comparable to that of normal hearing peers. In fact, children in the control group performed the MPD test without committing any error. The results showed that all children improved after training, each having reached more advanced level (almost up to the highest level). Statistical analysis was performed to rule out bias such as chance level; the Box-Plot and histogram show the significant difference in performances (p < 0.0001) obtained before and after training (Figs. 3, 4).

Music test results

There was an improvement in performances in both the melodic (Fig. 5a-b) and full (Fig. 6a-b) versions of the test comparing pre- and post-training assessments. In both cases, we found a significant difference between pre- and post-training scores p = 0.0151 for the melodic version and p = 0.0071 for the full song version.

On the other hand, there was no significant correlation between melodic and full version identification skill improve-
ments and stimulation strategy parameters, such as pulse width ($r^2 = 0.33, p = 0.102$) and maxima ($r^2 = 0.36, p = 0.10$).

Discussion

Music is a challenging task that is generally more difficult than conventional speech. Music is also a powerful tool in auditory training in children with CIs because it is an integral part of human natural environment. Music perception by CI recipients is hard because most common signal-processing strategies fail in transmitting effective pitch information. The underlying causes may be several: current CI processing strategies, which are more operative in preserving envelope cues but do not convey fine structure cues associated with good pitch perception; neural damage, that can limit the discrimination rate in some CI recipients; the limited electrode number; the abnormal frequency-coding.

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**Fig. 2.** Comparison between overall scores before and after musical training in the music test. We checked the validity of the melody and full version test, on 10 normal hearing patients, all of whom scored between 95 and 100%.

**Fig. 3.** Box plot of results: The box-plot represents the distribution of levels, of the MPD test, achieved by patient, before and after musical training ($p < 0.0001$).

**Fig. 4.** Comparison between scores before and after musical training in the MPD test. We checked the validity of music training program, based on the MPD test, on 10 normal hearing patients, all of whom achieved the 7th level without any problem.
resolution resulting from the tonotopicity destructuring in the auditory cortices of prelingually deafened children; another is due mismatch, or rather, misalignment between the conventional frequency band allocation to the electrodes of the array (frequency-place function) and the distribution of pitch percepts generated by electrode stimulation along the array (electrode-pitch function)\textsuperscript{32-34}.

We provided the children with a compact disc (CD) containing frequency discrimination exercises divided into increasing difficulty levels and assessed their performances before and after training.

Melody Identification Test. Comparison between normal hearing and implanted children revealed a significantly lower performance of the latter. These findings are consistent with known CI users’ pitch perception problems due to:
- A limited number of intracochlear electrodes, which seem to be enough to convey speech information, but inadequate to distinguish two notes one semitone apart. Theoretically, 88 different electrodes would be required to provide a complete representation of the entire piano keyboard; currently, this is not possible because of physical limitations imposed by electrical interferences among electrodes. This problem could be partly overcome by “virtual electrodes” that create intermediate pitch sensations\textsuperscript{35}; of course they should be made active, not all randomly, but only the virtual channels can determine pitch sensation effective and distinct from other, in order to avoid interference and confusion in listening.
- Misalignment phenomena between the conventional frequency bands located on the array and the perceived pitch\textsuperscript{36}. A recent study\textsuperscript{33} showed that the mismatch correction can lead to improvement in melodic identification skills in adult CI patients.

After specific musical training, the identification abilities of CI patients became higher even if not comparable to those of normal hearing children. This is consistent with the work of Gantz et al.\textsuperscript{37} where they demonstrated that musical abilities in children with CIs can improve after perception training.

Full songs Identification Test. The results suggest the same considerations made for melody identification. In this case, children performed better during the baseline assessment. This seems to be consistent with previous papers reporting best musical skills through the use of lyr-
ics: in fact, in the complete songs identification test, the CI group performed quite similar to the control group. Frequency Discrimination. The strength of this study was to give the chance to perform the MPD test directly at the patients’ home. From this experience, we highlight several important issues:

- objective improvement of music perception in CI children (melodic and full songs identification test);
- subjective improvement (parents referred to clinicians that their children were more comfortable listening to music than in the past);
- the possibility of self-training (which allows the child to perform the training in a comfortable environment and to correct his mistakes by himself);
- the chance to train with family and to be assisted in performing the test where needed.

Higher scores in pitch perception test positively correlated with a longer duration of musical training in implanted children. Our finding is consistent with a previous study, in which structured training was suggested to obtain positive correlation with recognition and appraisal of musical pitch discrimination by postlingually deafened cochlear implant recipients. After six months of training, our CI recipients showed significant improvements in pitch recognition and appraisal compared to the control group. Some mechanisms underlying the enhanced performance of pitch perception after musical training in the prelingually deafened CI children may be proposed. One explanation is the modification of disorganised tonotopy through the effect of auditory plasticity in the central auditory pathway of our subjects. The reinstatement of afferent input via cochlear implantation could consequently launch a cascade of plastic changes in the auditory system. Such reorganisation, probably coupled with essential changes in neurotransmission or neuromodulation, might lead to reduction of further deterioration in the central nervous system resulting from the interruption of electrical and nutritional input due to cochlear damages. This might reverse the disrupted tonotopic maps toward a relatively normal organisation. In normal hearing children, improved music perception via music education has been demonstrated by increased auditory evoked fields, possibly due to a greater number and/or synchronous activity of neurons. With the intervention of musical training, it seems likely that the modified tonotopy organisation of our prelingually deafened children could be further optimised for a more precise resolution of frequency spectrum, as is indexed by a better performance of pitch perception.

Conclusions

At present, however, no one can truly know how CI users with preverbal deafness perceive the musical melody. There is no doubt that as long as we do not find an effective solution to the lack of frequency discrimination and mismatch, these patients may not appreciate the beauty of music, even if they are able to recognise different songs. Nowadays, no codified procedure for a standardised music assessment nor specific musical training is available, especially for hearing impaired children.

In this paper, we present a completely new tool to train CI children in pitch discrimination and melody identification tasks. We found a significant difference between pre- and post-training scores in the full version test (p = 0.0071), melodic test (p = 0.0151) and frequency discrimination test (0.0001). In the light of our findings, we can conclude that is possible to achieve improvements in frequency discrimination and song recognition following specific perceptual training in prelingually deafened CI children.

References

Musical training for children with CI

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Individualised headband simulation test for predicting outcome after percutaneous bone conductive implantation

Il test di simulazione individualizzato con headband per la predizione dei risultati dopo impianto uditivo percutaneo

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SUMMARY

Trans-cutaneous bone conduction (BC) stimulators, when coupled to the HB (BC-HB), are generally used to predict the results that could be achieved after bone conductive implant (BCI) surgery, and their performance is generally considered inferior to that provided by the definitive percutaneous system. The aim of the present study was to compare the performances between BC-HB and BCI of the same typology, when the former’s sound processor is fitted in accordance to the individual auditory situation. Twenty-two patients selected for surgical application of a BCI were evaluated and the same audiological protocol was used to select the candidate and assess the final outcome. The BC-HB was properly fitted based on individual hearing loss and personal auditory targets, and tested as primary step of the protocol to obtain the most reliable predictive value. The BAHA Divino and BP100 sound processors were applied in 12 patients with conductive/mixed hearing loss (CMHL) and in 10 subjects with single sided deafness (SSD). Audiometric evaluation included the pure tone average (PTA) threshold between 250-1000 Hz; the PTA thresholds at 2000 and 4000 Hz; intelligibility scores as percentage of word recognition (WRS) in quiet and in noise; and subjective evaluation of perceived sound quality by a visual analogue scale (VAS). Statistical evaluation with a student’s t test was used for assessment of efficacy of BC-HB and BCI compared with the unaided condition. Spearman’s Rho coefficient was used to confirm the reliability of the BC-HB simulation test as a predictor of definitive outcome. The results showed that the mean PTA difference between BCI and BC-HB ranged from 2.54 to 8.27 decibels in the CMHL group and from 1.27 to 3.9 decibels in the SSD group. Compared with the BC-HB, BCI showed a better WRS both in CMHL (16% in quiet and 12% in noise) and in SSD (5% in quiet and a 1% in noise) groups. Spearman’s Rho coefficient, calculated for PTA, WRS in quiet and in noise and VAS in the two aided conditions, showed a significant correlation between BC-HB and BCI, between PTA and VAS and between WRS in quiet and VAS. It is possible to conclude that the headband test, when the sound processor of the selected bone conductive implant is fitted and personalised for individual hearing loss and auditory targets of the candidate, may provide highly predictive data of the definitive outcome after BCI implant surgery.

KEY WORDS: Bone conductive implant • Headband test • Hearing loss • Pure tone audiometry • Speech audiometry • VAS

RIASSUNTO

Gli stimolatori transcutanei per via ossea, quando accompagnati ad un archetto o headband (HB), vengono utilizzati per predire i risultati che potranno essere ottenuti dopo l’applicazione chirurgica di impianti uditivi a conduzione ossea (BCI). Generalmente, la loro efficacia viene considerata inferiore a quella fornita dal sistema percutaneo definitivo. In questo studio si è voluto comparare l’efficacia del sistema transcutaneo accoppiato all’HB (BC-HB) ed adattato alla situazione audiologica individuale, con i dati post-operatori ottenuti utilizzando lo stesso processo percutaneo. Ventidue pazienti, selezionati per l’applicazione chirurgica di un BCI, sono stati inclusi in questo studio e sono stati sottoposti ad uno stesso protocollo audiologico, sia per la loro candidatura che per la valutazione post-operatoria. Il BC-HB è stato accuratamente adattato all’ipoacusia ed agli obiettivi uditivi propri per ciascun soggetto, per poter acquisire il massimo valore predittivo. Sono stati utilizzati i processori BAHA Divino e BP100 in 12 pazienti con ipoacusia trasmissiva/mista, ed in 10 pazienti con sordità profonda monolaterale (single sided deafness o SSD). La valutazione audiologica ha incluso la soglia media tonale media tra 250 e 1000 Hz (PTA), quella a 2000 e 4000 Hz; la percentuale di intelligibilità per le parole (WRS) in quiete e in rumore; e la valutazione soggettiva di qualità del suono percepito usando la scala analogica visiva (VAS). Il coefficiente Spearman Rho è stato utilizzato per valutare l’attendibilità del BC-HB come indicatore del risultato definitivo. I risultati hanno dimostrato che la differenza media tonale tra sistema percutaneo e simulatore varia dai 2,54 e gli 8,27 decibel nel gruppo CMHL e dal 1,27 ed i 3,9 decibel nel gruppo SSD. Rispetto al simulatore, con il sistema impiantato si è osservato una migliore WRS: del 16% in quiete e del 12% nel rumore nei soggetti con ipoacusia trasmissiva/mista; e del 5% in quiete e dell’1% nel rumore nel gruppo con SSD. Si è in questo modo evidenziata una significativa correlazione tra i dati del simulatore e quelli dell’impianto percutaneo definitivo, tra il PTA ed il VAS, così come tra il WRS in quiete ed il VAS. Si può quindi concludere che il test con simulatore, quando il processore sonoro utilizzato viene adattato e personalizzato alle necessità uditive di ciascun soggetto, può fornire dati altamente predittivi del risultato definitivo di un BCI.

PAROLE CHIAVE: Impianto uditivo per via ossea • Test con archetto • Ipoacusia • Audiometria tonale • Audiometria vocale • VAS

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Introduction

Bone conduction (BC) hearing provides a different pathway for sound transmission and represents an alternative to physiologic airborne conduction. Although the actual mechanisms that produce the hearing sensation when sound is transmitted through bone have not being fully elucidated, bone conduction hearing devices, such as conventional hearing aids (HA) or bone-conductive implants (BCI), have allowed to overcome some limitations that an air conduction HA carries in relation to, for example, post-operative sequelae from middle ear surgery or external ear canal chronic diseases. In fact, in the last decades, BCI have been successfully applied not only to patients with conductive or mixed hearing loss of different aetiology, but also to those affected by single-sided sensorineural deafness (SSD) by reproducing a contralateral routing of offside signal (CROS) amplification. For nearly three decades, percutaneous BCIs have been adopted worldwide, allowing the osseointegrated device to directly drive bone stimulation to the cochlea, bypassing the damping effect of the skin and subcutaneous tissue. In case of small children, in whom reduced skull thickness is unlikely to retain the implanted screw, the BC sound processor is usually stabilised with a band, called soft-band, that provides transcutaneous stimulation. Likewise, a transcutaneous BC variant, coupled to the HB, is routinely used during the selection process of potential candidates, since it allows not only to perform the audiological evaluation, but also permits patients to familiarise themselves and subjectively perceive its possible benefit.

It is general opinion that the functional outcome of a transcutaneous bone-conductive system is different from what can be achieved by an osseointegrated implant. The variables in play for the reduced performance of the transcutaneous stimulation are position of the bone vibrator, surface contact area, skin thickness and frequency stimulation. In particular, when considering the frequency range of transmission of osseointegrated and transcutaneous BC devices, an overlapping sensitivity has to be expected below 500 Hz since, up to this frequency, the interposed skin produces no attenuation. Beyond 500 Hz, contrarily, progressive separation of the percutaneous and transcutaneous BC thresholds occurs, the former being superior by approximately 4 to 7 dB when the speech reception threshold values are considered.

The present study was performed to assess the clinical validity of carrying out the preoperative simulation test with HB, when the coupled sound processor has been customised to the patient’s hearing loss and auditory targets, as a reliable predictor of the final outcome of BCI.

Materials and methods

Twenty-two adult subjects (10 males, 12 females), implanted at a University Hospital Implanting Center with a single BCI system (BAHA®, Cochlear, Mölnlycke, Sweden) were included in this retrospective study (Table I). Thirteen subjects presented with conductive-mixed hearing loss (CMHL), with mean bone-conduction threshold of 36.5 dB, mean air-conduction threshold of 73.1 dB and mean air-bone gap of 36.6 dB; 9 subjects were affected by single sided deafness (SSD), with a contralateral mild, down-sloping sensorineural hearing loss presumably caused by aging (Table II). A BP100 sound processor (SP) was used in 10 patients and a Divino SP in the remaining 12.

A preoperative simulation test was performed with an individualised fitting of the two different SPs according to type and degree of hearing loss. In the Divino SP, volume level and gain at the low frequencies were manually changed for CMHL patients while, in the SSD ones, the gain at low frequencies was down-regulated in favour of high frequencies. The microphone was always set in the omnidirectional configuration. The BP100 SP was fully programmed using dedicated preset parameters for CMHL and SSD, optimising the fitting software in each patient. In mixed hearing loss, the wide band dynamic range compression was activated. Automatic noise management, active feedback cancellation, acoustic shock protection and the dynamic output stabiliser were also activated in all patients. Even with this SP, the microphone was set in the omnidirectional configuration.

Audiological assessment included:

- Pure tone and speech audiometry, performed inside a soundproof booth with headphones, for choosing the ear (or the first ear) to be implanted;
- Sound-field PTA, word recognition score (WRS) in quiet (at 65 dB HL), and WRS in noise (S/N ratio +10 dB) as maximum percentage of intelligibility at the stimulation level of 80 dB, as maximum output of the loudspeaker, for collecting data. For the speech in noise tests, babble noise and speech were delivered through separate channels calibrated independently, with a S/N ratio +10, to the loudspeakers located in the CMHL group at 0° (speech) and 180° (noise), while in the SSD group the multi-talker babble was directed to the better hearing ear, and speech to the poorer one. In the CMHL group, the better ear was always occluded during tests. The speech material consisted in three lists specific to the patients’ mother tongue.

Single values were recorded for the unaided, BC-HB and post-operative BCI outcomes.

All patients also underwent subjective evaluation of sound quality under the three different situations, using a visual analogue scale (VAS), with scores ranging from 0 to 10. Mean values obtained in the CMHL and SSD groups with the BC-HB and the BCI compared with the unaided situation were used to assess the gain of the two aided
situations compared with the unaided one. For statistical analysis, a non-parametric distribution of data was used. Spearman’s Rho correlation coefficient (>0.80 with p value <0.05) was used to evaluate the correlation and significance of BC-HB values in predicting BCI performance, in the CMHL and SSD groups separately and together, for the following variables:

- the PTA, separately, for low (250-1000 Hz) and high (2000-4000 Hz) frequencies, since at high frequencies different thresholds levels were recorded in the two aided situations;
- the percentage of WRS in quiet;
- the maximum WRS in noise;
- VAS as sound quality. Spearman’s rho (\(\rho\)) coefficient was also used to assess the correlation of the VAS with both the 2-4 kHz PTA and the WRS in quiet, at baseline, BC-HB and BCI conditions. The study was performed in accordance with the principles of the 1983 Declaration of Helsinki.

Results

Conductive-mixed hearing loss (CMHL)

PTA

The mean PTA values in the unaided, BC-HB and BCI conditions are shown in Figure 1. Improvement was found with the BC-HB and the BCI compared with the unaided situation. The mean gain at low-mid frequencies (250-1000 Hz) was 19.47 dB with the BC-HB and 22.01 dB with the BCI; at high frequencies (2000-4000 Hz) it was 14.42 dB with the BC-HB and 22.07 dB with the BCI. The BCI gain exceeded that with the BC-HB by 2.54 dB at low frequencies and by 8.27 dB at high frequencies.

Speech audiometry in quiet – WRS

The mean WRS values at 65 dB in quiet are shown in Figure 2. The WRS values improved with both the BC-HB and BCI. When considering the BC-HB and the BCI compared with the unaided condition, the mean WRS gain was 21% with the BC-HB, and 37% with the BCI, with a 16% difference (Fig. 2).

Speech audiometry in noise (S/R + 10) – Maximum WRS

The mean maximum percentage of word recognition in noise in the three different conditions is shown in Figure 3. The percentage of intelligibility improved with both the BC-HB and BCI. The mean gain compared with the unaided condition was 12.3% with the BC-HB and 24.5% with the BCI, with a difference of 12.2% between the two aided conditions (Fig. 3).

Single sided deafness

PTA

The PTA results in all three situations are shown in Figure 1. PTA improvement was found with both the BC-HB and BCI at low and high frequencies. The mean gain at low frequencies was 3.17 dB with the BC-HB and 4.44 dB with the BCI; at high frequencies, the gain was 4.44 dB with the BC-HB and 8.33 dB with the BCI. The gain difference between the two aided modalities was 1.27 dB at low frequencies and 3.9 dB at high frequencies.

Speech audiometry in quiet – WRS

The mean WRS results in all three conditions are shown in Figure 2. The percentage of word recognition at 65 dB improved with both the BC-HB and BCI. The WRS gain was 9% with the BC-HB and 14% with the BCI; the difference between the two aided modalities was 5%.

Speech audiometry in noise (S/N + 10)

The mean maximum percentage of word recognition in noise in the three different conditions is shown in Figure 3. The mean gain was 1.11% with the BC-HB and 11.11% with the BCI compared with the unaided condition. A 10% percentage difference between the two aided modalities was found. The mean gain was 2 dB with the BC-HB and 5.5 dB with BCI.

VAS of sound quality

The mean VAS values obtained under the unaided, BC-HB and BCI conditions, in the CMHL and SSD groups, are shown in Figure 4. In the CMHL group, a strict correlation (\(\rho =0.85, p<0.001\)) between the PTA thresholds at 250-1000 Hz of the BC-HB and BCI was found, which was also significant for all other parameters (Table III). In the SSD group, the correlation between BC-HB and BCI was also very close and significant.
for all parameters, except for PTA values at 250-1000 Hz. When considering the patients together, strong correlation was found for the entire PTA range, which was significant for all parameters considered (Table IV).

In the CMHL, a high and significant correlation was found between the WRS in quiet and VAS in the unaided ($\rho = 1.00; p < 0.001$), BC-HB ($\rho = 0.99; p < 0.001$) and BCI ($\rho = 0.99; p < 0.001$) conditions. A significant correlation was also found between PTA 2-4 kHz and the VAS in the unaided ($p= 0.010$), BC-HB ($p= 0.004$) and BCI ($p < 0.001$) conditions.

In SSD, a high and significant correlation was found between the WRS in quiet and the VAS in the unaided ($\rho= 1.00; p < 0.001$), BC-HB ($\rho= 0.99; p < 0.001$) and BCI ($\rho= 0.87; p < 0.003$) conditions. No correlation was found between the VAS and PTA 2-4 kHz in the unaided ($p> 0.05$), BC-HB ($p= 0.05$) or BCI ($p > 0.05$) conditions.

Discussion

The differences between the transcutaneous and percutaneous modality of sound conduction of bone generally influence the mean auditory gain, even when a single frequency range is taken into consideration. At high frequencies, for instance, percutaneous BCI usually provides better gain than a transcutaneous system 8. In clinical practice, a pre-operative trial period and audiometric tests carried out with the transcutaneous simulation system, such as the headband (HB), allow the patient to get acquainted with the sound delivered by the device, and also help the audiologist and surgeon to select the right candidate and anticipate the definitive post-operative result. Pre-operative HB tests enable recording data with a transcutaneous mode of stimulation, and to compare them at a later stage with those obtained via the definitive BCI. Similarly to all HA-related tests, even in this situation audiometric tests are performed in sound fields with variables related to intrinsic (type and severity of hearing loss, uni- or bilateral hearing loss) and extrinsic factors (type and level of stimulation, loudspeaker position in the azimuth). Generally, in spite of striking methodological differences, few information is usually available in the literature that describes the specific setting of the simulation device, which is instead analysed with fixed stimulation parameters, such as delivering the maximum volume without balancing the frequency gain in relation to the patients’ hearing loss and listening need. One may assume that this latter adjustment is meant to compensate for the inferior efficacy of the transcutaneous bone stimulator compared with the percutaneous one. Furthermore, the information collected by simulation tests should be different in individuals affected by CMHL from those with SSD due to the different problems associated with the two hearing conditions, such as for instance loss of binaural hearing that is usually targeted only in SSD. The literature in this regard has mainly focused on CMHL, because after more than 30 years of application of a BCI in this form of hearing impairment, the major target has uniquely been PTA gain 9-11. A recent study compared the pre-operative transcutaneous and postoperative percutaneous conditions, reporting differences in the hearing threshold (5-20 dB for the range 1-4 kHz and 6 dB for that 0.5-1 kHz) as well as in speech recognition thresholds (SRT improvement of 4-7 dB) 12. Other investigators have found that the predictive value at low-frequency was more reliable than at high frequencies, with a hearing gain ranging from 1 to 18 dB 13. Snapp et al. 14 commented on the low sensitivity of common audiological tests for predicting and monitoring BCI outcomes in SSD patients, stressing that the tests with speech recognition in noise should play a major role for appropriate assessment.

At our department, all patients candidate for a BCI, being affected by either conductive, mixed or profound unilateral sensorineural hearing loss (SSD), routinely undergo a pre-operative trial wearing a HB coupled to the same sound processor that has been chosen to be coupled to the implanted
Fig. 1. a) Pure tone average (PTA) in conductive-mixed hearing loss (CMHL) subjects in the unaided, headband and bone-conductive implant (BCI) conditions. b) Pure tone average (PTA) in single-sided deafness (SSD) subjects in the unaided, headband and bone-conductive implant (BCI) conditions.

Fig. 2. a) Percentage of word recognition score (WRS) at 65 dB HL in quiet, in conductive-mixed hearing loss (CMHL) subjects, in the unaided, headband and bone-conductive implant (BCI) conditions. b) Percentage of WRS at 65 dB HL in quiet, in single-sided deafness (SSD) subjects, in the unaided, headband and BCI conditions.

Fig. 3. a) Maximum percentage of word recognition score (WRS) in noise, at stimulation level of maximum WRS in quiet, in conductive-mixed hearing loss (CMHL) subjects, in the unaided, headband and bone-conductive implant (BCI) conditions. b) Maximum percentage of WRS in noise, at stimulation level of maximum WRS in quiet, in single-sided deafness (SSD) subjects, in the unaided, headband and BCI conditions.

Fig. 4. a) Mean visual analogue scale (VAS) score for conductive-mixed hearing loss (CMHL) subjects in the unaided, headband and bone-conductive implant (BCI) conditions. b) Mean VAS score for single-sided deafness (SSD) subjects in the unaided, headband and BCI conditions.
The individualised headband simulation test

The present study has taken into consideration hearing loss: in SSD, the gain at low frequencies was decreased to allow better speech perception, especially in noisy environments, while in CMHL, low frequency amplification was only finalised to improve the signal to noise ratio. Due to the limitation of the available devices, which do not allow a home-trial period, the potential candidate was left with the BC-HB for a few hours in different listening environments, while in CMHL, the performance of the BCI was superior to that of the BC-HB in all parameters considered. The mean PTA gain with the BCI, compared with the BC-HB condition, was minimal (around 1 dB) for low frequencies and up to only 4 dB for high frequencies. When testing speech discrimination, a better percentage of intelligibility was found with the BCI than with the BC-HB, of about 5% in quiet and 10% in noise. The mean VAS values in the CMHL and SSD groups, taken together, showed that the definitive BCI provided a clearer and better sound than both the unaided and the BC-HB condition.

The prediction of the BCI effect derived from the correlation between the intra-individual changes of the variables in the two aided situations was extremely significant in the CMHL group for low-middle frequencies, and to a lesser degree, but still significant, for the other parameters; in the SSD group, however, the correlation for low frequencies was poor. This latter finding is related to the fitting characteristics for the SSD situation and to the minimal gain deliberately received at low frequencies to privilege high frequency gain, while trying to optimise some of the binaural function features. Considering the patients together (CMHL + SSD), close correlation was found for the entire PTA range, which was significant for all parameters.

The correlation between VAS and the other variables revealed differences between the CMHL and SSD groups in the three different situations. In CMHL, in all situations, a close and significant correlation was found between the good perceived quality of sound in terms of clearness and openness and speech perception in quiet. When considering the correlation between VAS and 2000-4000 Hz PTA, and up to only 4 dB for high frequencies, when testing speech discrimination, a better percentage of intelligibility was found with the BCI than with the BC-HB, of about 5% in quiet and 10% in noise. The mean VAS values in the CMHL and SSD groups, taken together, showed that the definitive BCI provided a clearer and better sound than both the unaided and the BC-HB condition.

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it was shown to be significant in all auditory situations, but correlated highly only with the BCI. The correlation between sound quality, sound-field speech perception and high-frequency gain with a BCI has already been recently shown 13. In the SSD group, in contrast, a high and significant correlation of VAS was shown only with the WRS percentage in quiet, in all three situations. This finding means that in the SSD, verbal and speech perception are related with directional hearing and follow two separate analytic processing cues, the first simply auditory and the second linked to multi-sensorial inputs. Apart from the efficacy of the BCI compared to the un-aided situation in the CMHL and SSD groups, the present study showed that the use of a personalised, well-fitted SP coupled to a HB in pre-operative simulation tests is reliable in predicting the final BCI advantages not only for hearing improvement, but also for speech perception in noise and quality of listening. The accuracy of the outcome prediction is motivated by the fact that, contrary to previous reports, the differences between PTA and WRS in the two aided conditions were minimal 14. This assumption is also confirmed by the strong and significant correlation with all variables taken into consideration, as well as in the VAS when comparing hearing function with the BC-HB with that of the definitive implant. It is possible to comment that the main reason for pre-operatively assessing a BCI candidate with a transcutaneous HB-coupled processor is to allow the subject to experience the actual advantages of bone conduction stimulation carried out using a modality that is mostly reproduced by the definitive BCI. In our opinion, these simulation tests can be reliable only if the drawback of the skin attenuation related to the transcutaneous condition is partially compensated by fitting the SP simulator on the basis of individual hearing loss, as well as the needs and individual targets of each candidate, rather than using a preset configuration.

Conclusions

The present study shows that when using pre-operative audiological assessment with a BC-HB simulator as a predictor of a BCI outcome, the simulation device should be fitted with the same modality used for the definitive BCI, while assessing audiological performances not only as PTA auditory gain, but mostly as speech perception in noise and improvement of the quality of perceived sound. The outcome of such an evaluation may allow patients, audiologists and surgeons to obtain an accurate estimate of the final outcome after BCI application.

References

Foreign body injuries in children: a review

Lesioni da corpo estraneo nei bambini: una revisione

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SUMMARY

The aim of this paper was to overview existing knowledge on foreign body (FB) injuries in children, with particular focus on FB types and anatomical locations, clinical presentation and complications. FB injuries represent a severe public health problem in childhood. The fact that the highest prevalence of FB injuries is reported for children between 0 and 3 years of age depends primarily on the fact that they explore objects using their mouth and are also not able to distinguish edible objects from non-edible ones. Types of FB causing injuries depend on the symptoms related to FB ingestion/inhalation/insertion (providing an early diagnosis of FB injuries) and complications related to the FB characteristics (type, shape, dimensions). The analysis of the Susy Safe database showed that in 10,564 cases, in which the object type was available, 74% of objects were inorganic and were mostly represented by pearls and balls, followed by coins. The main concerning about FB injuries is the fact that they may be asymptomatic or that symptoms may be non-specific. Consequently, the FB injury can be misinterpreted as a gastrointestinal or respiratory infection. The absence of specific symptoms indicating the occurrence of FB injury can lead to delays in diagnosis, thereby increasing the risk of complications. Symptoms seem to mostly depend on the anatomical location. Many ingested FBs pass naturally through the gastrointestinal tract without complications or damage. However, severe complications can occur depending on the characteristics of the FB, its anatomical location, the child’s age and delays in diagnosis.

KEY WORDS: Foreign bodies • Suffocation • Children • Emergency care

Introduction

Foreign body (FB) injuries represent a severe public health problem in childhood, especially in infants. The fact that the highest prevalence of FB injuries is reported for children between 0 and 3 years of age depends primarily on the fact that young children explore objects using their mouth, are not able to distinguish edible objects from non-edible ones, their teeth are physiologically lacking (they have incisors to tear food, but not cuspids, with consequently difficulties in reducing food in a smooth bolus) and have poor swallowing coordination (compared to older children and adults). Additionally, FB injuries in pre-schoolers can be related to distractions (e.g. eating and playing at the same time)^1.

FB injuries are related to increased morbidity (they often have a non-specific clinical presentation, resulting in a delay of recognition of FB injury that can lead to serious complications depending on the type of FB, its anatomical location and the child’s characteristics^2) as well as mortality (choking is one of the main causes of death in kids aged 0-3 years, but it is common also in older children, especially in those up to 14 years of age)^1. In order to improve clinical management of children in whom FB injuries occurred, reduce the risk of complications and death, and to develop strategies for prevention
of FB injury, it is crucial to understand the types of FB that cause injuries, the symptoms related to FB ingestion/inhalation/insertion (providing an early diagnosis of FB injuries) and complications related to the characteristics (type, shape, dimensions) of the FB. However, despite the severity of this type of injury (and the consequently need for evidence to improve clinical management and develop prevention strategies), the availability of high quality evidence on FB injuries is lacking. This is probably related to a lack of systematic collection of data on FB injuries in children: only a few countries have developed a surveillance tool collecting information on FB injuries, and most of the available data come from publication of single case studies, collection of case studies from a single health care centre and review of previously published case studies (consequently, data are collected in a heterogeneous manner resulting in difficulties in pooled analysis). Currently, the main surveillance tool providing epidemiological data on FB injuries, is represented by the Susy Safe registry. It was developed to provide a risk profile of products causing injuries in children, investigate the impact of socio-economic disparities in injuries’ likelihood and involve consumer associations to educate consumers on the risks of FB injury. It collects information in both European and non-European countries on characteristics, symptoms and complications of FB injury, and on the procedures performed for diagnosis and removal of the FB. This paper aims to overview existing knowledge on the types of FB injuries in children, clinical presentation and complications related to FB type, and to provide an update of the literature.

**Foreign body types and anatomical location**

Types of FBs ingested/inhaled/swallowed/inserted by the child are generally classified as food and non-food objects. Regarding anatomical location, it is usually reported (e.g. from the Susy Safe registry) using the International Classification of Disease ICD-9, corresponding to codes from 931 to 935 (which are represented, respectively, by FB in: ears, nose, pharynx and larynx, respiratory tract and digestive tract) in order to provide a standardisation of FB injuries. Generally, FB injuries involving the respiratory tract occur more often in young children (less than 4 years of age), while insertion of FBs in ears or nose is reported more frequently in older children. The results retrieved from the literature on FB characteristics and anatomical location are shown in Table I.

The analysis of 16,878 FB injuries from the Susy Safe database showed that in 10,564 cases in which the object type was available, 74% of objects were inorganic and were mostly represented by pearls and balls, followed by coins. A review of FB injuries reported in the literature demonstrated that coins were the objects most often ingested by kids. This finding is similar to those reported from a retrospective study conducted on 192 FB injuries cases, demonstrating that the most frequently found oesophageal objects were coins. Moreover, data on Romanian children showed that, among children who swallowed a FB, the objects most frequently retrieved were coins, which is consistent with the analysis of 320 oesophageal FB cases in Argentinean children. Toys (particularly parts of broken toys and Lego® type toys) represent a particular category of inorganic objects: they are often found in the upper aero-digestive tract (especially since children insert them in the nose). However, in recent years, the incidence of this type of injury is decreasing thanks to stricter regulations for toy manufacturers and commercialisation.

Regarding organic objects, the analysis of the Susy Safe database showed that only 26% of cases (among those in which the object type was specified) were related to food items and were most frequently found in ears (ICD931), pharynx and larynx (ICD933), trachea, bronchus and lungs (ICD934)\(^\text{19}\). The fact that food is the object that is generally most frequently aspirated by children is confirmed also by a retrospective study of 184 cases of FB aspiration, showing that nuts and seeds (especially sunflower seeds and hazelnuts) were more frequently retrieved in the respiratory tract, which is consistent with data on FB injuries retrospectively revised in a German hospital, showing that organic objects (particularly seeds, nuts and berries) were those that were most often inhaled by children. Additionally, a systematic review of articles reporting on FB injuries demonstrated that food items (especially nuts) were most frequently found in children’s airways. Nuts and seeds are found to be the objects most frequently inhaled by children, particularly those younger than 3 years of age. The high incidence of nut and seed retrieval in young children’s airways is mostly associated with difficulties in chewing this type of fruit due to a physiological lack of teeth. Nuts are more commonly retrieved in children living in Western countries, while watermelon seeds are more common in Asian ones. Additionally, a study conducted among Turkish children highlighted the fact that inhalation of hazelnuts during the hazelnut harvest season represents a severe public health problem. These findings clearly indicate that the type of object causing injuries in children is highly dependent on the social, economic and cultural environment in which the child lives.

**Symptoms of foreign body injuries**

The main concern about FB injuries is the fact that they can be asymptomatic or that symptoms can be non-specific. As a consequence, FB injury can be misinterpreted with a gastrointestinal or respiratory infection. If the injury is not witnessed, the absence of specific symptoms
Table I. Foreign body (FB) types and anatomical location. For FB type, only the three objects most frequently retrieved are reported.

<table>
<thead>
<tr>
<th>Source</th>
<th>Year</th>
<th>Study Type</th>
<th>Country</th>
<th>No. of cases/No. of included studies</th>
<th>FB anatomical location</th>
<th>FB type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Šlapák et al. 12</td>
<td>2012</td>
<td>Prospective study (Susy Safe’s data analysis)</td>
<td>Both EU and non-EU countries</td>
<td>10,564 cases for which the FB type was specified. Analyses were performed on the 7,820 (74%) injuries due to a non-food item</td>
<td>37% Nose, 29% Mouth, Oesophagus, Stomach 24% Ear</td>
<td>22% Pearl, Ball, Marble 20% Coin 8% Other non-food</td>
</tr>
<tr>
<td>Sebastian van As et al. 14</td>
<td>2012</td>
<td>Prospective study (Susy Safe’s data analysis)</td>
<td>Both EU and non-EU countries</td>
<td>10,564 cases for which the FB type was specified. Analyses were performed on the 2744 (26%) injuries due to a food item</td>
<td>50% Trachea, Bronchi and Lungs 19% Nose 16% Pharynx and Larynx 8% Mouth, Oesophagus, Stomach 7% Ear</td>
<td>32% Bone 22% Nut 21% Other food</td>
</tr>
<tr>
<td>Chinski et al. 16</td>
<td>2010</td>
<td>Prospective study</td>
<td>Argentine</td>
<td>320 cases</td>
<td>Oesophagus</td>
<td>268 Coins 15 Bones 15 Plastic pieces</td>
</tr>
<tr>
<td>Rybojad et al. 14</td>
<td>2012</td>
<td>Retrospective study</td>
<td>Poland</td>
<td>192 cases were reviewed, a FB was retrieved in 163 cases</td>
<td>Oesophagus</td>
<td>54% Coins 19% Food fragments 7% Toy parts</td>
</tr>
<tr>
<td>Jayachandra et al. 13</td>
<td>2013</td>
<td>Systematic review</td>
<td>17 articles, corresponding to 5,559 cases</td>
<td>Digestive tract</td>
<td></td>
<td>2 studies analysed exclusively coins ingestion. Among the other 15 studies, 10 reported coins as the objects most frequently ingested</td>
</tr>
<tr>
<td>Sarafoleanu et al. 15</td>
<td>2012</td>
<td>Retrospective study</td>
<td>Romania</td>
<td>455 cases</td>
<td>44.62% Nose 24.18% Mouth, Oesophagus, Stomach 14.73% Trachea, Bronchi and Lungs 12.75% Ears 3.74% Pharynx and Larynx</td>
<td>23.96% Nuts and Seeds (50.46% were aspirated) 12.75% Marbles 12.53% Coins (96.49% were ingested)</td>
</tr>
<tr>
<td>Oncel et al. 22</td>
<td>2012</td>
<td>Retrospective study</td>
<td>Turkey</td>
<td>184 cases</td>
<td>Airways</td>
<td>45% Sunflower seeds 26% Pistachio 11% Hazelnut</td>
</tr>
<tr>
<td>Göktaş et al. 21</td>
<td>2010</td>
<td>Retrospective study</td>
<td>Germany</td>
<td>78 cases</td>
<td>Airways</td>
<td>69.2% Seeds, nuts, berries and grains 15.4% Other types of food</td>
</tr>
<tr>
<td>Brikic et al. 7</td>
<td>2007</td>
<td>Retrospective study</td>
<td>Bosnia and Herzegovina</td>
<td>662 cases</td>
<td>84% Bronchi 14.3% Trachea and Larynx</td>
<td>87.1% Organic objects</td>
</tr>
<tr>
<td>Foltran et al. 8</td>
<td>2012</td>
<td>Meta-analysis</td>
<td></td>
<td>174 articles, corresponding to 30,477 cases</td>
<td>Airways</td>
<td>6504 Nut 5553 Organic unspecified 3678 Seeds</td>
</tr>
<tr>
<td>Foltran et al. 17</td>
<td>2011</td>
<td>EFSAI (European Survey on Foreign Bodies Injuries), retrospective study</td>
<td>19 European countries</td>
<td>2,094 cases. Analyses were performed on the 121 (5.8%) injuries due to toys</td>
<td>74% Nose 13% Trachea, Bronchi and Lungs 6% Pharynx and Larynx</td>
<td>29 (31%) Toy 17 (18%) Part of a toy 16 (17%) Lego® type toys</td>
</tr>
</tbody>
</table>

Foreign body injuries in children
indicating the occurrence of FB injury can lead to delays in diagnosis, thus increasing the risk of complications. Symptoms seem to depend mostly on anatomical location (Table II). A review of published cases of FB ingestion showed that symptoms differ in each of the studies, but include mostly gastrointestinal symptoms (vomiting, dysphagia, drooling, gagging) when the FB is located in the upper-mid-lower oesophagus. In studies in which coins were found to be ingested by children, vomiting and drooling were the most frequently reported symptoms. However, some studies reported that injured children were completely asymptomatic. A study conducted in Polish children on FB ingestion, in which most of FB were found to be coins, that most common symptoms were dysphagia, vomiting and drooling. Drooling and dysphagia were also most frequently reported in oesophageal FB in a retrospective study conducted on 1116 cases in a Turkish paediatric population. Gastrointestinal symptoms were frequently encountered among Argentinean children injured by FB located in the oesophagus, although vomiting and odynophagia were those most frequently reported, while drooling and dysphagia were less prevalent. Despite the fact that FB located in the mouth/oesophagus/stomach are more often related to gastrointestinal symptoms, it is difficult to identify a specific pattern of symptoms considering the FB type, location and child’s characteristics, as is demonstrated by the fact that significant heterogeneity in clinical presentation was reported among studies. Regarding FB in the airways, a meta-analysis of published studies showed that most frequent symptoms are cough and fever, followed by dyspnoea and choking, while the most common sign was abnormal breath sounds at auscultation. Consistent with this meta-analysis, a 2-year prospective study on Israeli children showed that the symptoms most frequently associated to FB aspiration were choking, cough and dyspnoea. Given the high frequency of symptoms such as cough and fever associated with FB inhalation, the risk of misdiagnosing the FB injury with a respiratory tract infection is high. A retrospective study reviewing medical records of children with a suspect diagnosis of FB aspiration reported that all chil-

<table>
<thead>
<tr>
<th>Source</th>
<th>Study type</th>
<th>No. of cases/No. of included studies</th>
<th>Anatomical location</th>
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</tr>
<tr>
<td>Rybojad et al. 14</td>
<td>Retrospective</td>
<td>192 cases were reviewed, a FB was retrieved in 163 cases</td>
<td>First, Second and Third narrowing of oesophagus</td>
</tr>
<tr>
<td>Balci et al., 2004 24</td>
<td>Retrospective</td>
<td>1116 cases</td>
<td>Oesophagus</td>
</tr>
<tr>
<td>Chinski et al., 2010 14</td>
<td>Prospective</td>
<td>320 cases</td>
<td>Oesophagus</td>
</tr>
<tr>
<td>Foltran et al., 2012 8</td>
<td>Meta-analysis</td>
<td>174 articles, corresponding to 30,477 cases</td>
<td>Airways</td>
</tr>
<tr>
<td>Lea et al., 2005 25</td>
<td>Prospective</td>
<td>98 cases with suspected FB, in 56 FB was found</td>
<td>Airways</td>
</tr>
</tbody>
</table>
Children presented with cough and abnormal breath sounds, but about 20% of FB injury cases were misdiagnosed with tracheobronchial infections or disease (e.g. pneumonia or asthma). Among these, diagnosis was correctly achieved after 3 days and 2 years. Moreover, FB aspiration symptom patterns are unclear with a consequently high risk to misdiagnose the injury with a respiratory infection if the FB aspiration is not witnessed.

### Complications of FB injuries

Many ingested FBs pass naturally through the gastrointestinal tract, without complications or damage. However, severe complications can occur that depend on the characteristics of the FB, its anatomical location, the child’s age and delay in diagnosis.

FB characteristics play a key role in determining the risk of complications, particularly considering it consistence and shape: rigid and semi-rigid objects and those with sharp and edges are those most commonly found to cause complications such as laceration and perforations 26, while small, round items (e.g. food items like berries) are found to increase choking risk 27. Referring, more specifically, to the categories of hazardous organic and inorganic objects, it has been demonstrated that, among food items, bones (especially fish and chicken bones) and broken nut shells can lead to mucosal perforation/laceration, although nuts (the food item most frequently retrieved in children’s airways) are those most often related to complications, compared to bones and nut shells, because they can also cause an inflammatory reaction determining sudden tracheobronchial obstruction 19. Among inorganic objects, in addition to those that have a rigid/semi-rigid consistence and sharp/edges, there are also two types of items that deserve particular attention because of the severe complications related to their ingestion/inhalation: magnets and batteries. Regarding magnets, if the ingestion of a single magnet is generally not dangerous because it passes naturally through the gastrointestinal tract, the ingestion of multiple magnets is dramatic as they can attract each other once in the gastrointestinal tract (especially in the bowel) 28. The most frequently described com-

<table>
<thead>
<tr>
<th>Study</th>
<th>Gastrointestinal</th>
<th>Respiratory</th>
<th>Pain</th>
<th>Others</th>
<th>Asymptomatic</th>
</tr>
</thead>
</table>

Table II. Symptom of FB injury.

<table>
<thead>
<tr>
<th>Source Study</th>
<th>Study type</th>
<th>No. of cases/No. of included studies</th>
<th>Anatomical location</th>
<th>Symptoms</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jayachandra et al. 13</td>
<td>Systematic review</td>
<td>17 articles, corresponding to 5559 cases</td>
<td>Digestive tract</td>
<td>7 studies: Vomiting 6 studies: Dysphagia 4 studies: Drooling 2 studies: Gagging 1 study: Fluid intolerance</td>
</tr>
<tr>
<td>Rybojad et al. 14</td>
<td>Retrospective study</td>
<td>192 cases were reviewed, a FB was retrieved in 163 cases</td>
<td>First, Second and Third narrowing of oesophagus</td>
<td>First narrowing: 34 Drooling 34 Vomiting 33 Dysphagia Second narrowing: 12 Drooling 13 Vomiting Third narrowing: 24 Dysphagia</td>
</tr>
<tr>
<td>Balci et al., 2004 24</td>
<td>Retrospective study</td>
<td>1116 cases</td>
<td>Oesophagus</td>
<td>512 (45.9%) Drooling 298 (26.7%) Dysphagia 12 (1.1%) Vomiting</td>
</tr>
<tr>
<td>Chinski et al., 2010 16</td>
<td>Prospective study</td>
<td>320 cases</td>
<td>Airways</td>
<td>96 Vomiting</td>
</tr>
<tr>
<td>Lea et al., 2005 25</td>
<td>Prospective study</td>
<td>98 cases with suspected FB, in 56 FB was found</td>
<td>Airways</td>
<td>92 (28.7%) Vomiting 38 (11.8%) Slalorrhoea 31 (9.69%) Pharyngism 28 (8.75%) Dysphagia</td>
</tr>
</tbody>
</table>
Complications associated with ingestion of multiple magnets are necrosis, bowel obstructions, perforations, sepsis and even death, which are mainly attributable to delays in diagnosis. Additionally, the incidence of the ingestion of multiple magnets has increased in the last years, highlighting that, despite the fact that the risk related to magnet ingestion is well documented, preventive strategies are lacking. In addition, batteries represent an hazardous item if ingested/inhaled/aspirated: complications can occur not only to battery rupture and release of its toxic content, but, more often, due to the generation of an electronic current from the battery in contact with tissue fluids. This reaction leads to the production of hydroxide, which is dangerous and can lead to severe complications including necrosis, perforation, fistula, haemorrhage and even death. Despite the fact that batteries may pass through the gastrointestinal tract without complications, as an inert FB, the ingestion of button batteries is particularly dangerous. More specifically, the ingestion of a button battery with a diameter of 20 mm by children younger than four years of age increases the risk that the button battery hangs in the oesophagus determining severe complications within two hours. There is thus a crucial need for prompt medical attention after button battery ingestion. Not only for batteries and magnets, but more generally for all types of FB injuries, it has been widely demonstrated that the prevention of complications requires early diagnosis and prompt clinical reaction. A review of 136 cases of FB aspiration conducted in an Israeli hospital demonstrated that children who referred to the health care centre after 2 days (or more) from the injury had a 2-fold increase in the risk of complications. Another study, conducted on 263 children in whom a tracheobronchial FB was found, demonstrated that no complications occurred in patients who were referred to the hospital within 24 hours from the injury occurrence, while complications were reported for children who referred later to the health care centre. Clearly, in addition to the FB type and anatomical location, another key factor associated with a risk of complications is the time at which children are referred to the hospital: delays in referral or in diagnosis increase the risk of onset and/or worsening of complications.

Conclusions

The aim of this paper was to summarise the existing knowledge on FB injuries in children, with focus on the FB types and anatomical locations, clinical presentation and complications. Young children are more susceptible to FB injuries. Referring to FB characteristics and sites in which they are found, the data in the literature showed that the majority of FB are inorganic objects, while food items (especially nuts and seeds) are those most often retrieved in children’s airways. The risk of complications is highly related to the type of FB: rigid and semi-rigid objects and those with sharp and edges pose a risk of perforation and laceration, while small round items (food items like berries) increase the likelihood of choking. Early referral of injured children to the hospital is crucial to prevent complications; if the injury is not witnessed, misdiagnosis can occur, leading to delays in clinical intervention because symptoms may be non-specific. At present, we could not identify a specific pattern of symptoms related to FB injuries from the published literature. Given the risk of misdiagnosis of FB injuries due to non-specific clinical presentation and the severity of complications to which a FB injury may be associated, it is essential crucial to develop primary prevention strategies for FB injuries. In particular, educational programs should be carried out for parents to stress the importance that children eat food and play with toys that are appropriate for their age (e.g. avoiding nuts and seeds and, more generally, small round food items, as berries, in kids younger than 4 years of age, guaranteeing adult supervision when young children are playing or eating). Primary prevention is also represented by the involvement of manufacturers and consumer associations, providing strict regulation on manufacturing, packaging, quality control and commercialisation of hazardous objects (particularly toys, magnets and batteries).

References

Foreign body injuries in children


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Audiology

Does the addition of a second daily session of hyperbaric oxygen therapy to intratympanic steroid influence the outcomes of sudden hearing loss?

L’aggiunta di una seconda sessione giornaliera di camera iperbarica al trattamento steroideo intratimpanico influenza i risultati terapeutici nella sordità improvvisa?

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SUMMARY

The aim of this study is to investigate whether, in addition to intratympanic steroid therapy, additional hyperbaric oxygen therapy (HBOT) sessions per day (twice a day for 5 days) is more useful than one session per day for 10 days in patients affected by severe and profound idiopathic sudden sensorineural hearing loss (ISSNHL). A total of 55 patients affected by unilateral severe and profound ISSNHL were recruited. Two protocols were adopted. In the first, 27 patients (13 with profound and 14 with severe hearing loss) underwent one session of HBOT per day for 10 days, 6 days a week. An HBOT session comprised a period of 14 minutes air compression followed by 90 min at 2.4 atm absolute (ATA) followed by a decompression period of 15 min in oxygen. Patients breathed 100% oxygen through an appropriate mask checked for leaks. Patients were given 0.4 ml of 62.5 mg/ml of intratympanic prednisolone during the first three days of the protocol. In the second protocol, 28 patients (10 with profound and 18 with severe hearing loss) received 10 sessions of HBOT, twice a day for five days, 2.4 ATA 90 min 100% oxygen. The intratympanic injections of prednisolone were given between the two sessions of HBOT during the first three days of the protocol. Since there were no significant differences in hearing outcomes between the two protocols, the present study shows that the protocol of two sessions of HBOT per day is a valid treatment and equally effective as the one HBOT session per day, but with shorter treatment time.

KEY WORDS: Deafness • Prednisolone • Hypoxia • Pure-tone average • Cochlea • Inner ear

RIASSUNTO

La finalità dello studio è stata quella di investigare se, in pazienti affetti da ipoacusia improvvisa di grado severo e profondo, un numero maggiore di sedute giornaliere di ossigenoterapia iperbarica (due volte al giorno per 5 giorni) in associazione alla terapia steroidea intratimpanica, risulti più efficace della tradizionale sessione giornaliera di ossigenoterapia iperbarica effettuata per 10 giorni. 55 pazienti affetti da ipoacusia idiopatica unilaterale severa e profonda sono stati reclutati nello studio. Sono stati utilizzati due diversi protocolli terapeutici: il primo è consistito di 27 pazienti (13 con ipoacusia improvvisa profonda e 14 con ipoacusia improvvisa severa) che sono stati sottoposti ad una seduta di camera iperbarica giornaliera per un totale di 10 giorni (sei giorni a settimana). La sessione di camera iperbarica è consistita in un periodo di 14 minuti di compressione in aria seguiti da un periodo di decompressione di 15 minuti in ossigeno. I pazienti hanno respirato ossigeno al 100% attraverso un’apposita maschera. Ai pazienti è stata somministrata una dose intratimpanica di 0.4 ml di prednisolone a 62.5 mg/ml durante i primi tre giorni del protocollo. Il secondo protocollo è consistito di 28 pazienti (10 con ipoacusia profonde 18 con ipoacusia severa) che hanno ricevuto 10 trattamenti di camera iperbarica 2 volte al giorno 2.4 ATA, 90 minuti con ossigeno al 100%. Le infiltrazioni intratimpaniche di prednisolone sono state effettuate nel tempo intercorrente tra le due sessioni giornaliere di camera iperbarica durante i primi tre giorni del protocollo. Lo studio, non avendo evidenziato alcuna significativa differenza in termini di risultati uditivi tra i due protocolli sperimentali, permette di considerare il protocollo con la doppia sessione giornaliera di camera iperbarica una valida alternativa alla sessione unica giornaliera garantendo una significativa riduzione della durata complessiva della terapia.

PAROLE CHIAVE: Sordità • Prednisolone • Ipossia • Pure-tone average • Cochlea • Orecchio interno

Acta Otorhinolaryngol Ital 2015;35:272-276
Introduction

Idiopathic sudden sensorineural hearing loss (ISSNHL) is defined by the US National Institute for Deafness and Communication Disorders as a decline in hearing over 3 days or less, affecting three or more contiguous audiometric frequencies by 30 dB or more, with no identifiable aetiology. The treatment of patients with ISSNHL varies at different otological centres, but corticosteroids are considered the gold standard therapy.

In the literature a number of different regimens have been proposed as therapy for ISSNHL including vasodilators, anticoagulants, antioxidants, plasma expanders, antiviral agents, H.E.L.P. apheresis, carbogen and corticosteroids. Hyperbaric oxygen therapy (HBOT) is also used in ISSNHL to increase the partial oxygen pressure and to improve the blood profile and microcirculation. Solubility of gases in liquids depends on pressure, and during HBOT, due to the increased external pressure, a much larger amount of oxygen enters the blood from the alveoli. Blood with a higher concentration of oxygen crossing from the thin alveolar walls is transported through the bloodstream to all parts of the body. The more dissolved oxygen is in the blood, the better it gets into the organs and tissues.

In 2002 Aslan et al. demonstrated the efficacy of one daily HBOT session in addition to conventional treatment modalities for ISSNHL. In 2012, the efficacy of one daily session of HBOT was demonstrated in association with short duration intratympanic steroid therapy (ITS) in patients affected by severe and profound ISSNHL known to be less responsive to systemic steroid treatment.

It is also known that an increase in hyperbaric sessions per day significantly improves soft tissue and bone recovery, wound healing, infection control, ulcers and compromised flap recovery. In 2011, Thom et al. demonstrated that HBOT can mobilize bone marrow stem cells by stimulating nitric oxide synthase. It was found that nitric oxide synthase activity is acutely increased in platelets following HBOT that remained elevated for at least 20 hours. HBOT stimulates vasculogenic stem cell mobilization from bone marrow of diabetics, and more cells are recruited to skin wounds.

The aim of this study is to investigate whether, in addition to ITS, a higher number of HBOT sessions per day (twice a day for 5 days) is more useful than one session per day for 10 days in patients affected by severe and profound ISSNHL.

Materials and methods

A total of 55 patients affected by unilateral severe and profound ISSNHL who were examined by the ENT Emergency Room staff of the Department of Sensory Organs of Sapienza Università di Roma from January 2012 to December 2013 were enrolled in this randomised pilot study. Severe ISSNHL is defined by a pure-tone average (PTA) between 70 and 90 dB and profound ISSNHL by a PTA > 90 dB. All patients met the following inclusion criteria: age between 19 and 85 years, time elapsed between the onset of ISSNHL and beginning of therapy not exceeding 15 days, no previous therapy for ISSNHL, no surgery affecting the ipsilateral ear and no retrocochlear disease, no acoustic trauma and no autoimmune or fluctuating hearing loss. The exclusion criteria were: chronic broncho-pulmonary obstructive syndrome, emphysema, sinusitis, seizure syndrome, pregnancy and claustrophobia in a hyperbaric environment. Informed written consent was obtained from each patient.

Treatment strategies

Two protocols were adopted. The first (HBOT1) consisted of 27 patients (13 with profound and 14 with severe sudden hearing loss) who underwent one session of HBOT per day for 10 days in a multi-place hyperbaric chamber, 6 days a week. A HBOT session comprised a period of 14 min compression in air followed by a treatment period at 2.4 atm absolute (ATA) for 90 min and then a decompression period of 15 min in oxygen. Patients breathed 100% oxygen through an appropriate mask checked for leaks. Patients were given 0.4 ml of 62.5 mg/ml of intratympanic prednisolone (Deltacortene Sol® Bruno Farmaceutici, Rome, Italy) before the HBOT session during the first three days of the protocol. The ITS injection technique has been reported.

The second protocol (HBOT2) consisted of 28 patients (10 with profound and 18 with severe sudden hearing loss) who received 10 sessions of HBOT, twice a day for five days. 2.4 ATA 90 min 100% oxygen in a multi-place hyperbaric chamber. Intratympanic injections of prednisolone were given between the two sessions of HBOT during the first three days of the protocol. Within each protocol, patients were homogenous according to age, sex and PTA.

Standard assessment

The standard assessment includes audiometric testing PTA and impedance audiometry, vestibular tests, routine serological test, coagulation, high resolution CT of temporal bone and MRI of the brain (specifically of the cerebellopontine angle with gadolinium).

Audiological evaluation and hearing improvement

All patients underwent evaluation of PTA immediately before the beginning of the treatment and one day after the last session of HBOT (11 days after HBOT1 treatment and 6 days after HBOT2). The results were compared. PTA was calculated as the mean of thresholds at the six frequencies (250, 500, 1000, 2000, 4000 and 8000 Hz).
Thresholds that could not be measured due to the limit of the audiometric equipment were “dummy coded” with the highest test level of audiometric equipment, as suggested in a recent study. In these cases they were set at 130 dB.

Criteria adopted for evaluating audiological improvement were based on those used by Furuhashi et al. who classified the outcomes as complete recovery, marked improvement, partial improvement, or non-recovery. Successful treatment is defined as complete recovery or marked improvement in PTA at six frequencies (250, 500, 1000, 2000, 4000 and 8000 Hz) (Table 1).

<table>
<thead>
<tr>
<th>Hearing Outcome</th>
<th>Furuhashi’s criteria</th>
</tr>
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<tbody>
<tr>
<td>Complete recovery*</td>
<td>PTA ≤ 25 dB or identical to the contralateral, non-affected ear</td>
</tr>
<tr>
<td>Marked improvement*</td>
<td>PTA improvement &gt; 30 dB</td>
</tr>
<tr>
<td>Slight improvement</td>
<td>PTA improvement between 10 and 30 dB</td>
</tr>
<tr>
<td>No recovery</td>
<td>PTA improvement &lt; 10 dB</td>
</tr>
</tbody>
</table>

* Successful treatment: complete recovery and marked recovery.

Statistical analysis
Statistical analysis on PTA values was performed using ANOVA two-way repeated measurements. Bonferroni post-hoc test was performed for the analysis of statistically significant interactions. Unpaired t-test was performed in the comparison between the two groups in the pre-treatment phase. Fisher’s exact test was performed in the comparison of treatment outcomes between and within groups (HBOT1 and HBOT2 severe and profound hearing loss).

Results

PTA
The frequency averages of patients treated with the two protocols, before and after the therapy, is shown in Figure 1.

Any statistically significant difference of PTA values was observed comparing the pre-treatment phase between the two groups HBOT1 and HBOT2 (p = 0.17). In all patients the comparison of PTA before and after treatment showed a statistically significant reduction (p < 0.0001). The average PTA decreased for HBOT1 from 92.04 ± 18.6 to 62.65 ± 29.14, and for HBOT2 from 85.53 ± 16.3 to 56.07 ± 29.19 with a statistical significance of p < 0.001 for each protocol (Fig. 2). Comparing the results within the severe and profound hearing loss group a statistically significant reduction of PTA was observed, while no significant difference regarding the two protocols was seen (p = 0.27) (Fig. 3).
Clinical evaluation of hearing outcomes

According to the audiological criteria of Furuhashi, there was no significant difference between the two protocols (p = 0.58) (Table II).

Table II. Audiological results according to Furuhashi’s criteria.

<table>
<thead>
<tr>
<th>Hearing outcomes (Furuhashi’s criteria)</th>
<th>Severe SSNHL</th>
<th>Profound SSNHL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>HBOT1 n = 14</td>
<td>HBOT2 n = 18</td>
</tr>
<tr>
<td>Successful treatment</td>
<td>10</td>
<td>4</td>
</tr>
<tr>
<td>Unsuccessful treatment</td>
<td>4</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>HBOT1 n = 13</td>
<td>HBOT2 n = 10</td>
</tr>
<tr>
<td>Successful treatment</td>
<td>14</td>
<td>5</td>
</tr>
<tr>
<td>Unsuccessful treatment</td>
<td>6</td>
<td>7</td>
</tr>
</tbody>
</table>

No significant differences were observed in the audiometric division in severe or profound hearing loss (severe p = 0.7; profound p = 1) and between the two experimental groups (HBOT1 p = 0.25; HBOT2 p = 0.21) (Fig. 4).

Discussion

In the last few years, ITS injection has been considered a good treatment for ISSNHL as it permits the greatest possible intra-cochlear concentration of cortisone, without the risk of adverse systemic effects, although the exact mechanism is still unclear. In the literature, ITS injection is favoured not also as a first line therapy, but also as salvage therapy.

The logical basis for the use of HBOT in ISSNHL is linked to the pathogenetic hypothesis. Whatever the aetiology – vascular, viral, autoimmune, or metabolic – the final event triggering cochlear damage is always the same: hypoxia. Accumulation of CO₂ leads to anaerobic glycolysis, acidosis, tissue oedema, a further reduction of blood flow and ultimately to increasingly serious oxygen debt, with evident consequences on homeostasis of inner and outer hair cells and labyrinthine fluids. Furthermore, HBOT has been shown to provide a significant additional effect when used in combination with a steroid therapy for ISSNHL.

To improve hearing outcomes in patients affected by ISSNHL, the present study proposed an increase in the frequency of HBOT sessions per day, as in the treatment of some other pathologies (crush syndrome, soft tissue infections, burns, etc.).

Regardless of the severity of the hearing loss, the results obtained show that patients with severe or profound hearing loss respond similarly to both protocols of ITS injection associated with one or two HBOT sessions per day. Considering the two protocols individually, both demonstrated the efficacy of the treatment for ISSNHL. The percentages of recovery and the values of PTA do not show any different effects with one session of HBOT per day or two, in combination with ITS.

Possible complications during HBOT include barotraumatic lesions (middle ear, nasal sinuses, inner ear, lung, teeth), oxygen toxicity (central nervous system, lung), confinement anxiety and ocular effects (myopia, cataract growth). There are also some potential disadvantages to ITS such as otitis media, transient vertigo, otomycosis, perforations of tympanic membrane, myringitis and pneumolabyrinth. In our study, no patient experienced these side effects.

Since there were no significant differences in hearing outcomes between the two regimens, the present study demonstrates that a protocol of two sessions of HBOT per day is a valid treatment and equally effective as the one HBOT session per day, with a shorter duration, and that it may also be less stressful and more acceptable for the patient. The protocol of two HBOT sessions per day could be more flexible and adaptable to the needs of patients.

References


Basic research in otorhinolaryngology

Quantification of cells expressing markers of proliferation and apoptosis in chronic tonsilitis

Quantificazione delle cellule che esprimono i marcatori di proliferazione e apoptosi nelle tonsilliti croniche

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SUMMARY

During chronic tonsillitis, the relationship between proliferation and apoptosis of lymphocytes in tonsillar follicles can be disturbed, which gives rise to attenuation of tonsillar immune competence and diminishing its contribution in systemic immunity. In this study, we have quantified the cells expressing the markers of proliferation and apoptosis in the follicles of the palatine tonsil. Six tonsils from patients aged 10-29 years with hypertrophic tonsillitis and five tonsils from patients aged 18-22 years with recurrent tonsillitis were studied. The sections of paraffin blocks of tonsillar tissue were stained by the immunohistochemical LSAB/HRP method with the utilisation of antibodies for: Ki-67 antigen-cell marker of proliferation; Bcl-2 and survivin anti-apoptotic factors and Fas/CD95, caspase-3 and Bax pro-apoptotic factors. The size of lymphoid follicles, i.e. mean follicle area and number of lymphoid follicle immunopositive cells per mm² of a slice area, i.e. numerical areal density were determined by the quantitative image analysis. The localisation of Ki-67, Bcl-2, survivin, Fas/CD95, caspase-3 and Bax immunopositive cells inside the palatine tonsil was similar in both types of tonsillitis. The number of Ki-67 immunopositive cells was significantly (p < 0.01) larger in the tonsils with hypertrophic tonsillitis (14681.4 ± 1460.5) in comparison to those with recurrent tonsillitis (12491.4 ± 2321.6), although the number of survivin and caspase-3 immunopositive cells was significantly (p < 0.05) larger in recurrent tonsillitis (survivin, 406.9 ± 98.4; caspase-3, 350.4 ± 119.4) when compared to those with hypertrophic tonsillitis (survivin, 117.4 ± 14.5; caspase-3, 210 ± 24). Our results show that the rate of the proliferation and apoptosis of follicular lymphocytes is different in various types of tonsillitis. This suggests that the immunological potential of the palatine tonsil varies in patients with hypertrophic and recurrent tonsillitis, which in practice poses a dilemma over the choice of conservative or surgical treatment.

KEY WORDS: Human palatine tonsil • Lymphoid follicle • Cell proliferation • Apoptosis • Quantification

INTRODUCTION

Human palatine tonsil is an organ of a local (mucous) and systemic immune response.¹⁻⁴ Due to the specific location in the oropharynx and permanent antigen stimulation, the human tonsil is subject to inflammatory processes that frequently turn chronic. According to clinical parameters and morphological substrate, Surjan et al.⁵ have defined two types of chronic tonsillitis in adult pa-
patients: hypertrophic tonsillitis (HT) and recurrent tonsillitis (RT).

The lymphoid follicles (B-dependent zone) of the human tonsil contain two morphologically and functionally different parts: germinal centre (GC) and mantle zone (MZ). The mantle zone is populated by B memory lymphocytes and GC contains centroblasts and centrocytes, representing the spot where proliferation, differentiation and clonal selection of antigen-stimulated B lymphocytes takes place. Differentiation of stimulated B lymphocytes into B memory cells and plasma cells is precisely regulated by the cellular interaction among follicular B cells, CD4+ (Th2) cells and follicular dendritic cells (FDC). Apoptosis represents one of the control mechanisms in the process of clonal selection of stimulated B lymphocytes, which protects the organism from created “low-grade” B cells. In particular, the follicular B cells that did not receive the signals for positive selection leave their cell cycle, undergo apoptosis and are phagocytosed by follicular macrophages.

In order to determine the role of the human palatine tonsil in the humoral immune response, Surjan et al. and Korsrud and Brandtzaeg were the first who quantified the immunoglobulin (Ig)-producing cells in human palatine tonsils with HT and RT, and found some differences related to the type of tonsillitis. Over the last two decades, a specific microenvironment of lymphoid follicles was defined by immunohistochemistry as the place of production of cell-stimulating cytokines, presence of a variety of FDC subtypes, expression of Fas receptors and Fas ligands (FasL) and caspase-3; however, there is a small number of published works which have quantified the above mentioned factors using morphometric methods.

In recent years, there has been a growing interest in survival of stimulated B lymphocytes, which protects the organism from created “low-grade” B cells. Its expression has been observed in the cell nucleus, and is described as a member of the inhibitor of apoptosis protein family and an indicator of cell survival. Its expression has been observed in the cell nucleus, which confirms its role, primarily in the control of cell division. In order to determine if there is a difference in the immune activity of the lymphoid follicles in chronic tonsillitis, we quantified the cells expressing biological markers of proliferation and apoptosis in the lymphoid follicles of human tonsils with HT and RT.

Materials and methods

Palatine tonsils were obtained at the ENT Clinic of Clinical Centre Niš from patients who had undergone elective tonsillectomy due to chronic tonsillitis, and were obtained from 5 patients aged 18-22 years (mean 20.3 years) with RT and 6 patients aged 10-29 years (mean 20.8 years) with HT. In their case histories, patients reported recurrent episodes of tonsillitis (more than four times a year for more than 1-2 years), which, in patients with HT were commonly associated with dysphagia due to enlarged tonsils. After removal, only one tonsil from each patient was taken; all tonsils were routinely processed from paraffin blocks for light microscope study. Histopathological analysis was performed on 3-5 μm thick sections that had been routinely stained with H&E.

Immunohistochemistry

The immunohistochemical LSAB/HRP method was applied with antibodies for: (1) Ki-67 antigen (mouse monoclonal antibody, N1633, ready to use, DAKO, Denmark) for proliferative activity; (2) Bcl-2 protein (mouse monoclonal antibodies, M0887, DAKO, Denmark, dilution 1:100) and survivin (mouse monoclonal antibodies, M3624, DAKO, Denmark, dilution 1:100) for anti-apoptotic activity; and (3) Fas/CD95 receptor (rabbit polyclonal antibody, ab2437, Abcam, UK, dilution 1:10); caspase-3 (mouse monoclonal antibody, ab2171, Abcam, UK, dilution 1:200) and Bax protein (rabbit polyclonal antibody, A3533, DAKO, Denmark, dilution 1:1000) for apoptotic activity.

Immunohistochemistry was performed on 3-5 μm thick paraffin sections using 45-minute heat-induced epitope retrieval in 0.01 M citrate buffer at pH 6.0, followed by overnight incubation with the primary antibody at +4C°. For visualisation, we used the LSAB2 system HRP (K0673, DAKO, Denmark). After staining with DAB, slides were counterstained with Mayer’s haematoxylin, dehydrated through a graded ethanol series and mounted with Canada balsam.

Quantitative image analysis

Lymphoid follicles area and numerical areal density (Nₐ) of follicular immunopositive cells were determined by digital image analysis using Image J software (National Institutes of Health, Maryland, USA, http://imagej.nih.gov/ij/). The images were obtained on Leica DMR light microscope equipped with a digital camera (Leica Micro-Systems, Reuil-Malmaison, France). The objective × 4 was used for determination of the follicle area as well as germinal centre area, while × 40 was applied to determine the numerical areal density of follicular immunopositive cells, i.e. the average number of cells per mm² of tonsillar tissue. For measuring lymphoid follicle surfaces, we examined all lymphoid follicles on three sections of each tonsil; the distance between the slices was 30 μm. For quantitative analysis of follicular immunopositive cells, 20 fields per slice (simple random sampling) were examined. Statistical analysis of the results was performed using the Mann-Whitney rank sum test.

Results

The lymphoid follicles in tonsils with HT contained hyperplastic GC and thinned MZ (Fig. 1a), while the lymphoid follicles in the tonsils with RT were small and the space...
between them, the interfollicular region, was dominant (Fig. 1b). The mean follicle area in HT (0.38 ± 0.17 mm²) was significantly (p < 0.05) increased compared with RT (0.28 ± 0.12 mm²). The measurement of the GC area showed significant (p < 0.01) differences between HT (0.26 ± 0.13 mm²) and RT (0.17 ± 0.09 mm²).

**Ki-67 expression in lymphoid follicles**

Follicular Ki-67-immunopositive cells (Fig. 2a) have similar localisation in both types of tonsillitis. The majority of Ki-67-immunopositive cells can be spotted in the dark zone of GC (Fig. 2b). They are also present to a lesser extent in the light zone of GC and in MZ. Numerical areal density (Nₘ) of Ki-67-immunopositive cells (Table I) was greater in tonsils with HT compared with RT, with a statistically significant (p < 0.01) difference for the dark zone of GC and MZ of lymphoid follicles.

**Bcl-2 and survivin expression in lymphoid follicles**

In both types of tonsillitis, Bcl-2-expressing cells were found primarily in MZ of lymphoid follicles (Fig. 2c, d). By quantitative analysis, we obtained a larger number of Bcl-2-expressing cells in RT, in comparison with HT, without statistical significance (Table I).

The expression of survivin (Fig. 2e) had typical nuclear localisation and the nuclei of the survivin immunopositive cells in GC displayed mitotic figures with strong delineation of chromosomes (Fig. 2f). By quantification of survivin-expressing cells, we obtained significantly higher values for GC of the lymphoid follicles in tonsils with RT in comparison with HT (Table I).

**Fas/CD95, caspase-3 and Bax expression in lymphoid follicles**

Fas/CD95 is expressed, mostly, by the cells of GC and smaller cells of MZ (Fig. 3a, b). In both types of tonsillitis

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**Table I.** Number of lymphoid follicle cells per mm² of tonsillar slice area (Nₘ) in hypertrophic tonsillitis (HT) and recurrent tonsillitis (RT).

<table>
<thead>
<tr>
<th>Antigen</th>
<th>Localisation</th>
<th>HT (n = 6)</th>
<th>RT (n = 5)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ki-67</td>
<td>Germinal centre dark zone</td>
<td>14,681.4 ± 1460.5</td>
<td>12,491.4 ± 2321.6*</td>
</tr>
<tr>
<td></td>
<td>Germinal centre light zone</td>
<td>8014.4 ± 1404.7</td>
<td>7844.2 ± 1360.6</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>1406.9 ± 393.1</td>
<td>1001 ± 540.7*</td>
</tr>
<tr>
<td>Bcl-2</td>
<td>Germinal centre</td>
<td>Absent</td>
<td>Absent</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>10,856.4 ± 1171.3</td>
<td>13,253.7 ± 2226</td>
</tr>
<tr>
<td>Survivin</td>
<td>Germinal centre</td>
<td>117.4 ± 14.5</td>
<td>406.9 ± 98.4*</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>44.7 ± 9.1</td>
<td>50.2 ± 13.6</td>
</tr>
<tr>
<td>Fas/CD95</td>
<td>Germinal centre</td>
<td>291.1 ± 73.5</td>
<td>285.6 ± 94.7</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>85.9 ± 31.5</td>
<td>116 ± 21.9</td>
</tr>
<tr>
<td>Caspase-3</td>
<td>Germinal centre</td>
<td>210 ± 24</td>
<td>350.4 ± 119.4*</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>60.5 ± 25</td>
<td>257.8 ± 29.6*</td>
</tr>
<tr>
<td>Bax</td>
<td>Germinal centre</td>
<td>Scattered</td>
<td>Scattered</td>
</tr>
<tr>
<td></td>
<td>Mantle zone</td>
<td>Absent</td>
<td>Absent</td>
</tr>
</tbody>
</table>

*p < 0.05 vs HT; *p < 0.01 vs HT.
Fig. 2. Immunohistochemistry of proliferative and anti-apoptotic activity in tonsillar lymphoid follicles. LSAB/HRP method: a) Expression of Ki-67 in germinal centre (GC) and mantle zone (MZ) of lymphoid follicle (×200); b) Detail from the previous picture: Ki-67-immunopositive cells are the most numerous in the dark zone of germinal centre (GC) (×400); c) Bcl-2 protein is expressed by the cells of the follicular mantle zone (MZ), (×200); d) Absence of Bcl-2 expression in the germinal centre (GC) and strong expression in the mantle zone (MZ) is noticed (×400); e) Survivin-expressing cells are present in the germinal centre (GC) of the lymphoid follicle (×100); f) Survivin expression in a non-dividing cell (upper left corner) as well as the survivin-expressing cells with strongly stained mitotic figures (low central) are noticed (×400).
Fig. 3. Immunohistochemistry of apoptotic activity in tonsillar lymphoid follicles. LSAB/HRP method: a) A part of the lymphoid follicle germinal centre (GC) with numerous Fas/CD95- expressing cells (× 400); b) Detail from the previous picture (× 1000); c) Individual caspase-3- expressing cells in the germinal centre (GC), (× 100); d) Detail from the previous picture: cytoplasm of the cell which morphologically corresponds to the follicular macrophage (upper left part), contains caspase-3 immunopositivity (× 1000); e) Strong Bax immunopositivity can be seen between the two lymphoid follicles (LyF), and weak immunopositivity in germinal centre cells (× 400); f) Germinal centre cells (upper lower part) show granular Bax immunopositivity, possibly phagocytosed apoptotic bodies (× 630); g) In the lower part of the picture a cell with strong Bax immunopositivity can be seen (× 1000).
there was the presence of a larger number of Fas/CD95-expressing cells in GC, comparing to MZ, but without any statistical difference (Table I).

In both types of tonsillitis, caspase-3 is detected in GC and MZ (Fig. 3c, d). The larger number of cells that express caspase-3 was seen in RT in comparison with HT (Table I).

Clear expression of Bax protein was observed in a few cells in the GC and on the border between the GC and MZ (Fig. 3e–g), with no differences in relation to the type of tonsillitis. Due to the small number of Bax-immunopositive cells, quantitative analysis was not performed.

**Discussion**

In this study, we have quantified the cells expressing the cell proliferation and cell death markers in the lymphoid follicles of the human palatine tonsil in two different entities of chronic tonsillitis: HT and RT.

The ultimate role of the GC of the lymphoid follicle is to differentiate B memory lymphocytes which express Ig-receptors with high affinity for binding to an antigen, and to differentiate into plasma cells secreting Ig with high affinity for a specific antigen. Some B cells do not allow antigen-specific B-cell responses, and as aberrant cells activate pro-apoptotic factors and die by apoptosis. The cell marker of proliferation, Ki-67 protein, was the most strongly expressed in the dark zone of GC. A larger number of follicular Ki-67-immunopositive cells in HT clearly displays higher proliferative activity in the lymphoid follicles of tonsils with HT, compared to those with RT. Based on the data according to which FDC precursors are predominantly localised in the dark zone and highly differentiated FDC subtypes in the light zone of GC, and considering the localisation of Ki-67-immunopositive cells in our samples, we can assume that the highest proliferative activity of B lymphocytes in GC co-localises with precursors of FDC, whereas the lowest proliferative activity can be observed in the region corresponding to the localisation of differentiated FDC subsets. A fewer number of Ki-67-immunopositive cells in apical region of the light zone of GC is expected, because this part of GC is the place where selection of B cells occurs exclusively, with the help of corresponding subsets of FDC.

In our study, the higher GC proliferative activity in HT correlated with the GC surface values, which is in agreement with the results of Zhang et al. who determined the size of the lymphoid follicles in HT and RT by the similar morphometric method.

The apoptosis inhibitor Bcl-2, together with pro-apoptotic factor Bax protein, controls transport through the pores on the external mitochondrial membrane by preventing the exit of cytochrome c from mitochondria and apoptosis initiation. Kondo and Yoshio recently reported that GC-cells do not display the expression of Bcl-2, whereas cells in the MZ express a high level of Bcl-2. In our study, strong expression of Bcl-2 in MZ-cells, along with the absence of Bax expression, confirms that B memory cells in the MZ are highly differentiated cells that underwent a process of clonal selection and are protected from apoptosis by expression of Bcl-2 protein.

Studies of apoptosis in lymphoid organs have shown strong expression of Fas and FasL. Apart from that, the Fas/FasL signal is one of the best studied and described mechanisms of apoptosis, which is characteristic of various cell types including B lymphocytes in lymphoid follicles. By comparing the number of the cells expressing Fas/CD95 with the number of Bax-expressing cells in GC, we noticed domination of expression Fas/CD95, which shows that apoptosis in GC cells is initiated primarily by the extrinsic pathway of activation.

We demonstrated that tonsillar follicle cells express caspase-3, as described by Lukeš et al. It is necessary to point out that we found expression of caspase-3 in the cytoplasm of numerous cells that are morphologically related to follicular macrophages, which confirms the role of such cells in phagocytosis of apoptotic cells and supports the fact that proteolytic activity of caspase-3 takes place rapidly. Hence, it cannot always and in due time be noted in dying cells on histological preparations. The presence of caspase-3 expression and absence of Bcl-2 expression in GC are indicators of two diametrically opposed processes taking place in GC, namely cell death and cell survival.

Unlike previous studies that mostly relied on morphological identification and description of the localisation of Fas- and caspase-3-expressing cells, we morphometrically quantified these cells and found a significantly larger number of caspase-3-immunopositive cells in RT than in HT. Lopez-Gonzales et al. previously pointed out that apoptotic parameters decreased in the tonsils with HT compared to those with RT. With respect to their data, as a verification of the validity of our results, more prominent apoptosis in the tonsils with RT can be explained by the greater production of inflammatory cytokines and their effect on immunological processes inside GC.

A significantly smaller number of survivin-expressing cells in GC in HT suggests that its expression is independent of proliferative activity, yet it may be related to the expression of pro-apoptotic factors, i.e. with apoptosis. Our hypothesis is supported by the fact that intracytoplasmic localisation of survivin is associated with apoptosis inhibition through the degradation of caspase-3, caspase-7 and caspase-9. Considering our findings that both greater apoptotic activity and stronger expression of survivin were observed in lymphoid follicles of tonsils with RT, it is assumed that survivin is expressed in those cells which have already received some death signal and which attempt to prevent dying by stopping the cell cycle.

In this study, we have shown that proliferative activity of
cells in GC of lymphoid follicles is dominant in tonsils with HT in comparison to those with RT, and that, contrary to this, the cells in GC die by apoptosis at a higher rate in tonsils with RT than in those with HT. Moreover, the similar expression of Fas receptors in both types of tonsillitis confirms that activation of Fas receptors is the main signal for the initiation of apoptosis in the cells of GCs.

Conclusions

The relationship between the intensity of proliferative and apoptotic activities in tonsils with HT and RT is a good indicator of tonsil immunological potential, and can thus serve to better understand the immunological status of patients with various types of chronic tonsillitis. However, the knowledge of biological processes going on in the palatine tonsil is not yet sufficient to guide final decisions on how to best treat chronic tonsillitis, conservatively or surgically.

Acknowledgements

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Clinical techniques and technology

Correction of a mandibular asymmetry after fibula reconstruction using a custom-made polyetheretherketone (PEEK) onlay after implant supported occlusal rehabilitation

Correzione di asimmetria mandibolare a seguito di ricostruzione con fibula mediante protesi customizzata in polietheretherketone (PEEK) dopo riabilitazione protesica impianto supportata

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SUMMARY
This study describes an unusual case of mandibular asymmetry after fibula free flap reconstruction in a young man following major facial trauma that was corrected using a custom-made polyetheretherketone prosthesis. There is little information in the literature on the use of alloplasts to correct mandibular asymmetry as interest in ‘aesthetic re-modelling’ has traditionally focused on nasal, zygomatic and chin regions. This report demonstrates that this technique can be used successfully to address selected cases of mandibular asymmetry.

KEY WORDS: Virtual surgery • Mandibular reconstruction • Fibular free flap • Oromandibular reconstruction • Mandibular asymmetry

Introduction
The complexity of the three-dimensional anatomy of the oro-maxillo-facial region creates a genuine challenge for surgical reconstruction and correction of deformities. Advances in CAD-CAM technology have created an increasing number of applications for virtual surgical planning in oro-maxillo-facial surgery, such as stereolithographic models, preoperative planning1, fabrication of cutting guides and manufacture of custom implants2. The use of patient-specific implants (PSIs) should be considered as a viable option for the treatment of facial asymmetry. This report describes the surgical planning and technique, and aesthetic and functional outcomes of a custom-made pre-fabricated PEEK PSI (Synthes GmbH, Oberdorf, Switzerland) using CAD-CAM for the correction of mandibular asymmetry after fibula free flap reconstruction.

Case report
A 27-year-old man was referred to our department for correction of facial asymmetry as a result of major trauma with loss of the hemi-mandible, which had been reconstructed with a fibula free flap 3 years earlier in another department (Fig. 1A-C). After implant positioning in the fibula and provisional dental restoration to stabilise the maxillo-mandibular relationship, 64-slice high resolution computed tomography (CT) scan of
the craniofacial skeleton was performed. 3D rendering based on the DICOM data was performed using CMF software 6.1 (Materialise, Leuven, Belgium), which produced a three-dimensional virtual model of the mandible. Using the mirroring technique, a patient-specific implant (PSI) was produced virtually to obtain optimal mandibular bone symmetry. 3D computer images of the defect (Fig. 2A), the implant and the implant fitted into the defect (Fig. 2B) were sent electronically for final approval by surgeons before manufacturing of the final custom-made prefabricated PEEK PSI (PEEK OptimaLT onlay; Synthes). Under general anaesthesia, an extended submandibular approach was performed. After identification of the fibular vascular pedicle, the fascia was incised and the bone was exposed paying particular attention to try to eliminate any interference from soft tissues (Fig. 3). The final PSI fit on the bone was excellent and it was fixed with two 1.5 diameter screws, 15 mm in length (Fig. 4). The post-operative period was uneventful and the patient was discharged on the second day with antibiotic therapy (amoxicillin-clavulanic acid 1 g, three times a day for 8 days), and the cutaneous sutures were removed after 7 days. After 8 months of follow-up, there were no clinical or radiological complications (Fig. 5A, B).

Discussion

Today, mandibular reconstruction with a fibula free flap is considered to be the workhorse in head and neck surgery; however, vascular complications, infections, plate exposure, plate fracture, or vascular pedicle ossification can occur. The introduction of computer-assisted mandibular reconstruction (CAMR) with the pivotal role of virtual surgical planning has increased the accuracy of pre-operative planning, leading to greater surgical precision, reduction in surgical time and an improved aesthetic result. However, due to high costs, at present the most popular method used to restore correct mandibular segment po-

Fig. 1. Aesthetic outcome after fibula free flap reconstruction: frontal view (A), lateral view (B) and orthopantomography (C).

Fig. 2. 3D reconstruction of the craniofacial skeleton: actual inferior view and frontal view (A) virtual inferior view and frontal view after positioning of the custom-made prosthesis (B).

Fig. 3. Surgical technique: access to the mandible and identification of the vascular pedicle.

Fig. 4. Fixation of the custom-made prosthesis to the mandible.
sitioning is the technique of pre-plating. In the literature, we can find many techniques described for pre-plating and mandibular non-tooth bearing segment repositioning. Despite the accuracy of the pre-operative planning and surgical execution, mandibular reconstruction can sometimes result in a facial deformity or asymmetry. Treatment of mandibular asymmetries in patients who have undergone mandibular reconstruction, can pose a significant clinical problem. We have described a case of facial asymmetry secondary to mandibular lower profile and angle deficiency in a young man that was reconstructed with a fibula osteofascial flap after a major trauma corrected by the manufacture and placement of a PSI. Although alternative methods were potentially available to correct this deformity, patient factors including occlusion, dental/skeletal relationship and chin position limited our options. Furthermore, construction of the custom-made onlay involved the development of a computer-generated 3D virtual model and computer-aided design and computer-aided manufacture (CAD-CAM) of the onlay. There is little information in the literature on the use of alloplasts to correct mandibular asymmetry, as traditionally interest in ‘aesthetic re-modelling’ has focused on nasal, zygomatic and chin regions. Correction of mandibular asymmetry after reconstruction as well as oral rehabilitation with osseointegrated implants should be considered an integral part of the reconstructive process. In our opinion, in selected cases, the bone flap position for implant rehabilitation is more important than an excellent aesthetic outcome which then needs to be corrected at a later time using a PSI, as described herein. Bone height and position correction for successful implant rehabilitation is more difficult to achieve than aesthetic refinements, especially in irradiated patients who have undergone mandibular reconstruction for oral malignancies. Nowadays, virtual surgery allows fibular segments to be placed in the correct position for possible dental rehabilitation. Planning for implantation begins before surgery, and the positioning of final fibular segments should also be programmed as a function of a possible dental rehabilitation with osseointegrated implants, which are often an integral part of mandibular reconstruction. In the authors’ experience, it is very important to perform, when possible, the most precise reconstruction for both aesthetic and future functional outcomes. Occlusal stability is a key point that must be achieved before aesthetic evaluation and a corrective program; fibula free flap implant supported rehabilitation is considered to be the best choice. Considering the concept of ‘two arches’ in mandibular reconstruction as described by Chen et al., on many occasions and for many reasons, this concept translates into a compromise choice where necessary additional secondary corrections can then be made, as in the case described. In this case, we used a custom-made PEEK Optima-LT onlay (Synthes). PEEK is a semi-crystalline thermoplastic with excellent mechanical and chemical resistance properties that are retained at high temperatures. It is considered to be an advanced biomaterial used in medical implants engineered for strength, stability and biocompatibility. This material is radiolucent (minimal MRI artefact) with bone-like stiffness and strength, and is very light weight compared to other implants. Moreover, it is autoclavable and withstands repeated sterilisation. After 8 months of follow-up, there were no clinical or radiological problems.

In conclusion, the aesthetic result and perfect bone-prosthesis contact demonstrate how computer aided design and computer aided manufacture are becoming increasingly important in surgery, especially in the oro-maxillofacial region.

References


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Parapharyngeal metastases from thyroid cancer: surgical management of two cases with minimally-invasive video-assisted technique

Metastasi dello spazio parafaringeo da carcinoma della tiroide: trattamento chirurgico di due casi con tecnica video-assistita mini-invasiva

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INTRODUCTION

Well-differentiated thyroid cancers are characterised by a 20-50% rate of regional lymph node metastases, which are usually found in the internal jugular and recurrent laryngeal chain. Metastases to retropharyngeal lymph nodes (RPLN) or parapharyngeal lymph nodes (PPLN) are rare. To the best of our knowledge, only 112 cases of PPLN and RPLN metastases have been reported during the last two decades, and the reported incidence of PPLN metastases of well-differentiated thyroid cancers varies widely, from 0.43% to 2.5%.

Complete surgical removal is the only curative treatment in such cases. We describe two cases of thyroid cancer PPLN metastases that were surgically removed using a traditional transcervical approach with the help of a minimally-invasive video-assisted technique to improve the limited working space.

CASE REPORTS

In the past 10 years, 2 patients underwent surgical treatment for PPLN thyroid papillary carcinoma metastases at San Raffaele Scientific Institute in Milan, Italy. Both patients were female and had no symptoms related to the parapharyngeal masses.
Case 1
A 53-year-old Caucasian woman presented with a complex history of papillary thyroid carcinoma diagnosed in April 1987. The patient had undergone right hemithyroidectomy with selective neck dissection (levels II-IV and VI) in another hospital. Histopathological analysis demonstrated a pT2 PN1a papillary thyroid carcinoma. In the same year, completion total thyroidectomy was performed on the left lobe. Radioactive iodine treatment (RAI) was then performed one year later. In June 2000, the patient underwent revision of the III right Robbin’s level for a single nodal relapse, followed by RAI. In 2011, a new recurrence was suspected due to elevated thyroglobulin. Positron emission tomography (PET) – computed tomography showed a right parapharyngeal area of increased glucose uptake. Contrast-enhanced MRI demonstrated a 36-14-19 mm well-circumscribed heterogeneous mass in the right parapharyngeal retrostyloid space that compressed the internal carotid artery, without infiltration. Transcervical fine needle aspiration cytology (FNAC) performed on the parapharyngeal mass was strongly suggestive for metastasis of papillary thyroid carcinoma. The lesion was treated with radiotherapy (70 Gy) from October 2011 to December 2011. In February 2012, PET imaging and MRI demonstrated persistence of the mass. In April 2012, the patient had undergone revision of the III right Robbin’s level for a single neck lymph node metastasis. In March 2012, the patient had undergone subtotal thyroidectomy with selective neck dissection (levels II, III, IV and VI). The PPLN was excised with the aberrant subclavian artery. Right selective neck dissection of levels II, III, IV and VI was performed. The PPLN was excised with the assistance of a video-endoscope. The 2 drains were removed on postoperative day 3 and the patient was discharged the day after. No postoperative complications were observed. Post-operative MRI follow-up has shown no evidence of tumour recurrence at 15 months after surgery.

Discussion
The potential for PPLN and RPLN metastatisation of oropharyngeal and hypopharyngeal carcinomas is well known, although PPLN and RPLN thyroid carcinoma metastatisation is a rare occurrence. Desuter et al. reported that only 0.43% (3/696) of thyroid papillary carcinomas had parapharyngeal node metastasis. Wang et al. presented 25 PPLNs tumours in a series of 5381 thyroid cancers (0.43%). As described by Kainuma, recurrent cases of RPLN or PPLN metastasis are predominant. Wang et al. reported the largest single centre series of RPLN and PPLN metastases (25 patients, 22 papillary carcinomas, 2 medullary carcinomas, and 1 follicular carcinoma). They identified 3 types of presentation of PPLN metastases:
1. nodal relapse in PPLN after previous surgical treatment (16/25, 64%);
2. cervical and parapharyngeal node involvement as the initial presentation of thyroid carcinoma (5/25, 20%);
3. PPLN metastasis as the only nodal involvement at first diagnosis (4/25, 16%).

The authors emphasised that, in the first two types of presentation, neck dissection and/or widespread cervical lymph node metastases might alter the direction of lymphatic drainage, and increase retropharyngeal drainage resulting in PPLN metastasis.
In 1938, the anatomist Rouvier described a lymphatic connection between the upper pole of the thyroid and the retropharyngeal lymphatic system, which occurred in one fifth of the cadaver dissection specimens he analysed. This lymphatic vessel was called the postero-superior collecting vessel. The retropharyngeal space communicates with the parapharyngeal space (PPS) through a dehiscence of the superior constrictor fascia, permitting potential lymphatic spread from the RPLN into the PPLN. These observations provide a satisfactory explanation for PPLN metastasis from occult thyroid carcinomas.

Since parapharyngeal metastases are usually asymptomatic and difficult to examine clinically, all patients with thyroid cancer who have previously undergone neck dissection or who have widespread cervical lymph node metastases should be studied with accurate preoperative head and neck imaging. Ultrasonography can define thy-
Parapharyngeal metastases from thyroid cancer

Contrast-enhanced CT scan can show characteristic features such as a cystic mass or calcifications in the PPS, but it is not normally recommended due to associated delay in 1-131 therapy. Magnetic resonance contrast enhanced imaging is not routinely performed, but it avoids the limitations inherent to iodinated contrast agents.

The anatomic complexity of the PPS space has led to the development of various surgical approaches: transcervical, transparotid, transmanbibular, transoral, and orbitozygomatic-middle fossa approach. The transcervical approach was first described in 1964 by Ballantyne. In patients with isolated and small (< 2.5 cm) metastases, a transoral approach using ultrasound guidance or the da Vinci surgical robotic system can offer adequate oncological resection and adequate prevention of complications.

Since the first endoscopic approaches in thyroid surgery, video-assisted procedures are improving functional and aesthetic outcomes in head and neck surgery. This technique was used successfully in submandibular sialoadenectomy, in parathyroid gland sialoadenectomy, in parathyroidectomy and for neck dissection. In this report, we describe two cases of rare parapharyngeal metastasis from thyroid carcinoma treated with a minimally-invasive video-assisted transcervical approach to support a traditional open technique. According to the NCCN guidelines for thyroid carcinoma, we usually perform neck dissection in case of suspected lymph node metastases documented by ultrasound and/or cytology, and in lymph nodal metastases palpable at surgical exploration for tumours greater than 4 cm or with extracapsular extension. The first endoscopic PPS approach was published in 2010 for drainage of paediatric abscess. Subsequent reports were published with transoral and transcervical endoscopic approaches for benign PPS tumours. Traditional transcervical approaches allow very limited surgical exposure: the parapharyngeal space is located 4-5 cm deep to the cervical incision and surgeon works in a deep, dark and narrow tunnel. Digital exploration and digitoclasia are helpful, but visual control is not possible during these interventions.

The goal of our video-endoscopy assisted technique was to evaluate the advantages of endoscopic visualisation of the PPS rather than to obtain the best cosmetic result. Moreover, 0° and angled telescopes can support an open traditional approach by allowing constant monitoring of the relationship between the PPS mass and surrounding vessels or cranial nerves, adding a minimal amount of extra surgical time. The close visual control and the image magnification allow the surgeon to precisely follow the tumour surface and facilitate recognition of the correct cleavage plane. Our video-assisted technique simplifies the identification of small vessels, allowing accurate haemostasis. Furthermore, when using a suction-dissector it is possible to

Fig. 1. Preoperative MRI (a), right transcervical approach with a short skin incision (b), endoscopic extracapsular tumour dissection (c), 20 months after surgery MRI (d).

Fig. 2. Preoperative MRI (a), video-assisted right parapharyngeal mass dissection (b), endoscopic view after tumour excision (c), excised tumour (d).
work in a near-bloodless surgical field, due to one-hand simultaneous or alternate dissection and aspiration. Early or late minor or major sequelae were not observed in either of the present cases.

Conclusion

Presence of parapharyngeal metastases should be considered at the time of diagnosis of thyroid carcinoma, even if their occurrence is rare. In addition, differential diagnosis of a mass in the PPS should include metastases from occult thyroid carcinoma. Ultrasonography of the neck cannot properly investigate parapharyngeal and retropharyngeal spaces, and for this reason CT or MRI are mandatory, especially in patients with widespread cervical lymph node metastatisation or with a history of prior neck dissection. A video-assisted minimally-invasive transcervical approach is a new technique for excision of sizable benign tumours and selected malignant tumours. The technique allows clear identification of critical surgical landmarks that guide the dissection through the correct cleavage plane in a nearly bloodless surgical field, thus decreasing the rate of complications.

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Giant pleomorphic adenoma of the parotid gland: an unusual case presentation and literature review

Adenoma pleomorfo gigante della ghiandola parotide: caso clinico e revisione della letteratura

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SUMMARY

Pleomorphic adenoma is the most common type of all salivary gland tumours. Although uncommon, cases of giant pleomorphic adenomas have been described in the medical literature, the majority involving the parotid gland. This paper describes an unusual case of a giant adenoma arising in the parotid gland. The patient underwent surgical resection of the giant tumour, which was one of the largest pleomorphic adenoma reported in recent literature. This case has prompted us to evaluate the behaviour of those benign tumours, which suggested that aesthetic and social morbidity is sufficient to justify, when possible, early tumour excision, despite the relatively low risk of malignant transformation. Management of this unusual tumour is discussed, and the literature on giant parotid tumours is reviewed.

KEY WORDS: Giant pleomorphic adenoma • Parotid tumours • Neck mass

RIASSUNTO

L’adenoma pleomorfo rappresenta il più comune istotipo tumorale interessante le ghiandole salivari. Questo articolo vuole descrivere un inusuale caso di adenoma gigante di origine parotide. Il paziente è stato sottoposto ad eseresi chirurgica del tumore, che è apparso essere uno dei più voluminosi adenomi pleomorfi riportati nella letteratura recente. Questo atipico caso ci ha portato a riflettere in merito al comportamento clinico e chirurgico di questo raro caso. Forniremo inoltre una revisione della letteratura in merito.

PAROLE CHIAVE: Adenoma pleomorfo gigante • Tumori parotidei • Tumefazioni cervicali

Introduction

About 70% of all salivary gland tumours arise in the parotid gland, and approximately 85% are benign; of these, 80% are pleomorphic adenoma. These tumours are almost uniformly characterised by a slow-growing, painless mass, usually varying from 2 to 6 cm in diameter when resected. In 4% of cases, tumours may be malignant.

Cases of giant pleomorphic adenomas have been reported in the parotid gland, presenting as an irregular multinodular mass that can weigh more than 8 kg. Most cases of giant adenomas were seen before the 1980s, but some have been published recently. Given the relative ease of diagnosis of pleomorphic adenomas based on clinical and cytological findings, and the low risk of malignant degeneration, some authors have an expectant management policy for those patients who do not desire surgery. This is in contrast to traditional management, which emphasises that “aggressive treatment of primary and recurrent mixed tumours is necessary” due to their malignant potential.

This paper describes an unusual case of a giant pleomorphic adenoma arising in the parotid gland, along with the reasons for diagnostic delay. This case prompted us to evaluate the behaviour of benign tumors that do not undergo malignant transformation. This case and others like it demonstrate that aesthetic and social morbidity is sufficient to justify, when possible, early tumour excision, despite the relatively low risk of malignant transformation.
Case report

An 83-year-old Caucasian man complained of a slow-growing mass on the left side of his face for more than 30 years. The patient’s social history was significant for the events surrounding dismissal from work. The patient lived alone, isolated from his family, and was homeless. He denied knowledge of any past illness, but admitted to not having seen a physician for more than 30 years. He affirmed that the mass had begun to develop about 30 years ago, and had slowly enlarged without symptoms. Since that time he refused any interaction with his family and healthcare providers. The patient came to our attention when the mass has become too large to allow normal walking. After a week of frequent visits, we finally gained the patient’s confidence and took over his management.

Clinical examination showed a giant, firm, multinodular, irregular and painless mass measuring approximately 35 cm x 28 cm, involving the left parotid and cervical region (Fig. 1). The arrangement of the mass along the chest had the typical appearance of a beard.

Despite of the large dimension of the mass, there were no signs of facial nerve palsy, and the skin that covered the lesion did not present ulcerated areas. CT scan and magnetic resonance angiography were performed to evaluate the extent of the mass (Fig. 2). The lesion had an arterial blood supply from the facial artery and venous drainage in the internal jugular vein (Fig. 3). The main hypothesis for diagnosis was a benign tumour of the parotid gland, most likely pleomorphic adenoma.

Incisional biopsy was performed and confirmed the suspicion of adenoma.

The tumour was excised under general anaesthesia. Despite the size of the mass, a clear plane of dissection was found. The sternocleidomastoid muscle was greatly hypertrophied, and the tumour displaced it posteriorly. Due to many years of traction, the mass had descended well below the angle of the mandible and the facial nerve was avoided without difficulty. Skin flaps were raised off the sides of the mass to provide sufficient tissue for neck closure. The post-operative course was uneventful.

Macroscopically, the excised mass measured 33 cm x 27 cm x 16 cm and weighed 7.3 kg (Fig. 4).

Fig. 1. The patient at initial examination, showing a large, multinodular left neck mass extending from the parotid region onto the chest.

Fig. 2. Pre-operative imaging evaluation. MRI showing the giant mass. The outline of the heterogeneous lesion is clearly demarcated, and tissue planes preserved.

Fig. 3. Pre-operative CT scan showing the tumour pedicle (arrow) arising from facial artery. It measured about 15 cm in length, and demonstrated numerous feeding vessels.
Microscopically, the tumour was composed of islands and strands of epithelial cells in a hyaline stroma. Spindle and plasmocytoid myoepithelial cells in a myxoid stroma were also abundant. All areas of the surgical specimen were microscopically analysed, and none showed evidence of malignant transformation. On histological analysis and immunohistochemistry, the lesion was identified as a pleomorphic adenoma with negative surgical margins.

The patient presented good aesthetic and functional results (Fig. 5), without signs of facial nerve dysfunction (House Brackmann grade I). At 5-year follow-up the patient was doing well, without clinical or radiographic evidence of recurrence.

**Discussion**

Pleomorphic adenoma is the most common salivary gland tumour. The main site of occurrence is the parotid gland, affecting patients of any age, most frequently between the fifth and sixth decades of life. Although uncommon, cases of giant pleomorphic adenomas have been described the majority of which involved the parotid gland. The first case of giant pleomorphic adenoma published in medical literature was reported by Spence in 1863, who described the treatment of a mixed tumour > 1 kg.

In 1956, Short and Pullar published an English language review of massive pleomorphic adenomas and a case-report of a 2.3 kg adenoma. In 1989, Schultz-Coulon reviewed 31 cases of giant pleomorphic adenomas of the parotid gland. The author found a female predominance (64.5%), with an age range from 20 to 40 years old, and a tumour weight between 1 to 27 kg.

Buenting reported the 10 largest pleomorphic adenomas published in the English language literature, and found a mean tumour weight of 7.8 kg; nine of 10 occurred in females, with a mean age of 56 years. His case was the 5th largest pleomorphic adenoma reported (6.85 kg).

In our case, the patient was a man who presented a mass with a 30-year history of evolution that weighed 7.3 kg, more than the Buenting case report.

In most of the cases described in the literature, the lack of information and patient’s negligence are considered as the most relevant aspects influencing the treatment delay. In our case, it must be considered that the patient was homeless and away from his family.

The incidence of malignant transformation in adenomas ranges from 1.9% to 23.3%. The risk increases in tumours with long-standing evolution, recurrence, advanced age of the patient and location in a major salivary gland. Some authors postulated that the risk of malignant transformation increases from 1.6% in tumours with less than 5 years of evolution, to 9.5% for those presenting for more than 15 years.

The classic clinical history of carcinoma ex-pleomorphic adenoma is a slow-growing mass for many years, with a recent fast growth phase. A case of a giant PA with malignant transformation with this typical history was reported in 2005 by Honda in a 72-year-old woman with a slow growing parotid lesion for 20 years, with a rapid increase in the last 3 months.

In the Schultz-Coulon review, 3 of 31 cases of giant adenomas showed areas of malignant transformation. In our case, although the patient presented all the characteristics for an increased risk of malignancy, clinically and histologically there was no such evidence.

Neglecting even a benign parotid tumour carries an increasing risk of facial nerve injury when treatment is performed. The bony and muscular deformity associated with such tumours is uniformly disfiguring and incapaci-
tating. Although it is generally accepted that the majority of all giant adenomas remain non-malignant, this case and others like it should serve to remind us that the clinical course of these masses can be far from benign. We believe that early excision of parotid pleomorphic adenomas is desirable if the patient will tolerate surgery.

References


Received: November 14, 2011 - Accepted: January 19, 2012
Case series and reports

Minimally invasive surgical removal of an intracochlear schwannoma causing an intractable paroxysmal positional vertigo

Trattamento chirurgico mini-invasivo di un neurinoma cocleare scatenante una vertigine parossistica posizionale non responsiva al trattamento

B. SERGI, E. DE CORSO, D. LUCIDI, G. PALUDETTI
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SUMMARY

Intracochlear schwannomas are rare tumours. Diagnosis is based on high-resolution MRI, which should be used for accurate determination of the location of tumours. We report a case of a cochlear schwannoma that presented with profound hearing loss and intractable paroxysmal positional vertigo, which was diagnosed with gadolinium-enhanced MRI and removed using a transcanal minimally-invasive transotic approach.

KEY WORDS: Intracochlear schwannoma • MR • Surgical approach

Introduction

Intracochlear schwannoma is a rare clinical entity and its diagnosis is facilitated with MRI with gadolinium used for the investigation of hearing loss and vertigo. In the literature, only a few case reports and small series have described the clinical features, radiological findings and treatment options of this pathology. Intracochlear schwannomas typically present with sensorineural hearing loss which is usually progressive, and 75% of cases exhibit total deafness in the affected ear; approximately 15 to 32% of cases can present with sudden hearing loss. Occasionally, a mixed type hearing loss can occur, presumably because of increased intracochlear impedance. Vertigo is less frequent, but may be pharmacologically intractable, requiring surgery, and it may be due to tumour extension to vestibular cavities, vestibular nerve compression, or to secondary endolymphatic hydrops.

We present a case of an intracochlear schwannoma that presented with intractable paroxysmal positional vertigo and profound hearing loss that progressed over approximately 3 years and diagnosed by MRI.

Case report

A 29-year-old man presented with a 5-year history of left-sided hearing loss: the symptoms started with a mild hearing loss limited to 500 and 1000 Hz frequencies and progressively worsened to profound hearing loss in 3 years. Two MRIs were obtained secondary to hearing loss (2008–2010), and both scans were reported to be normal. He referred to our clinic two years later for the onset of vertigo. Clinical examination was negative and audiometric testing confirmed profound left hearing loss with absence of otoacoustic emissions. The Dix-Hallpike manoeuvre showed a left paroxysmal positional vertigo and it was treated with an Epley manoeuvre. The patient was followed up every 14 days: he reported a slight benefit from the manoeuvres but his symptoms did not disappear; after two months, for the persisting positional vertigo despite the repositioning manoeuvres, he was submitted to a new MRI which showed a lesion filling almost all the left cochlea. The soft tissue mass appeared enhanced on T1-weighted images with gadolinium and hypointense on T2-weighted images (Fig. 1) leading to the diagnosis of schwannoma. Upon more careful analysis of the previ-
ous MRIs, a very small mass limited to the basal turn of the cochlea was apparent (Fig. 2). Because of severe vertigo, a complete resection was performed via a transcanal minimally-invasive transotic approach: monitoring facial nerve function, a postauricular incision was performed, the ear canal was skeletonised and the ear drum was elevated; after the removal of the incus and the stapes, the promontory was drilled out starting from the area between the round and oval windows, exposing the basal turn of the cochlea; once the soft tissue mass was individuated, it was gently removed up to the fundus of the internal auditory canal. The remnant of the cochlea was filled with a piece of temporal muscle and sealed with fibrin glue. The histologic findings were consistent with the radiologic diagnosis of schwannoma. Post-operative period was uneventful and his symptoms resolved.

Discussion

Intralabyrinthine schwannomas have variable presenting symptoms and, even if extremely rare, should be considered in any patient who presents with unilateral hearing loss. We presented a case of a young patient with a single-sided deafness and paroxysmal positional vertigo that did not improve despite repositioning manoeuvres: indeed such patients, after treatment, reported only reduction of symptoms probably due to a transient rehabilitation of the involved side.

Diagnosis is made with T1-and T2-weighted MRI sequences with gadolinium enhancement even if it is limited by tumour size. Small intralabyrinthine lesions may be particularly difficult to see, and may be seen only in retrospect when the attention of the radiologist is focused on it. MRI is also necessary for differential diagnosis that has to be made mainly with labyrinthitis: in these pathologies, the enhancement is less sharp and often involves all the cochlea and/or the vestibule, and during follow-up it tends to decrease.

Kennedy proposed a classification system related to the site of the tumour: intravestibular, intracochlear, intravestibulocochlear, transmodiolar, transmacular, transotic and tympanolabyrinthine. Our case was a pure intracochlear type, which is one of the most common types of intralabyrinthine schwannoma and accounting for 28% of all reported cases.

Concerning the origin of an intracochlear schwannoma, several cause have been hypothesised: the Schwann cells are distally limited to the modiolus for the cochlear nerve and a schwannoma may extend to the cochlea directly from the modiolus or the fundus of the internal auditory canal or indirectly from the scarpia ganglion through the vestibular cavities.

Surgical intervention for intracochlear schwannoma is indicated in patients with intractable vertigo, wide extension of the tumour into the cerebellopontine angle or middle ear, evidence of tumour growth and concern about pathologic diagnosis.

The surgical approach depends on the location of the tumour and its extension into the internal auditory canal, if present. Tumours confined to the cochlea can be removed using a postauricular transcanal transotic approach, as in our surgery, or using a transcanal approach. Using these approaches particular care must be reserved to the petrous carotid artery, which can be very close to the cochlea, and not to injury the facial nerve when opening the middle turn of the cochlea. The traditional transmastoid transotic approach will be reserved for larger tumours extending into the internal auditory canal. Entering the modiolus will result in extensive bone removal.
in egress of cerebrospinal fluid from the internal auditory canal into the middle ear, leading to the need of obliterate the middle ear to prevent cerebrospinal fluid leakage; in our case, we conservatively managed the cerebrospinal fluid leakage packing the cochlear remnant with soft tissue and sealing it with fibrin glue. Stereotactic radiosurgery may be indicated in progressively enlarging tumours, which are asymptomatic or in patients with comorbid medical conditions that preclude surgery. Non-surgical management with serial MRI scans is an option when the patient still has residual hearing or if the patient is asymptomatic.

Conclusions
A cochlear schwannoma is a rare diagnosis in case of bilateral hearing loss, but it should always be considered in case of unilateral hearing loss. Also, the radiologist should to be aware of this rare clinical entity as they examine the MRI which represents the diagnostic test of choice. As hearing preservation is not an outcome of intracochlear schwannoma resection, observation with serial MRI scans is indicated for the majority of patients. Surgical excision should be considered in case of tumour growth and/or intractable vertigo.

References

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Received: August 3, 2012 - Accepted: February 7, 2013
Prof. Giorgio Sulsenti passed away on March 19, 2015. He left us in his own inimitable style, without fanfare, but accompanied with his usual sense of humour and profound wisdom. He was born in Modica, one of the most beautiful cities in Sicily. The “island” was always in his heart and soul, and especially in his Sicilian accent that never abandoned him.

Prof. Giorgio Sulsenti graduated in Medicine and Surgery in Catania, and soon after moved to Bologna where he specialised in both Otorhinolaryngology and Anesthesiology. His professional and academic career fully developed in Bologna. In the mid-sixties, he visited Maurice Cottle in Chicago, and introduced Cottle’s concepts in Italy and Europe. This marked the birth of modern rhinology in Europe under the guidance of many talented rhinologists (Masing, Montserrat-Viladiu, Guíllen, Krajina, van Dishoek and the young Huizing, among many others) that followed Cottle’s philosophy. Soon after, his practice surpassed all expectations and brought him patients from far and wide.

In 1972, Prof. Sulsenti created the first Division of Rhinology in an Italian public hospital. In the same year, he published his book ‘Chirurgia Funzionale ed Estetica del Naso’, which was the first book on functional-aesthetic nasal surgery based on new concepts. In his book, Prof. Sulsenti described the terminology, instruments and various surgical approaches and techniques. The text was beautifully illustrated with the excellent drawings by A. Faggioli in black and white with red accents. These illustrations played an important role in the 1970s and 1980s. A revised and expanded version was published in 1994 with the collaboration of Pietro Palma.

In the late 1970s, he pioneered international live-surgery rhinology courses in Bologna that attracted hundreds of colleagues worldwide, with growing success until the mid-1990s.

In 1980, he was elected President of the European Rhinologic Society (ERS), and organised the ERS Congress in Bologna coupled with an international live-surgery course featuring top-notch rhinosurgeons, from America and Europe.

Starting from the early 1970s, Prof. Sulsenti became an acclaimed international speaker in courses and conferences all around the world.

He was the founder of the Italian Academy of Rhinology, and became the source of reference for the entire Italian rhinologic community. His teaching activity reached a zenith when he became the Chief of the ENT Department at Imola, Bologna. He organised several courses each year and his department was populated by national and foreign colleagues willing to improve their surgical skills in rhinology. Prof. Sulsenti was a true innovator, and understood the limitations of dogmatic teaching. He incorporated the newest aesthetic rhinoplasty techniques into the well-established corpus of Cottle’s philosophy. The unforgettable excitement of his mid-eighties courses attended by Prof. Gene Tardy and Prof. Stammberger as guest speakers and surgeons remains emblazoned in our memories. The newest techniques in rhinoplasty and endoscopic sinus surgery enthralled the audience for many days.

On a personal level, Prof. Sulsenti was a highly charismatic person with a very strong personality blessed by self-confidence that allowed him to win many battles, especially at a time when he was a kind of “lone wolf” in the domestic rhinoplasty arena dominated by general plastic surgeons. He placed his greatest demands on himself, and encouraged his colleagues to become masters of the technical aspects of rhinology and the ethical aspects of the doctor-patient relationship.

We have both been fortunate to have had him as our professional mentor and a matchless peer during the trials and tribulations of life. He will always live in the hearts of those who were introduced by his passion and talent to the fascinating world of surgical rhinology.

Pietro Palma and Ignazio Tasca
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OCTOBER-DECEMBER 2015

INTERNATIONAL WORKSHOP “OPEN PARTIAL HORIZONTAL LARYNGECTOMIES (OPHL) VERSUS TRANSORAL LASER MICROSURGERY (TLM) IN LARYNX CANCER”
October 8-10, 2015 • Castel Brando (TV) – Italy
Chairman: G. Rizzato – Email: mail@nordestcongressi.it – Websites: www.nordestcongressi.it, www.oncolarynx.it

XXXIX CONVEGNO NAZIONALE AOOI (ASSOCIAZIONE OTORINOLARINGOLOGI OSPEDALIERI ITALIANI) • October 16-17, 2015 • Genova – Italy
President: Felice Scasso – E-mail: nec@nordestcongressi.it – Website: www.nordestcongressi.it

7th INTERNATIONAL SYMPOSIUM ON MENIERE’S DISEASE AND INNER EAR DISORDERS
October 17-20, 2015 • Rome – Italy
Website: meniere2015.eu

VII INTERNATIONAL SYMPOSIUM ON RECENT ADVANCES IN RHINOSINUSITIS AND NASAL POLYPOSIS
October 22-25, 2015 • Panama
Information: congresors2015@gmail.com

SESTO CONGRESSO NAZIONALE AICEF RCF • October 23-24, 2015 • Rome – Italy
Chairmen: Fabrizio Ottaviani, Gaetano Paludetti and Pier Giorgio Giacomini – Scientific Secretariat: pgiacomin@tiscali.it. Tel. +39 049 8601818 – Fax +39 0498602389

CURSO DE DISECCIÓN ENDOSCÓPICA DE LOS SENOS PARANASALES
ENDOSCOPIC SINUS SURGICAL DISSECTION COURSE N. 49
October 29-31, 2015 • Barcelona – Spain
Info: Sra. Isabel – Tel. 93 205 02 04 – Fax 93 205 43 67 – E-mail: fundacion@iogi.org

3rd VIS (SOCIETÀ ITALIANA DI VESTIBOLOGIA) CONGRESS • October 30-31, 2015 • Modena – Italy
Website: www.vestibologyitaliansociety.com

SIOP (SOCIETÀ ITALIANA DI OTORINOLARINGOLOGIA PEDIATRICA) NATIONAL CONGRESS
November 5-7, 2015 • Rome – Italy
E-mail: info@formazionedeventisrl.it – Website: www.formazionedeventisrl.it

CURSO DE MICROCIRUGÍA DEL OÍDO Y DISECCIÓN DEL HUESO TEMPORAL
TEMPORAL BONE SURGICAL DISSECTION COURSE N. 118 • November 18-20, 2015 • Barcelona – Spain
Info: Sra. Conchi Castilla – Tel. 93 205 02 04 – Fax 93 205 43 67 – E-mail: entsecretaria@hotmail.es, info@iologi.org
### January-December 2016

**XXXV Congresso Nazionale SIAF – Aggiornamenti in Audiologia Infantile**  
*December 16-18, 2015 • Milan – Italy*  
Chairman: Antonio Cesarani – Website: www.sia-f.it

**Calendar of events**

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| CORSO DI DISSEZIONE OTOLOGICA, OTONEUROLOGICA e IMPLANTOLOGIA UDITIVA | January 5-7, 2016 • Paris – France  
Course Directors: Olivier Sterkers, Daniele Bernardeschi. Info: daniele.bernardeschi@aphp.fr |
| CORSO DI PRATICO DI ANATOMIA CHIRURGICA E DISSEZIONE SPERIMENTALE OTOLOGICA 2° LIVELLO - XXVII EDIZIONE | June 6-10, 2016 • Sanremo (IM) – Italy  
A cura di: A. Tombolini, F. Baricalla – Coordinato da: A. Tombolini |
| CORSO DI DISSEZIONE OTOLOGICA, OTONEUROLOGICA e IMPLANTOLOGIA UDITIVA | January 5-7, 2016 • Paris – France  
Course Directors: Olivier Sterkers, Daniele Bernardeschi. Info: daniele.bernardeschi@aphp.fr |
| **VI INTERNATIONAL WORKSHOP ON ENDOSCOPIC EAR SURGERY** | April 7-9, 2016 • Modena and Verona – Italy  
Course Directors: Livio Presutti and Daniele Marchioni – E-mail: Italy-ear-surgery@hotmail.com – Website: www.nordestcongressi.it |
| **7TH INTERNATIONAL SYMPOSIUM ON SENTINEL NODE BIOPSY IN HEAD AND NECK CANCER** | April 8-9, 2016 • Rome - Italy  
Organised by: M.G. Vigili and G. Tartaglione – Website: www.seventhsnb.com |
| **15TH INTERNATIONAL MEETING OF THE MEDITERRANEAN SOCIETY OF OTOLARYNGOLOGY AND AUDIOLOGY** | April 28-30, 2016 • Cappadocia – Turkey  
President: S. Armagan Incesulu – Website: www.msoa2016.org |
| **ENDOCHICAGO 7th WORLD CONGRESS FOR ENDOSCOPIC SURGERY OF THE SKULL BASE AND BRAIN** | May 15-18, 2016 • Chicago (IL) – USA  
Course Directors: Amin B. Kassam, Martin Corsten, Ricardo L. Carrau, Daniel M. Prevedello, Vijay Anand, Theodore H. Schwartz – Website: www.endoworld.org/d-1_7TH_WORLD_CONGRESS |
| **103° CONGRESSO NAZIONALE SIO SOCIETA ITALIANA DI OTORINOLARINGOLOGIA E CHIRURGIA CERVICO-FACCIALE** | May 25-28, 2016 • Rome – Italy  
President: Roberto Filipo – Website: www.sioechcf.it |
| **HEAL (HEARING ACROSS THE LIFESPAN): “EARLY INTERVENTION: THE KEY TO BETTER HEARING CARE”** | June 2-4, 2016 • Lake Como – Italy  
Website: www.heal2016.org – E-mail: meet@meetandwork.com – Tel. +39 049 8601818 – Fax +39 0498602389 |

### February 2016

**26th Congress of the European Rhinologic and the 35th International Symposium of Infection and Allergy of the Nose & 17th Congress of the International Rhinologic Society (ERS 2016)**  
*July 3-7, 2016 • Stockholm – Sweden*  
President: Pär Stjärne  
Website: www.ers-isans2016.com

**INSTRUCTIONAL WORKSHOP EUROPEAN ACADEMY OF OTOLARYNGOLOGY AND NEURO-OTOLARYNGOLOGY**  
*September 28 - October 1, 2016 • Izmir, Turkey*  
President: O. Nuri Ozgirgin – Website: www.eaono.org